#### **United States Environmental Protection Agency Region 9**

### San Francisco Bay Area Water Quality Improvement Fund

#### Request for Initial Proposals July 9, 2013

**Agency Name:** U.S. Environmental Protection Agency Region 9 (EPA)

Funding Opportunity Name: San Francisco Bay Area Water Quality Improvement Fund

(FY2013 Funds)

**Announcement Type:** Initial Announcement

Funding Opportunity Number: EPA-R9-WTR3-13-001

Catalog of Federal Domestic Assistance Number (CFDA): 66.126

#### **SUMMARY**

The U.S. Environmental Protection Agency Region 9 (EPA) is soliciting initial proposals under this announcement from eligible entities to protect and restore the water quality of the San Francisco Bay and its watersheds. The initial proposals will be short submissions describing a project's scope and environmental results and will be evaluated to determine whether a full proposal will be requested of the applicant. This announcement seeks proposals to achieve significant water quality results, such as the restoration of impaired waters and the enhancement of aquatic habitat.

The total amount anticipated to be awarded under this announcement is approximately \$5,000,000 of fiscal year 2013 funds. Awards will range from approximately \$800,000 to \$2,000,000 of federal funds with project periods of up to four years. **Applicants must provide a minimum non-federal match of 50% of the total cost of the project.** A broad range of entities, as described in Section III, are eligible to submit proposals under this announcement for projects that protect and restore the San Francisco Bay and its watersheds within the nine Bay Area counties (Marin, Napa, Sonoma, Solano, Contra Costa, Alameda, Santa Clara, San Mateo, and San Francisco.)

#### **Important Dates**

| July 9, 2013 | Initial proposals must be received by EPA via Grants.gov,   |
|--------------|---|
|              | mail, overnight delivery, hand delivery, or courier service |

by 5pm Pacific Standard Time.

August 5, 2013 EPA notifies finalists to prepare full proposals.

September 12, 2013 Full proposals must be received by EPA via mail, overnight

delivery, hand delivery, or courier service by 5pm Pacific

Standard Time.

November 2013 Awards made.

The above dates (other than the July 9, 2013 initial proposal submission date) are anticipated dates and may be subject to change.

Contact: Luisa Valiela
Phone: (415) 972-3400

Contact: Erica Yelensky
Phone: (415) 972-3021

Email: <u>valiela.luisa@epa.gov</u> Email: <u>yelensky.erica@epa.gov</u>

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#### **I. Funding Opportunity Description**

A. Background. EPA has been administering the San Francisco Bay Water Quality Improvement Fund (SFBWQIF), a competitive grant program for the protection and restoration of San Francisco Bay watersheds, since 2008. To date, EPA has awarded over \$27 million in 20 grants supporting 48 projects. Those projects involved 69 partners who provided matching and leveraging of over \$100 million. Information on these awards is available at: http://www2.epa.gov/sfbay-delta/sf-bay-water-quality-improvement-fund.

**B. Program Priorities.** EPA will consider a broad range of project types to protect and restore the water quality of the San Francisco Bay and its watersheds. EPA is seeking technically sound proposals to reduce polluted run-off, restore impaired waters, and enhance aquatic habitat. In addition, we are seeking innovative, cost effective proposals that factor in anticipated climate change effects, include partnerships, and foster widespread implementation. Applicants should carefully read this RFIP and closely consider the evaluation criteria identified in Section V.A. in order to propose projects that address the criteria.

Proposals should focus on supporting priority activities that achieve water quality results within a specified timeframe. Proposed activities should be based on thorough assessments and plans, including watershed plans and Total Maximum Daily Loads (TMDLs). It is very important that environmental results, also known as outcomes, such as those relating to water quality improvements and aquatic habitat enhancements, be quantitatively described and a timeframe provided for achieving the results. Anticipated outcomes should not be expressed in general terms; for instance, just referencing "water quality improvements" is not sufficient. For more information on quantifying anticipated water quality results, see Section I.C.2 "Environmental Outputs and Outcomes."

While the focus of this solicitation is to select projects ready to be implemented, planning and assessment proposals will be considered. Planning and assessment proposals should be able to demonstrate a high likelihood of being implemented and achieving water quality results. Such projects should also describe the anticipated environmental results to be achieved subsequent to completing the proposed planning and assessment activities.

Proposals must demonstrate consistency with the San Francisco Estuary Partnership's (SFEP) Comprehensive Conservation and Management Plan (CCMP) by indicating the CCMP objective(s) and action(s) to be implemented under the project. A list of the CCMP objectives and actions that address program priorities is included as Attachment A and should be used as a basis to provide this information in the proposal narrative. For additional information on the CCMP refer to the website link in Section VIII.C. All proposals that include a monitoring component should be compatible with the California Surface Water Ambient Monitoring Program (SWAMP), the California Wetland and Riparian Area Monitoring Program, and/or the San Francisco Bay Regional

Monitoring Program (RMP). All proposals should include an information transfer component to promote the use of project results by other San Francisco Bay watersheds.

C. EPA's Strategic Plan Linkage and Environmental Results. Pursuant to Section 6a of EPA Order 5700.7, "Environmental Results under EPA Assistance Agreements," EPA must link proposed assistance agreements to the EPA's Strategic Plan. EPA also requires that applicants and recipients adequately describe environmental outputs and outcomes to be achieved under assistance agreements (http://www.epa.gov/ogd/grants/award/5700.7.pdf).

- 1. Linkage to EPA Strategic Plan. All proposals must support EPA's strategic goals to improve and restore impaired water quality on a watershed basis and facilitate ecosystem-scale protection and restoration under EPA Strategic Plan Goal 2 Protecting America's Waters, Objective 2.2 (Protect and Restore Watersheds and Aquatic Ecosystems), and Strategic Measures (Improve Water Quality on a Watershed Basis and Increase Wetlands.) (<a href="http://www.epa.gov/planandbudget/">http://www.epa.gov/planandbudget/</a>)
- 2. Environmental Outputs and Outcomes. The significance of environmental outputs and outcomes you expect to achieve will be considered under the evaluation criteria during the selection process and must be addressed in the proposal submission (See Sections IV and V).
- a. Outputs. The term "output" means an activity, effort, and/or work product that will be produced or provided over a specific period of time or by a specific date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period. Proposals must include a description of project outputs and the way in which recipients will track project milestones throughout the grant period.

Progress reports and a final report will also be a required output, as specified in Section VI.D of this announcement, "Reporting Requirements."

- b. Outcomes. The term "outcome" means an environmental result, effect or consequence that will occur from carrying out project activities and/or completing outputs related to an environmental goal. Outcomes may be environmental, behavioral, health-related, or programmatic in nature, but must be quantitative. Proposals must include a description of project outcomes resulting from the project outputs, even if the outcome to be achieved is beyond the assistance agreement funding period. Outcomes expected as a result of the awards under this announcement may include, but are not limited to, the following:
  - Pollutant load reductions, attainment of water quality objectives, or other numeric indicators of water quality improvement.
  - Acres of wetlands restored or other habitat type.
  - Percentage of land area where Low Impact Development has been or will be implemented to achieve water quality improvements and/or other habitat enhancements.

Additional information regarding EPA's definition of environmental results in terms of "outputs" and "outcomes" can be found at: http://water.epa.gov/polwaste/nps/handbook\_index.cfm.

**D. Statutory Authority.** The funds for the awards under this announcement were appropriated to EPA in the Continuing Appropriations Resolution, 2013, and will be awarded under Section 320 of the Clean Water Act (CWA) (National Estuary Program), 33 U.S.C. §1330.

#### II. Award Information

**A. Available Funding.** The total amount available under this announcement is approximately \$5 million. The amount of federal funding per award is estimated to range from approximately \$800,000 to \$2,000,000. EPA anticipates awarding 3 to 5 grants under this solicitation.

Funding for the projects is not guaranteed and is subject to the availability of funds, the evaluation of proposals based on the criteria in this announcement, and other applicable considerations. In addition, EPA reserves the right to make no awards, or fewer awards than expected under this announcement. Further, award of funding through this competition is not a guarantee of future funding.

EPA also reserves the right to make additional awards under this announcement consistent with Agency policy if additional funding becomes available after the original selection decisions. Any additional selections for awards will be made no later than seven months after the original selection decisions.

**B. Project Period for Awards.** The estimated project period for awards resulting from this solicitation will begin in November 2013. Proposed project periods may be up to 4 years.

C. Partial Funding. In appropriate circumstances, EPA reserves the right to partially fund proposals/applications under this announcement by funding discrete activities, portions, or phases of proposed projects. If EPA decides to partially fund a proposal, it will do so in a manner that does not prejudice any applicants or affect the basis upon which the proposal/application, or portion(s) thereof, was evaluated and selected for award, and that maintains the integrity of the competition and selection process.

**<u>D. Funding Type.</u>** Projects selected will receive funding in the form of grants.

#### **III. Eligibility Information**

**A. Eligible Applicants.** The following entities are eligible to apply for funding under this announcement unless restricted by the authorizing statute(s): State, local government agencies, districts, and councils; regional water pollution control agencies and entities; State coastal zone management agencies; public and private universities and colleges, and; and public or private non-governmental, non-profit institutions. Non-profit organizations must have documentation of non-profit status from the U.S. Internal Revenue Service or their state of incorporation, except that non-profits organizations as defined in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying as defined in Section 3 of the Lobbying Disclosure Act 1995 or superseding legislation are ineligible.

**B.** Cost Sharing/Match Requirement. Matching funds of a minimum of 50% of the total project cost are required under this competition based on the requirements of Section 320 of the CWA. Accordingly, EPA is requiring applicants to identify the nonfederal match of 50% on Form 424(A). A 50% match means EPA will fund a maximum of 50% of the total project cost, which is essentially an equal cost share of the total project cost with the applicant. Matching funds can come in the form of cash and in-kind contributions, such as the use of volunteers and/or donated time, equipment, expertise, etc., consistent with the regulations governing matching fund requirements (40 CFR 31.24 or 40 CFR 30.23) from your own organization and/or your project partners. Federal funds may not be used to meet the match requirement for this grant program unless authorized by the statute governing their use.

Calculating match is one of the most common mistakes in proposal submissions. Please read this carefully and use the following formulas and examples to develop the correct match amount for your project.

- 1) Example Formula: (Total Project Cost X .5 = Match) If you know your TOTAL project cost is, FOR EXAMPLE, \$2,000,000, then multiply that amount by .5 to find the required match, \$1,000,000, and the REMAINDER, \$1,000,000, is the federal amount to be requested, which provides 50% of the project total.
- 2) Example Formula: (Federal Portion / .5) Federal Portion = Match) If you know the federal amount you would like to request is, FOR EXAMPLE, \$1,500,000, divide that amount by .5 (\$3,000,000) and subtract by \$1,500,000 to identify your required \$1,500,000 in matching funds or services.
- C. Threshold Eligibility Criteria. These are requirements which if not met by the time of initial proposal submission will result in elimination of the proposal from further consideration for funding. Only proposals that meet all of these criteria will be ranked against the evaluation criteria (see Section V) of this announcement. Applicants deemed ineligible for funding consideration as a result of the threshold eligibility review will be notified within 15 calendar days of the ineligibility determination.

- **1. Applicant Eligibility:** Applicants must meet the applicant eligibility requirements described in Section III. A.
- **2. Priorities:** Projects must protect and restore the water quality (including aquatic habitat) in the San Francisco Bay and its watersheds.
- **3. EPA Strategic Plan:** Proposals must support Strategic Plan Goal 2 of EPA's Strategic Plan as specified in Section I.C.1.
- **4. Fifty (50) % Match:** Applicants must demonstrate how they will provide a minimum 50% match of the total project cost as described in Section III. B.
- **5. CCMP Consistency:** Proposals must describe how the proposed activities are consistent with SFEP's CCMP by reviewing Attachment A and identifying relevant CCMP objective(s) and action(s) to be implemented by the project.
- **6. Substantial Compliance:** Proposals must substantially comply with the proposal submission instructions and requirements set forth in Section IV or else they will be rejected. However, where a page limit is expressed in Section IV with respect to the proposal narrative, pages in excess of the page limitation will not be reviewed.
- **7. Project Location:** Projects must occur within one or more of the nine Bay Area counties (Marin, Napa, Sonoma, Solano, Contra Costa, Alameda, Santa Clara, San Mateo, and San Francisco).
- **8a. Initial Proposal Submission:** Initial proposals must be received by EPA via Grants.gov, mail, overnight delivery, hand delivery, or courier service by **5:00pm Pacific Standard Time on July 9, 2013**, as specified in Section IV of this announcement. Applicants are responsible for ensuring that their initial proposals reach the designated person/office specified in Section IV of the announcement by the submission deadline. Failure to do so may result in your proposal not being reviewed.
- **b.** Proposals received after the submission deadline will be considered late and returned to sender without further consideration unless the applicant can clearly demonstrate that it was late due to EPA mishandling or because of technical difficulties associated with <a href="www.grants.gov">www.grants.gov</a>. Where Section IV requires initial proposal receipt by a specific person/office by the submission deadline, receipt by an agency mailroom is not sufficient.
- **c.** Initial proposals submitted by fax or emailed directly to EPA Region 9 will not be considered.
- **9. Ineligible Activities:** If a proposal is submitted that includes any ineligible tasks or activities, that portion of the proposal will be ineligible for funding and may, depending on the extent to which it affects the proposal, render the entire proposal ineligible for funding.

#### IV. Proposal and Submission Information

**A. Form of Initial Proposal Submission and Deadline.** Applicants have the option to submit their initial proposal in *one* of two ways: electronically via <a href="www.grants.gov">www.grants.gov</a> or hard copy and CD by regular first class US Postal Service mail, overnight delivery, hand delivery, or courier service. Complete initial proposal packages must be submitted

electronically through grants.gov or <u>received</u> by EPA Region 9 at the address below by 5:00pm Pacific Standard Time on July 9, 2013.

1. Grants.gov – Electronic submission. Applicants who wish to submit their initial proposals electronically through the federal government's Grants.gov website may do so. Grants.gov allows an applicant to download an application package template and complete the package offline based on agency instructions. After an applicant completes the required package, they can submit the package electronically to Grants.gov, which transmits the package to EPA. Complete instructions for submitting applications via grants.gov are included as Attachment B to this announcement.

For more information, go to http://www.grants.gov and click on "Get Registered" on the left side of the page. *Note that the registration process may take a week or longer to complete.* If your organization is not currently registered with Grants.gov, please encourage your office to designate an Agency Official Representative and ask that individual to begin the registration process as soon as possible.

<u>2. Hard Copy and Compact Disc (CD)</u>. If selecting this method of submission, applicants must send two hard copies of the initial proposal package as described below in <u>Section IV.C</u>, and a CD of the initial proposal package via mail, overnight delivery, hand delivery, or courier service. To reduce paper use, applicants are requested to submit double-sided printed proposals. **Please address all submissions to:** 

# ATTN: Luisa Valiela, SF Bay Water Quality Improvement Fund, EPA Region 9 (WTR-3), 75 Hawthorne Street, San Francisco, CA 94105

The CD may contain files in Adobe Portable Document Format (.pdf), Microsoft Word (.doc), or WordPerfect (.wpd). Maps will need to be scanned so that they can be submitted as part of the CD. Pictures and/or computer generated maps may be included as separate files using .jpg or .tif format.

**B. Content of Initial Proposal Submission.** Applicants must include the information outlined below in their initial proposals regardless of mode of submission. Initial proposals must be no longer than **four pages** (8 ½ x 11 inches, single spaced); pages in excess of this page limitation will not be reviewed. Applicants must also ensure that, to the extent not otherwise addressed below, their initial proposals provide information addressing all of the initial proposal evaluation criteria identified in Section V.A. and the threshold eligibility criteria identified in III.C. Forms 424 and 424(A), and a map DO NOT count toward the four page limit.

| Initial Proposal Submission Content |   |
|-------------------------------------|---|
| Applicant Information               | Include applicant (organization) name, address, contact person, |
| on Federal Assistance               | phone number, fax and e-mail address. This form also requires a |
| Standard Form 424                   | registration in the System for Award Management (SAM.gov), a    |
|                                     | Dun and Bradstreet (D&B), and Data Universal Numbering          |
|                                     | System (DUNS) number (see Section VI.C. for more                |

|                       | information).  |
|-----------------------|--|
|                       | http://www.epa.gov/ogd/AppKit/application.htm                        |
| Dudget Cummeny on     |  |
| Budget Summary on     | Provide overall budget information on the form's tabular format      |
| Federal Assistance    | including the specific amount being requested from EPA, the          |
| Standard Form 424(A)  | required match, and the total project cost.                          |
|                       | http://www.epa.gov/ogd/AppKit/application.htm                        |
| Proposal Narrative    | The proposal narrative must address each of the initial proposal     |
| Project Scope &       | evaluation criteria identified in Section V.A.                       |
| <u>Approach</u>       | Water quality problem- Identify the watersheds and/or                |
| (part of the 4-page   | waterbodies to be addressed and discuss the specific water           |
| limit)                | quality and environmental problems that will be addressed by         |
|                       | project activities.  |
|                       | Project activities- Describe the plans and assessments that form     |
|                       | the basis for the proposed activities and expected results. As       |
|                       | well, briefly describe how anticipated climate change impacts        |
|                       | will be addressed in the proposed project.                           |
|                       | <u>Timeframe</u> - Identify the timeframe for project activities and |
|                       | environmental results. If planning activities are proposed,          |
|                       | discuss the implementation plan to ensure the activities result in   |
|                       | implementation, even if the timeframe for environmental results      |
|                       | is beyond the project period.  |
|                       | Attachment A- Review Attachment A concerning SFEP's                  |
|                       | CCMP and briefly list the relevant CCMP objectives and actions       |
|                       | i i  |
| Duon o sal Manuativa  | to be implemented by the project.                                    |
| Proposal Narrative    | Clearly describe the expected outputs (Section I.C.2) and            |
| Environmental Results | outcomes (Section I.C.3).  |
| (Outputs/Outcomes)    | Quantify- Be as specific as possible in quantifying the              |
| (part of the 4-page   | anticipated outputs and outcomes, especially outcomes related        |
| limit)                | to water quality and habitat enhancements.                           |
|                       | Provide context- Discuss the extent, magnitude and                   |
|                       | significance of the anticipated outputs and outcomes.                |
|                       | Describe how the proposed activities were selected to                |
|                       | maximize the anticipated results. For example, identify              |
|                       | pollutant load reductions to be achieved in terms of the             |
|                       | overall reductions and timeframe necessary to achieve water          |
|                       | quality standards.   |
|                       | <u>Timeframe</u> - Outputs and outcomes achievable beyond the        |
|                       | assistance agreement funding period should be included.              |
|                       | <u>Tracking</u> - Identify how progress towards achieving the        |
|                       | outputs and outcomes will be tracked and measured over the           |
|                       | expected project time period. For some projects, this may            |
|                       | include monitoring and the production of a Quality Assurance         |
|                       | Project Plan (QAPP).   |
| Map                   | Provide a map of the watershed and the proposed work areas           |
| ·r                    | related to the project. Maps of HUCs (also known as USGS             |
|                       | Cataloging Units) and state 303(d) listings can be found on          |
|                       |  |

| EPA's Surf Your Watershed web site at |
|---------------------------------------|
| http://www.epa.gov/surf/              |

C. Content for Full Proposals Once EPA Notifies Selected Applicants. Full proposals will be requested from those eligible applicants whose initial proposals score highly in the initial evaluation described in Section V. After the initial evaluation, such applicants will be provided with instructions and notification to proceed with submitting a full proposal by a required date that will be specified in the notification. Applicants who are not selected to submit final proposals will be notified in writing that they have not been selected to submit a final proposal. Those who make it to the second phase will be asked to provide a more in depth proposal, including details on the project's partners, tasks and schedule, programmatic capability, and past performance. Full proposals must be no longer than eight pages (8 ½ x 11 inches, single spaced); pages in excess of this page limitation will not be reviewed. Complete instructions for the full proposal will be provided to applicants in the notification letter described above which will require applicants to, among other things, include the information outlined below in their full proposals and address the evaluation criteria for the full proposals. Letters of support provided DO NOT count toward the eight page limit.

| Full Proposal Submission Content             |   |
|--|---|
| Partnerships                                 | Describe your project partners and community involvement in carrying out your project. Provide letters of support from project partners to substantiate their role. Provide specifics as to the roles the partners will play to support the proposed project activities. Specifically describe whether the project partners establish or enhance new and unique relationships that contribute to expected environmental results and include agencies that have purview over water quality and land use decisions.   |
| Budget Detail                                | Clearly explain how EPA funds will be used. This section provides an opportunity for a narrative description of the budget found in the SF 424(A). Applicants must itemize costs related to personnel, fringe benefits, contractual costs, travel, equipment, supplies, other direct costs, indirect costs, and total costs. Explanations of the costs associated with each project task, including match amounts in full or in part from project partners, should be provided. Description of costs should correspond to figures presented in the SF 424(A). A table highlighting key tasks and/or outputs for the length of the project with the associated budget breakdown is recommended. Discuss whether the overall project costs and the various components are cost-effective in furthering future implementation. |
| Programmatic Capability and Past Performance | Grant history- Submit a list of federally and/or non federally funded assistance agreements (assistance agreements include federal grants and cooperative agreements but not federal  |

contracts) similar in size, scope and relevance to the proposed project that your organization performed within the last three years (no more than 5 agreements and preferably EPA agreements), and describe how you were technically able to successfully complete and manage those agreements. Reporting history- From the grants listed above, describe your history of meeting the reporting requirements under those agreements, including whether you reported timely progress towards achieving the expected outputs and outcomes of those agreements (and if not, explain why not) and whether you submitted acceptable final technical reports. Organizational experience- Provide information on your organizational experience and plan for timely and successfully achieving the objectives of the proposed project. Staff experience- Provide information on your staff expertise/qualifications, staff knowledge, and resources or the ability to obtain them, to successfully achieve the goals of the proposed project.

**D. Intergovernmental Review.** If selected for award, applicants must comply with the Intergovernmental Review Process and/or consultation provisions of Executive Order 12372. EPA's implementing regulations for this Executive Order can be found at 40 CFR Part 29.1-29.13. Applicants should consult the office or official designated as the single point of contact (SPOC) in their state for more information on the process the state requires to be followed in applying for assistance if the state has selected the program for review. You may find a listing of SPOCs at the following website maintained by the Office of Management and Budget: http://www.whitehouse.gov/omb/grants\_spoc.

- **E. Contracts and Subawards/Subgrants.** EPA awards funds to one eligible applicant as the "recipient" even if other eligible applicants are named as "partners" or "coapplicants" or members of a "coalition" or "consortium." The recipient is accountable to EPA for the proper expenditure of funds and reporting requirements.
- 1. Subawards, Contracts, and Partnerships. If successful applicants intend to use EPA grant funds to purchase goods or services under the grant, such applicants must compete the contracts for those goods and services and conduct cost and price analyses to the extent required by the procurement provisions of 40 CFR Part 30 or 31. The regulations also contain limitations on consultant compensation. While applicants are not required to identify contractors or consultants in their proposal, if they do so it does not relieve the applicant of its obligations to comply with competitive procurement requirements, nor does it guarantee that costs incurred for such contractor/consultant will be eligible under the grant/cooperative agreement. Please note that applicants may not award sole source contracts to consulting, engineering or other firms assisting applicants with the proposal based solely on the firm's role in preparing the proposal.

- 2. Subawards/Subgrants. Successful applicants may award subgrants (also referred to as subawards) of financial assistance to fund partnerships under the EPA grant provided the recipient complies with applicable requirements for subgrants/subawards including those contained in 40 CFR Parts 30 or 31. Successful applicants cannot use subgrants/subawards to avoid requirements in EPA grant regulations for competitive procurement by using subgrants/subawards to acquire commercial services or products from for-profit organizations. EPA will not be a party to subgrant/subaward agreements.
- 3. Subawardee and Contractor Consideration during Evaluation. Section V of the announcement describes the evaluation criteria and the evaluation process that will be used by EPA to make selections under this announcement. During this evaluation, except for those criteria that relate to the applicant's own qualifications, past performance, and reporting history, the review committee will consider, as appropriate and relevant, the qualifications, expertise, and experience of:
- (i) an applicant's named subawardees/subgrantees identified in the proposal if the applicant demonstrates in the proposal that if it receives an award that the subaward/subgrant will be properly awarded consistent with the applicable regulations in 40 CFR Parts 30 and 31. For example, applicants must not use subawards/subgrants to obtain commercial services or products from for-profit firms or individual consultants. (ii) an applicant's named contractor(s), including consultants, identified in the proposal if the applicant demonstrated in its proposal that the contractor(s) was selected in compliance with the competitive Procurement Standards in 40 CFR Part 30 or 40 CFR 31.36 as appropriate. For example, an applicant must demonstrate that it selected the contractor(s) competitively or that a proper noncompetitive sole-source award consistent with the regulations will be made to the contractor(s), that efforts were made to provide small and disadvantaged businesses with opportunities to compete, and that some form of cost of price analysis was conducted. EPA may not accept sole source justifications for contracts for services or products that are otherwise readily available in the commercial marketplace.

EPA will not consider the qualification, experience, and expertise of named subawardees/subgrantees and/or named contractors during the proposal evaluation process unless the applicant complies with these requirements.

**F.** Additional Provisions for Applicants under this Solicitation. Additional provisions that apply to this solicitation and/or awards made under this solicitation, including those related to confidential business information, proposal assistance and communications, management fees, and others can be found at <a href="http://www.epa.gov/ogd/competition/solicitation\_provisions.htm">http://www.epa.gov/ogd/competition/solicitation\_provisions.htm</a>. These provisions are important and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

#### V. Proposal Review Information

#### A. Evaluation Criteria.

Initial proposals and full proposals will be evaluated and scored by reviewers using the applicable criteria listed below. Each initial proposal may receive up to 100 points and each full proposal may receive up to 100 points. Applicants should explicitly address these criteria as part of their submittals.

1. Initial Proposal Evaluation Criteria (100 pts)

| Points | Initial Proposal Evaluation Criteria  |
|--------|---|
|        | 1. <u>Scope/Approach:</u> Under this criterion, proposals will be evaluated based on whether they present:  |
|        | • a technically/scientifically sound approach for addressing one or more of the program priorities in Section I, Part B. (20 pts)   |
| 45     | • a description of the environmental significance of the project, including institutional change to further ongoing water quality improvements. (15 pts)  |
|        | • activities based on plans and assessments, such as watershed plans and  |
|        | TMDLs and/or associated analysis to ensure that priority activities are being undertaken that will lead to water quality objectives and the protection of beneficial uses within a specific timeframe. (10 pts) |
|        | 2. Environmental Results—Outputs and Outcomes: Under this criterion,  |
|        | proposals will be evaluated based on how well they demonstrate:   |
| 45     | • that significant environmental results, including specific (quantitative) water quality and related environmental outcomes, will be achieved by the project.  |
|        | (25 pts)  |
|        | an effective plan for tracking and measuring progress toward achieving  |
|        | expected project outputs and outcomes, including those identified in Section I. (20 pts)  |
|        | Note: EPA will consider the quality and scope of the monitoring component to  |
|        | measure environmental results under this criterion. If monitoring is not an   |
|        | appropriate project activity necessary to achieve and document results,   |
|        | proposals will not receive a lower rating under this criterion.   |
| 10     | 3. <u>Budget Summary:</u> Under this criterion, proposals will be evaluated based on  |
|        | whether the budget as presented in Form 424(A) is reasonable given the project  |
|        | scope and environmental results proposed. (10 pts)  |

#### 2. Full Proposal Evaluation Criteria (100 pts)

| Points | Full Proposal Evaluation Criteria  |
|--------|--|
| 35     | 1. <u>Partnerships:</u> Under this criterion, proposals will be evaluated based on whether they demonstrate:   |
|        | strong partnerships and community involvement, particularly those that establish or enhance new and unique relationships that contribute to expected |
|        | environmental results and include agencies that have purview over water  |

quality and land use decisions. (20 pts)
 specifics as to the roles the partners will play to support the proposed project activities. Letters of support provided by partners to substantiate their role will be reviewed. (10 pts)
 activities to establish new institutional capacity or other methods for ongoing water quality results. (5 pts)
 Budget Detail: Under this criterion, proposals will be evaluated based on:

40

20

- the level of detailed budget information provided which should include an approximation of the cost allocated for each major activity over the project's expected timeline. Budget resources necessary for completing a Quality Assurance Project Plan (QAPP), if applicable, sharing project information broadly, and reporting progress, are expected to be included. (25 pts)
   whether the overall project costs and the various components are cost-
- effective and reasonable in furthering future implementation of similar activities. (15 pts)

  3. Programmatic Capability and Past Performance: Under this criterion, proposals will be evaluated based on the applicant's:
- past performance in successfully completing and managing the assistance agreements identified in the full proposal narrative as described in Section IV.C of the announcement. (5 pts)
- history of meeting the reporting requirements under the assistance agreements identified in the full proposal narrative as described in Section IV.C of the announcement including whether the applicant submitted acceptable final technical reports under those agreements and the extent to which the applicant adequately and timely reported on their progress towards achieving the expected outputs and outcomes under those agreements and if such progress was not being made whether the applicant adequately reported why not. (5 pts)
- organizational experience and plan for timely and successfully achieving the objectives of the proposed project. (5 pts)
- staff expertise/qualifications, staff knowledge, and resources or the ability to obtain them, to successfully achieve the goals of the proposed project. (5 pts) Note: In evaluating applicants under the first two items of this criterion, EPA will consider the information provided by the applicant and may also consider

relevant information from other sources including agency files and/or prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). If you do not have any relevant or available past performance or reporting information, please indicate this in the proposal and you will receive a neutral score for these subfactors (items i and ii above-a neutral score is half of the total points available in a subset of possible points). If you do not provide any response for these items, you may receive a score of 0 for these factors.

**4.** Expenditure of Awarded Grant Funds: Under this criterion, proposals will be evaluated based on their approach, procedures, and controls for ensuring that awarded grant funds will be expended in a timely and efficient manner.

#### **B.** Review and Selection Process.

- 1. Initial Proposals. Eligible initial proposals will be evaluated by the EPA Region 9 Review Committee which will score and rank the proposals using the evaluation criteria identified above in Section V.A.1. The Review Committee will consist of EPA staff and may also include representatives from other state or Federal agencies. Applicants with the highest ranking initial proposals will be invited to submit full proposals.
- 2. Full Proposals. Those applicants identified to submit full proposals for review will be notified by EPA and will be asked to submit additional information requested by EPA (including the information identified in Section IV.C.) and address the full proposal evaluation criteria in Section V.A.2. The Review Committee will rank and score each full proposal based on the evaluation criteria described above in Section V.A.2.
- 3. Final Selections and Awards. The Review Committee will provide rankings and funding recommendations based on the full proposal scores to the selection official (Director of EPA Region 9 Water Division.) Final funding decisions will be made by the selection official, in consultation with the Regional Administrator. In making the final funding decisions, the selection official in consultation with the Regional Administrator, may also take into account the following factors: geographic distribution of funds, diversity of projects, and availability of funds. Applicants selected for funding will be asked to submit a final application package in order to award funds.

#### VI. Award Administration Information

A. Award Notices. EPA anticipates notification to successful applicants will be made via electronic and/or postal mail by November 2013. The notification will be sent to the original signer of the proposal or the project contact listed in the proposal. This notification, which informs the applicant that its proposal has been selected and is being recommended for award, is not an authorization to begin work. The official notification of an award will be made by EPA's Grants Management Office. Applicants are cautioned that only a grants officer is authorized to bind the Government to the expenditure of funds; selection does not guarantee an award will be made. For example, statutory authorization, funding or other issues discovered during the award process may affect the ability of EPA to make an award to an applicant. The award notice, signed by an EPA grants officer, is the authorizing document and will be provided through electronic or postal mail. The successful applicant may need to prepare and submit additional documents and forms (e.g., work plan), which must be approved by EPA, before the grant can officially be awarded. The time between notification of selection and award of a grant can take up to 90 days or longer.

Unsuccessful applicants will receive notification by email or telephone within 15 days of the final selections for award.

EPA reserves the right to negotiate and/or adjust the final grant amount and work plan content prior to award, as appropriate and consistent with Agency policy including the Assistance Agreement Competition Policy, EPA Order 5700.5A1. An approvable work plan is required to include:

- 1. Work plan components to be funded under the grant;
- 2. Estimated funding amounts for each work plan component;
- 3. Work plan commitments/outputs for each work plan component and a timeframe for their accomplishment;
- 4. Performance evaluation process and reporting schedule; and
- 5. Roles and responsibilities of the recipient and EPA in carrying out the work plan commitments.

In addition, successful applicants will be required to certify that they have not been Debarred or Suspended from participation in federal assistance awards in accordance with 2 CFR Part 180.

**B.** Administrative and National Policy Requirements. The general award and administration process for all San Francisco Bay Area Water Quality Improvement Funds is governed by regulations at 40 CFR Part 30 ("Uniform Administrative Requirements for Grants and Agreements to Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations") and 40 CFR Part 31 ("Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"). All costs incurred under this program must be allowable under the applicable Code of Federal Regulation (formerly Office of Management and Budget (OMB) Cost Circulars): 2CFR 225 (formerly A-87) for States and local governments, 2 CFR 230 (formerly A-122) for nonprofit organizations, or 2 CFR 220 (formerly A-21) for universities. Copies of these circulars can be found at <a href="http://www.whitehouse.gov/omb/circulars/">http://www.whitehouse.gov/omb/circulars/</a>. In accordance with EPA policy and the OMB circulars, as appropriate, any recipient of funding must agree not to use assistance funds for lobbying, fund-raising, or political activities (i.e., lobbying members of Congress or lobbying for other Federal grants, cooperative agreements, or contracts.)

C. Reporting Requirements. Project monitoring and reporting requirements can be found in 40 CFR Part 30.50-30.52, 40 CFR Part 31.40-31.41. In general, recipients are responsible for managing the day-to-day operations and activities supported by the grant or cooperative agreement to assure compliance with applicable federal requirements, and for ensuring that established milestones and performance goals are being achieved. Performance reports and financial reports must be submitted quarterly and are due 30 days after the reporting period. The format for these reports will be identified during the grant application time frame, and will include reporting on established performance measures indicated in the project description (i.e., goals, outputs and outcomes). The final report is due 90 days after the assistance agreement has expired.

**<u>D. Pre-award Costs.</u>** Under this solicitation, EPA will consider the selected applicant's request to be reimbursed for costs incurred prior to the grant award. However, such costs

must comply with the cost principles of 2 CFR 220 or 225. Therefore, if costs are incurred before the award, they are incurred at the applicant's own risk. In addition, preaward costs do not include any <u>direct</u> costs associated with responding to a solicitation or in finalizing the application package.

E. Compliance with the National Environmental Policy Act and other applicable environmental laws. Projects funded by the SFBWQIF are authorized under Section 320 of the Clean Water Act (CWA) also referred to as the National Estuary Program (NEP). The NEP does not require compliance with the National Environmental Policy Act (NEPA) pursuant to section 511 (c) (1) of the CWA, 33 U.S.C. §1371(c) (1). However, compliance with other Federal environmental laws, such as the Endangered Species Act and the National Historic Preservation Act, and state laws and regulations may be required.

F. Competency of Organizations Generating Environmental Measurement Data. In accordance with EPA's Policy to Assure the Competency of Organizations Generating Environmental Measurement Data under Agency-Funded Assistance Agreements, successful applicants/recipients for awards under this competition that are expected to exceed \$200,000 in federal funding that involve the generation or use of environmental data must demonstrate competency to perform such work either prior to award, or if that is not practicable or will delay the award, prior to beginning any work involving the generation or use of environmental data under the agreement. Applicants that demonstrate competency prior to award must maintain competency, as appropriate, during the award period. Applicants that do not address competency prior to award must demonstrate competency prior to beginning any work involving the generation or use of environmental data under the agreement and maintain competency, as appropriate, during the award period. A copy of the Policy is available online at <a href="http://www.epa.gov/fem/pdfs/competency-policy-aaia-new.pdf">http://www.epa.gov/fem/pdfs/competency-policy-aaia-new.pdf</a> or a copy may also be requested by contacting the person listed in Section VII of the announcement.

G. Additional Provisions for Applicants under this Solicitation. Additional provisions that apply to this solicitation and/or awards made under this solicitation, including but not limited to those related to human subjects, DUNS, registration in the System of Award Management (SAM), copyrights, disputes, and administrative capability, can be found at <a href="http://www.epa.gov/ogd/competition/solicitation\_provisions.htm">http://www.epa.gov/ogd/competition/solicitation\_provisions.htm</a>. These, and the other

http://www.epa.gov/ogd/competition/solicitation\_provisions.htm. These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

#### **VII. Agency Contact**

For additional information, please contact:

Luisa Valiela, SFBWQIF Lead Watersheds Office EPA Region 9 (WTR-3) San Francisco, CA 94105 415-972-3400 valiela.luisa@epa.gov Erica Yelensky Watersheds Office EPA Region 9 (WTR-3) San Francisco, CA 94105 415-972-3021 yelensky.erica@epa.gov

#### **VIII. Other Information**

A. Quality Assurance and Quality Control (QA/QC). Certain quality assurance and/or quality control (QA/QC) and peer review requirements are applicable to the collection of environmental data. Environmental data are any measurements or information that describe environmental processes, location, or condition; ecological or health effects and consequences; or the performance of environmental technology. Environmental data also include information collected directly from measurements, produced from models, and obtained from other sources such as data bases or published literature. Regulations pertaining to QA/QC requirements can be found in 40 CFR Parts 30.54 and 31.45. Additional guidance can be found at http://www.epa.gov/QUALITY/qa\_docs.html. Applicants should allow sufficient time and resources for this process in their proposed projects. If your organization does not have a Quality Management System in place, one must be developed. A project specific Quality Assurance Project Plan (QAPP) must be submitted and approved by EPA if your project includes sampling of any kind. If your proposal is selected and a QAPP required, you are encouraged to contact the EPA's QA Office at 415-972-3411 as soon as possible to discuss the process and set up a schedule for review. Allow 3-4 months in your timeline for approval of these plans.

**B.** Assistance Agreement Terms and Conditions. Information Technology. As a Term and Condition of the grant, recipients will be required to institute standardized reporting requirements into their work plans and include such costs in their budgets. All environmental data generated as part of the project should be comparable to the state's Surface Water Ambient Monitoring Program (SWAMP).

<u>C. Useful References.</u> This information is for reference purposes only. See Section VI.F. above for EPA's website guidelines.

- S.F. Bay Area TMDLs and 303(d) List of Impaired Water Bodies http://www.waterboards.ca.gov/sanfranciscobay/water\_issues/programs/TMDLs/
- California Surface Water Ambient Monitoring Program (SWAMP) http://www.waterboards.ca.gov/water\_issues/programs/swamp/
- S.F. Bay Regional Water Quality Control Board Basin Plan http://www.swrcb.ca.gov/sanfranciscobay/basin\_planning.shtml

- Handbook for Developing Watershed Plans to Restore and Protect Our Waters <a href="http://www.epa.gov/nps/watershed\_handbook/">http://www.epa.gov/nps/watershed\_handbook/</a>
- EPA Region 9 Watershed Priorities http://www.epa.gov/region09/water/watershed/index.html
- SF Bay Regional Monitoring Program (RMP) http://www.sfei.org/rmp/
- San Francisco Bay Delta Comprehensive Conservation and Management Plan http://www.sfestuary.org/about-the-estuary/documents-reports/
- Bay Area Integrated Regional Water Management Plan <a href="http://bairwmp.org/">http://bairwmp.org/</a>
- Association of Bay Area Governments FOCUS Program http://www.bayareavision.org/
- San Francisco Bay Area Wetlands Regional Monitoring Program http://wrmp.org/protocols.html#protocols
- Bay Area's Baylands Ecosystem Habitat Goals
   http://www.sfei.org/sites/default/files/sfbaygoals031799.pdf

## Attachment A: SFEP CCMP Objectives and Actions San Francisco Bay Area Water Quality Improvement Fund

#### **2013 Request for Initial Proposals**

Review this list to indicate the San Francisco Estuary Partnership's Comprehensive Conservation and Management Plan (CCMP) Objective(s) and Action(s) to be addressed by your proposed activities. The list includes the CCMP objectives and actions that address the program priorities for this grant program. Proposals must demonstrate consistency with the SFEP CCMP by indicating the CCMP objective(s) and action(s) to be implemented. Provide this information in the proposal narrative section of your initial proposal.

| AQUATIC RESOURCES     |   |
|-----------------------|---|
| <b>Objective AR-2</b> | Species-specific and non-indigenous management actions      |
| Action AR-2.3         | Control problem non-native invasive species                 |
| Action AR-2.7         | Identify & control fish contaminants                        |
| <b>Objective AR-4</b> | Water management, flows affecting aquatic resources         |
| Action AR-4.7         | Protect shaded riverine aquatic habitats                    |
| Action AR-4.8         | Increase shaded riverine aquatic habitat                    |
| Action AR-4.9         | Protect & maintain tule islands, tidal wetlands & berms     |
| Action AR-4.10        | Decrease adverse effects of dredging & flood control        |
| Action AR-4.11        | Protect remnant stream habitats                             |
| Action AR-4.12        | Protect marshes, wetlands, and tidal sloughs                |
| <b>Objective AR-6</b> | Develop & implement Upper Estuary programs                  |
| Action AR 6.6         | Bay tributary stream flow analysis and stream restoration   |
| <b>Objective AR-7</b> | Protect, enhance, and restore subtidal habitats             |
| <b>Objective AR-9</b> | Reduce and prevent marine debris                            |
| Action AR-9.1         | Improve understanding of types and impacts of marine debris |
| Action AR-9.2         | Expand existing prevention and cleanup programs             |

| WILDLIFE              |  |
|-----------------------|--|
| <b>Objective WL-1</b> | Create & restore critical plant & animal habitats                  |
| Action WL-1.1         | Restore tidal salt marsh for clapper rail & salt marsh mouse       |
| Action WL-1.3         | Acquire & restore wetlands   |
| Action WL-1.4         | Restore tidal marshes  |
| Action WL-1.5         | Identify, convert, or restore non-wetlands to wetlands or riparian |

| WETLANDS MANAGEMENT   |  |
|-----------------------|--|
| Objective WT-1        | Create a comprehensive Estuary-wide wetlands management program        |
| Action WT-1.3         | Protect wetland buffer areas; transitional habitats                    |
| Action WT-1.4         | Identify & protect & restore seasonal wetlands                         |
| <b>Objective WT-3</b> | Protect wetlands and expand acquisition                                |
| Action WT-3.2         | Expand financial & technical assistance to landowners                  |
| Action WT-3.3         | Encourage wetland protection bylaws                                    |
| <b>Objective WT-4</b> | Expand wetland resource base   |
| Action WT-4.1         | Identify, convert, restore non-wetland to wetlands or riparian         |
| Action WT-4.2         | Prevent non-native invasive species in wetland restoration projects    |
| Action WT-4.3         | Identify, develop & implement success criteria for wetland restoration |
| <b>Objective WT-5</b> | Improve regional monitoring & tracking of restoration projects         |
| Action WT-5.1.1       | Implement wetlands tracking, data management & coordination            |

| POLLUTION PREVENTION  |  |
|-----------------------|--|
| <b>Objective PO-1</b> | Reduce pollutants by establishing a Pollution Prevention Program |
| Action PO-1.2         | Use effluent credits to encourage treating urban runoff          |
| Action PO-1.4.1       | Improve agricultural practices to reduce pollutants              |
| Action PO-1.4.2       | Implement control measures to reduce ag pollution                |
| Action PO-1.8         | Pollution prevention to trash, bacteria, sediment & nutrients    |
| <b>Objective PO-2</b> | Improve regulatory systems for point & nonpoint source control   |
| Action PO-2.3         | Control selenium and mercury in the Estuary                      |
| Action PO-2.4         | Urban runoff management update                                   |
| Action PO-2.5         | Control measures for energy & transportation systems             |
| Action PO-2.6         | Control agricultural sources of toxic substances                 |
| Action PO-2.7         | Reduce toxic loadings from mines                                 |
| <b>Objective PO-3</b> | Remediate pollution threats to public health and wildlife        |
| Action PO-3.1         | Cleanup of contaminated sites—new priorities                     |
| Action PO-3.2         | Expedite cleanup of contaminated sites—improve processes         |
| Action PO-3.3         | Funding of large-scale infrastructure improvements               |
| <b>Objective PO-4</b> | Improve water quality by restoring tidal wetlands, riparian &    |
|                       | floodplains  |
| Action PO-4.1         | Incentives to restore stream and wetland functions               |
| Action PO-4.2         | Appropriate regulatory oversight and collaboration               |
| Action PO-4.3         | Encourage opportunistic stream/wetland restoration               |

| WATER USE            |  |
|----------------------|--|
| <b>Objective WU-</b> | Develop water conservation methods & facilities                |
| 2                    |  |
| Action WU-2.1        | Ensure efficient agricultural water management                 |
| Action WU-2.2        | New methods of agricultural conservation                       |
| Action WU-2.3        | Water districts & municipalities develop conservation measures |

| LAND USE AND WATERSHED MANAGEMENT |   |
|-----------------------------------|---|
| Objective LU-1                    | Improve planning, regulatory, and development programs of local,          |
|                                   | regional, and state agencies to protect resources of the Estuary          |
| Action LU-1.1                     | Local general plans should incorporate watershed protection               |
| Action LU-1.1.1                   | Incorporate nonpoint source controls into local govt. & businesses        |
| Action LU-1.5                     | Promote stormwater BMPs and guidelines for site planning                  |
| Action LU-1.6                     | Educate and train planners, public works depts. & builders on sustainable |
|                                   | design and building practices   |
| <b>Objective LU-2</b>             | Coordinate and improve integrated regional land use management            |
| Action LU-2.3                     | Adopt & implement regional polices to protect and restore natural         |
|                                   | floodplains   |
| Action LU-2.6                     | Prepare and implement Watershed Management Plans                          |
| Action LU-2.7                     | Adopt & implement natural stream & wetland function policies              |
| Action LU-2.8                     | Increase incentives to economically disadvantaged communities             |
| <b>Objective LU-5</b>             | Develop new public & private economic incentives for protection           |
| Action LU-5.3                     | Promote private sector protection & restoration efforts                   |

# Attachment B: GRANTS.GOV INSTRUCTIONS San Francisco Bay Area Water Quality Improvement Fund

#### **2013 Request for Initial Proposals**

#### 1. ELECTRONIC SUBMISSION

Applicants who wish to submit their initial proposals electronically through the Grants.gov web site may do so. Grants.gov allows an applicant to download a proposal package template and complete the package offline based on agency instructions. After an applicant completes the required proposal package, it can submit the package electronically to Grants.gov, which transmits the package to the funding agency. Pictures and/or maps will need to be scanned so that they can be submitted electronically as part of the proposal package.

If you wish to apply electronically via Grants.gov, the electronic submission of your proposal package must be made by an official representative of your institution who is registered with Grants.gov and authorized to sign applications for Federal assistance. For more information, go to <a href="http://www.grants.gov">http://www.grants.gov</a> and click on "Get Registered" on the left side of the page. *Note that the registration process may take a week or longer to complete.* If your organization is not currently registered with Grants.gov, please encourage your office to designate an Authorized Organization Representative (AOR) and ask that individual to begin the registration process as soon as possible.

To begin the proposal process under this grant announcement, go to <a href="http://www.grants.gov">http://www.grants.gov</a> and click on the "Apply for Grants" tab on the left side of the page. Then click on "Apply Step 1: Download a Grant Application Package" to download the compatible Adobe viewer and obtain the application package. To apply through grants.gov you must use Adobe Reader applications and download the compatible Adobe Reader version (Adobe Reader applications are available to download for free on the Grants.gov website.) For more information on Adobe Reader please visit the Help section on grants.gov at

http://www.grants.gov/help/help.jsp or http://www.grants.gov/aboutgrants/program\_status.jsp).

You may retrieve the application package and instructions by entering the Funding Opportunity Number, **EPA-R9-WTR3-13-001**, or the CFDA number that applies to the announcement (CFDA 66.126), in the applicable field. Then complete and submit the proposal package as indicated. You may also be able to access the proposal package by clicking on the Application button at the top right of the synopsis page for this announcement on <a href="http://www.grants.gov">http://www.grants.gov</a> (to find the synopsis page, go to <a href="http://www.grants.gov">http://www.grants.gov</a> and click on the "Find Grant Opportunities" button on the left side of the page and then go to Search Opportunities and use the Browse by Agency feature to find EPA opportunities).

#### **Application Submission Deadline**

Your organization's AOR must submit your complete initial proposal electronically to EPA through Grants.gov (<a href="http://www.grants.gov">http://www.grants.gov</a>) no later than July 9, 2013 at **5:00 P.M.** Pacific Standard Time.

Please submit **all** initial proposal materials described below. To view the full funding announcement, go to <a href="http://www.grants.gov">http://www.grants.gov</a> and click on "Find Grant Opportunities" on the left side of the page and then click on Search Opportunities/Browse by Agency and select Environmental Protection Agency. Proposal materials submitted through Grants.gov will be time/date stamped.

#### How to submit your initial proposal through Grants.gov

The requirements for the initial proposal submission are in Section IV.B of the solicitation. Applicants are required to submit the following documents electronically through Grants.gov. All documents should appear in the "Mandatory Documents" box on the Grants.gov Grant Application Package page.

- For the initial proposal narrative portion, you will need to attach electronic files. Prepare this as described in Section IV.B, Content of Initial Proposal Submission, of the announcement and save the document to your computer as an MS Word or PDF file. When you are ready to attach it to the proposal package, click on "Project Narrative Attachment Form," and open the form. Click "Add Mandatory Project Narrative File," and then attach it (previously saved to your computer) using the browse window that appears. You may then click "View Mandatory Project Narrative File" to view it. Enter a brief descriptive title of your project in the space beside "Mandatory Project Narrative File Filename," the filename should be no more than 40 characters long. If there are other attachments that you need to submit to accompany your proposal narrative (such as a map), you may click "add Optional Project Narrative File" and proceed as before. When you have finished attaching the necessary documents, click "Close Form." When you return to the "Grant Application Package" page, select the "Project Narrative Attachment Form" and click "Move Form to Submission List." The form should now appear in the box that says, "Mandatory Completed Documents for Submission."
- The following 2 additional documents should appear in the "Mandatory Documents" box on the Grants.gov Grant Application Package page.
  - 1. SF 424 Application for Federal Assistance
  - 2. SF 424A Budget Information for Non-Construction Programs
- For each document, click on the appropriate form and then click "Open Form" below the box. The fields that must be completed will be highlighted in yellow. Optional fields and completed fields will be displayed in white. If you enter an invalid response or incomplete information in a field, you will receive an error message. When you have finished filling out each form, click "Save." When you return to the electronic Grant Application Package page, click on the form you

just completed, and then click on the box that says, "Move Form to Submission List." This action moves the document over to the box that says, "Mandatory Completed Documents for Submission."

Please note that applicants are limited to using the following characters in all attachment file names. Valid file names may only include the following UTF-8 characters:

 A-Z, a-z, 0-9, underscore (\_), hyphen (-), space, period. If applicants use any other characters when naming their attachment files their applications will be rejected by grants.gov.

Once you have finished filling out all of the forms/attachments and they appear in one of the "Completed Documents for Submission" boxes, click the "Save" button that appears at the top of the Web page. It is suggested that you save the document a second time, using a different name, since this will make it easier to submit an amended package later if necessary.

Please use the following format when saving your file: "Applicant Name – FY13 – "Reg9 SFBayFund Proposal" – 1<sup>st</sup> Submission." If it becomes necessary to submit an amended package at a later date, then the name of the 2<sup>nd</sup> submission should be changed to "Applicant Name – "Reg9 SFBayFund Proposal" – 2nd Submission." Once your proposal has been completed and saved, send it to your AOR for submission to U.S. EPA through Grants.gov. Please advise your AOR to close all other software programs before attempting to submit the proposal package through Grants.gov.

From the "Grant Application Package" page, your AOR may submit the proposal package by clicking the "Submit" button that appears at the top of the page. The AOR will then be asked to verify the agency and funding opportunity number for which the package is being submitted. If problems are encountered during the submission process, the AOR should reboot his/her computer before trying to submit the proposal package again. [It may be necessary to turn off the computer (not just restart it) before attempting to submit the package again.] If the AOR continues to experience submission problems, he/she may contact Grants.gov for assistance by phone at 1-800-518-4726 or email at <a href="http://www.grants.gov/help/help.jsp">http://www.grants.gov/help/help.jsp</a>. If you have any other technical difficulties while applying electronically, please refer to <a href="http://www.grants.gov/help/help.jsp">http://www.grants.gov/help/help.jsp</a>. Alternatively, please contact Luisa Valiela if you are having difficulties.

If you have not received a confirmation of receipt from EPA (*not from Grants.gov*) within 30 days of the proposal deadline, please contact Luisa Valiela (contact information below). Failure to do so may result in your proposal not being reviewed.

#### 2. AGENCY CONTACT

Luisa Valiela

Phone: (415) 972-3400

Email: valiela.luisa@epa.gov