



Resilience Mitigation Financing Webinar

December 7, 2016
Water Security Division



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Resilience Mitigation Financing: Webinar Agenda

- EPA's Role
- Definitions and How to Connect into Mitigation Process to get Funding
- Case Studies: Financing Mitigation for Utilities in UT & FL
- EPA Tools on Resilience Mitigation Financing
- Q&A



EPA's Role

- Water Security Division
 - supports resilience of water/wastewater sector to all hazards
 - works with partners (e.g., EPA, FEMA, State Primacy, State Hazard Mitigation Offices, locals, utilities)
 - supports policies to fund disaster resilience and mitigation
 - develops tools and trainings to help get Mitigation Funding

www.epa.gov/waterresilience




EPA's Role (continued)

- State Revolving Funds
 - Clean Water & Drinking Water SRFs
 - Low interest loans for utilities for various purposes and more recently emphasizing disaster resilience
- Water Infrastructure and Resilience Finance Center

Water Infrastructure and Resiliency Finance Center




Jim Gebhardt
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Water Infrastructure and Resiliency Finance Center

The Water Finance Center is an **information and assistance center**, helping communities make informed decisions for **drinking water, wastewater, and stormwater infrastructure** to protect human health and the environment.




Research Advise Innovate Network



Water Finance Center: Select Activities

- Looking at Alternative Infrastructure Delivery Approaches
 - Partnering with infrastructure exchanges
 - Working with UNC Env. Finance Center on a P3 Case Studies Report (January)
- National Drought Resilience Partnership
 - A presidential directive to coordinate federal agency response with state / local government
 - Responsible for leading rollout of market based infrastructure innovations that can support drought resilience investment
- Hosting Regional Finance Forums
 - California Stormwater Finance Forums – April 2017 (LA and Bay Area)
- Water Finance Clearinghouse – Summer 2017
- Working with stakeholders on financial leadership opportunities for economically challenged communities
- WaterCARE
 - Providing direct technical assistance to 10 communities
- <https://www.epa.gov/waterfinancecenter>





Definitions and How to Connect into Mitigation Process to Get Funding



Definitions

- **Resilience** – ability of water and wastewater utilities to withstand a disaster, minimize damage and rapidly recover from disruptions to service
- **Mitigation** – utility actions or equipment to reduce or prevent damage or service disruptions, thereby building resilience
- **Financing** – approaches to fund resilience through implementing mitigation measures



Why Mitigate Hazards?

- Cost-effective
 - Floods \$1 to \$5
 - Wildfire \$1 to \$5
 - Earthquake \$1 to \$1.5
- Better withstand disaster and recover quicker
- Assure customers



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Mitigation Example




Mitigation for Water Sector




How to Connect into Mitigation Process to Get Funding





Water Sector	Hazard Mitigation
EPA Water Program	FEMA Hazard Mitigation
State Primacy/Environmental Agency	State Hazard Mitigation Officer-SHMO
Water/Wastewater Utility	Local Hazard Mitigation Planner

 **How to Connect into Mitigation Process to Get Funding**





Water Sector	Hazard Mitigation
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 **How to Connect into Mitigation Process to Get Funding**





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HAZARD MITIGATION FOR NATURAL DISASTERS

A Starter Guide for Water and Wastewater Utilities

Select a menu option below. New users should start with Overview Hazard Mitigation.

- 
Overview Hazard Mitigation
- 
Join Local Mitigation Efforts
- 
Develop Mitigation Projects
- 
Implement and Fund Project
- 
Mitigation Case Study



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Overview Hazard Mitigation




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Mitigation Case Study



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Overview Hazard Mitigation

Join Local Mitigation Efforts

Develop Mitigation Projects

Implement and Fund Project

Mitigation Case Study

Implement and Fund Projects

Federal Funding for Mitigation Projects

There are several federal funding programs that can be used for mitigation projects. Receiving funding often requires diligence, good connections with local mitigation planners, and a strong project candidate and application.

Fed FUNDS. To help utilities understand and obtain federal disaster and mitigation funds, EPA developed Fed FUNDS. [Fed FUNDS](#) can help you quickly screen for applicable funding programs from FEMA, EPA, HUD, USDA, and SBA. It also provides examples of successful utility applications and tips to get funding.

FEMA's Mitigation Funding Programs. FEMA has four individual programs to fund mitigation projects:

- Public Assistance (PA) Grant Program*;
- Hazard Mitigation Grant Program (HMGP),
- Pre-Disaster Mitigation Program (PDM), and
- Flood Mitigation Assistance Program (FMA).

Each program has specific project eligibility and funding requirements. Publically-owned/operated utilities are eligible for all programs, while private non-profit utilities have limited program eligibility. Typically, the proposed mitigation projects must go through a cost-benefit analysis

and show clear benefits. Also, FEMA grants usually cover 75% and require a 25% state and local match. The 25% match could consist of a utility's personnel time and effort instead of actual funds. Also, other grants (e.g., HUD Community Development Block Grant) often can be used for the 25% state or local match.

FEMA's HMGP is the primary source of funds for communities to implement the hazard mitigation projects following a Presidential Disaster Declaration. To be eligible, utilities must be part of a locally adopted and FEMA-approved Local Mitigation Plan. Specific projects should be listed in the plan.

See [16 examples](#) of FEMA-funded projects for water and wastewater utilities that participated in local mitigation planning.

* After a Presidential Disaster Declaration, damaged water and wastewater facilities may be eligible for these grants to repair and potentially mitigate the damaged portions of the facility.

Use Pre-Disaster

Which Funding is Right for You?

Be Prepared to Tap into Funding

Use During a Disaster

Currently in a Disaster?


Here are forms to document the damage, costs, and repairs.

Use Pre- or Post-Disaster

Federal Disaster Funding Programs

Utility Examples, Training, & Assistance

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Highlights of “Fund Projects” Page

- There are several federal funding programs for mitigation
- Success requires diligence, a strong project, connections with local mitigation planner, and a thorough application

FEMA’s Mitigation Funding Programs

- Public Assistance
- Hazard Mitigation
- Pre-Disaster Mitigation
- Flood Mitigation

Requires Benefit-Cost Analysis

Provides 75% federal and 25% State/Local match

EPA’s Fed FUNDS



HAZARD MITIGATION FOR NATURAL DISASTERS

A Starter Guide for Water and Wastewater Utilities

www.epa.gov/waterutilityresponse/hazard-mitigation-natural-disasters

Select a menu option below. New users should start with Overview Hazard Mitigation.



Overview Hazard Mitigation



Join Local Mitigation Efforts





Develop Mitigation Projects





Implement and Fund Project



Mitigation Case Study



Poll #4



EPA Tools on Resilience Mitigation Financing


<u>Connects Utilities to Funding</u>	<u>Mitigation Ideas, Case Studies, and Best Practices</u>
<ul style="list-style-type: none">• Hazard Mitigation for Natural Disasters: A Starter Guide• Fed FUNDS<ul style="list-style-type: none">◦ <u>Federal Funding for Utilities - Water/Wastewater - in National Disasters</u>	<ul style="list-style-type: none">• Flood Resilience Guide• Drought Resilience Guide• Power Outage Resilience Guide• Earthquake Resilience Guide (next year)

 **Fed FUNDS**

- Guides utilities to applicable disaster funding opportunities
- Provides past successful funding applications from utilities
- Lists funding mentors
- Provides forms to document damage and costs




epa.gov/fedfunds

 **Which Funding Is Right for You?**
ENTER >>

1. Based on the following sectors, how would you classify your water/wastewater utility?
 - Public
 - Private Non-Profit
 - Private For-Profit
2. Do you want to learn about funding opportunities to repair damage to your utility from an emergency?
 - Yes
 - No
3. Are you seeking funding for mitigation activities that reduce losses from future disaster damages?
 - Yes
 - No
4. Are you a rural utility (population less than 10,000)?
 - Yes
 - No

[Submit for Summary Report](#)




Federal Disaster Funding Summary Report

3. You said that you are seeking funding for mitigation activities that reduce losses from future disaster damages.

FEMA has Hazard Mitigation Assistance (HMA) grants to help communities become resilient. They fund mitigation projects before a disaster occurs (pre-disaster) or after a disaster to prevent future damage (post-disaster). Consider these grants:


FEMA Program*	Description
Public Assistance (PA) Grant Program.	Provides grants to repair damage after a Presidential Disaster Declaration. Also, may be used to upgrade damaged parts of a facility to mitigate impact from future disasters (e.g., raise replaced equipment above flood levels).
Hazard Mitigation Grant Program	Provides grants for long-term hazard mitigation measures after a Presidential Disaster Declaration. During reconstruction, may be used to upgrade undamaged parts of a facility that remain at risk.
Pre-Disaster Mitigation Program	Provides planning grants to reduce overall risk to the population and structures from future hazard events or annual projects for water utilities.
Flood Mitigation Assistance Program	Provides grants to reduce or eliminate risk of flood damage to facilities that are insured under National Flood Insurance Program.

EPA has two State Revolving Funds (SRFs): the [Drinking Water SRF](#) and the [Clean Water SRF](#). The SRFs, administered by the states, can support mitigation or post-disaster recovery and rebuilding projects.



Overview of Federal Disaster Funding Programs

Overview of Programs
FEMA Public Assistance
FEMA Mitigation Programs
USDA Emergency Water Grants
EPA State Revolving Funds
HUD Community Grants
SBA Loans

[Fed FUNDS Home](#) 

Below are short descriptions of federal disaster funding programs from FEMA, USDA, EPA, HUD, and SBA. The descriptions are followed by a table of eligible uses for each funding program, including planning, construction, operations and maintenance.


FEMA Public Assistance (PA) Grant Program

Following a Presidentially-Declared Disaster, FEMA's Public Assistance Grant Program provides supplemental federal disaster grant assistance for emergency and permanent repair, replacement, or restoration of disaster-damaged facilities. Emergency work includes debris removal and disposal and removal and demolition of unsafe structures. Permanent work includes repair, replacement, or restoration of disaster-damaged facilities to pre-disaster design. The program applies to publicly owned water and wastewater utilities or private nonprofit utilities (e.g., cooperatives), but does not cover private, for-profit drinking water or wastewater utilities.

As part of the Public Assistance Grant Program, FEMA would consider hazard mitigation proposals that would eliminate or reduce future damages similar to those that occurred during the current Presidentially- Declared Disaster. For example, for a Presidentially-Declared Disaster that flooded a culvert and washed out a road,

East Valley Water District

East Valley Water District in California obtained reimbursement of more than \$400,000 from FEMA Public Assistance Grants for disaster response to the Old Fire in the San Bernardino National Forest in October 2003.





Utility Examples,
Training, & Assistance

Utility Examples

Training

Assistance – Mentors and Technical Contacts

Utility Examples

- Portland Water Bureau FEMA Pre-Disaster Mitigation for Conduits
- Metro Water Services (Nashville), FEMA Public Assistance Mitigation Proposal for 2010 Flooding
- East Valley Water District: FEMA Public Assistance Mitigation Applications for Flood Damage in 2010
- Examples of 16 Successful FEMA Mitigation Projects for Water/Wastewater Utilities



Questions and Answers

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