

EPA FOIA ANNUAL REPORT

FOR

10/01/2014

THROUGH

09/30/2015

The following **Annual Freedom of Information Act** report covers the Period 10/01/2014, through 09/30/2015, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING REPORT

1. Name, Title, Address, and Telephone

Number Larry F. Gottesman

National FOIA Officer

Headquarters

U.S Environmental Protection

Agency 1200 Pennsylvania Ave, NW

Washington, DC 20460

202-566-2162

2. Electronic address for Report on the agency web site. <http://www.epa.gov/foia/annual.html>

3. How to obtain a copy of the report in paper form.

National FOIA Officer, FOIA and Privacy Branch, Office of Environmental Information, U.S.

Environmental Protection Agency, 1200 Pennsylvania Ave, NW, Mail Code 2822 T, Washington, DC 20460, (202) 566-1667

II. MAKING A FOIA REQUEST

FOIA requests may be submitted through FOIAonline at <https://foiaonline.regulations.gov>, or to the addresses located in FOIA.gov for EPA. If you are looking for information regarding a specific property, you may obtain this information immediately without filing a FOIA request by using the MyProperty portal, accessible at <http://www.epa.gov/enviro/html/fii/myproperty.html>.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Agency Component Abbreviations:

Component Abbreviation	Component Name
HQ	Headquarters
Region 1	Region 1 (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Region 2	Region 2 (New Jersey, New York, Puerto Rico, U.S. Virgin Islands and 7 Tribal Nations)
Region 3	Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia ()
Region 4	Region 4 (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina)
Region 5	Region 5 (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Region 6	Region 6 (Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Region 7	Region 7 (Iowa, Kansas, Missouri, Nebraska)
Region 8	Region 8 (Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Region 9	Region 9 (Arizona, California, Hawaii, Nevada, American Samoa, Guam)
Region 10	Region 10 (Alaska, Idaho, Oregon, Washington)

2. Definitions:

- a. **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.

- g. **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)
- h. **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request
- i. **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
- l. **Expedited Processing** - an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
- m. **Simple Request** - a FOIA request that an agency using multi-track processing places in its fastest (non- expedited) track based on the low volume and/or simplicity of the records requested.
- n. **Complex Request** - a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- o. **Partial Grant/Partial Denial** - in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to

otherwise deny a portion of the request for a procedural reason.

- p. **Pending Request or Pending Administrative Appeal** - a request or administrative appeal for which an agency has not taken final action in all respects.
- q. **Perfect Request** - a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- r. **Processed Request or Processed Administrative Appeal** - a request or administrative appeal for which an agency has taken final action in all respects.
- s. **Range in Number of Days** - the lowest and highest number of days to process requests or administrative appeals.
- t. **Time Limits** - the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

3.

3. Concise descriptions of the nine FOIA exemptions :

- a. **Exemption 1** - classified national defense and foreign relations information
- b. **Exemption 2** - internal agency rules and practices
- c. **Exemption 3** - information that is prohibited from disclosure by another federal law
- d. **Exemption 4** - trade secrets and other confidential business information
- e. **Exemption 5** - inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6** - information involving matters of personal privacy
- g. **Exemption 7** - records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8** - information relating to the supervision of financial institutions
- i. **Exemption 9** - geological information on wells

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
42 U.S.C. 300i-2(a)(3) (Terrorist and Other Intentional Acts)	Vulnerability assessments	N/A	Region 9	1	1
7 U.S.C. § 136i-1 (Federal Insecticide, Fungicide, and Rodenticide Act)	Data "that would directly or indirectly reveal the identity of individual producers [of certain pesticides]"	Doe v. Veneman, 380 F. 3d 807, 818 (5th Cir. 2004).	HQ	10	10

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
HQ	942	1,955	1,912	985
Region 1	21	293	288	26
Region 2	397	2,227	2,274	350
Region 3	510	951	1,278	183
Region 4	88	889	842	135
Region 5	119	1,798	1,780	137
Region 6	97	686	699	84
Region 7	46	472	489	29
Region 8	55	307	310	52
Region 9	62	876	862	76
Region 10	171	456	544	83
AGENCY OVERALL	2,508	10,910	11,278	2,140

In the FY2014 Annual FOIA Report, the total pending equals the total pending at the start of FY2015, however, the number of cases by organizations changed due to transfers between headquarters and regions.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
HQ	977	304	36	174	7	243	15	23	30	11	92	0	1,912
Region 1	129	13	1	110	1	21	3	0	0	1	9	0	288
Region 2	704	20	0	1,324	1	64	1	75	33	1	51	0	2,274
Region 3	874	50	2	200	5	93	17	7	3	0	27	0	1,278
Region 4	312	87	0	341	2	73	0	2	1	2	22	0	842
Region 5	732	50	4	802	0	141	9	9	1	2	30	0	1,780
Region 6	280	40	0	260	1	104	0	0	0	1	13	0	699
Region 7	346	15	2	86	0	35	0	1	0	0	4	0	489
Region 8	157	35	0	59	0	48	0	3	3	2	3	0	310
Region 9	204	49	2	512	0	52	7	7	2	3	24	0	862
Region 10	233	70	2	79	0	135	8	0	1	0	16	0	544
AGENCY OVERALL	4,948	733	49	3,947	17	1,009	60	127	74	23	291	0	11,278

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
HQ	N/A	0	0
Region 1	N/A	0	0
Region 2	N/A	0	0
Region 3	N/A	0	0
Region 4	N/A	0	0
Region 5	N/A	0	0
Region 6	N/A	0	0
Region 7	N/A	0	0
Region 8	N/A	0	0
Region 9	N/A	0	0
Region 10	N/A	0	0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
HQ	0	2	10	54	59	99	3	0	17	9	6	9	0	5
Region 1	0	0	0	3	2	7	1	0	0	1	0	0	0	0
Region 2	0	0	0	0	4	2	3	1	1	0	0	0	0	0
Region 3	0	1	0	4	1	9	2	0	0	0	0	0	0	8
Region 4	0	0	0	22	34	52	12	0	13	0	0	0	0	0
Region 5	0	0	0	12	29	19	15	0	6	2	1	0	0	0
Region 6	0	0	0	11	13	27	0	0	0	0	0	0	0	0
Region 7	0	0	0	5	7	5	1	0	0	0	0	0	0	0
Region 8	0	0	0	0	1	0	1	0	0	0	0	0	0	0
Region 9	0	2	1	14	29	18	9	0	2	1	3	0	0	5
Region 10	0	0	0	0	17	29	2	0	3	2	0	0	0	0
AGENCY OVERALL	0	5	11	125	196	267	49	1	42	15	10	9	0	18

**VIA. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS
 -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
HQ	191	222	228	185
				0
AGENCY OVERALL	191	222	228	185

In the FY2014 Annual FOIA Report, the agency overall number of appeals pending at the end of FY2014 was reported as 223, it should have been 191.

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
HQ	77	27	18	106	228
					0
AGENCY OVERALL	77	27	18	106	228

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
HQ	0	0	0	0	1	2	0	0	2	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	1	2	0	0	2	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
HQ	3	2	23	19	10	5	0	15	13	2	18
AGENCY OVERALL	3	2	23	19	10	5	0	15	13	2	18

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
HQ	Appeal Opened in Error	1	18
	Improper appeal	2	
	Moot	11	
	Unable to Locate or Contact Requester	3	
	appeal withdrawn by requester	1	
AGENCY OVERALL			18

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AGENCY OVERALL	54.50	179.62	<1	2,020.00

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal										
	Number of Days Pending										
AGENCY OVERALL	Date of Appeal	2009-02-02	2006-08-03	2006-06-28	2006-05-24	2006-05-23	2006-03-24	2006-03-24	2006-03-09	2006-02-22	2005-05-12
	Number of Days Pending	1673	2300	2324	2349	2349	2391	2391	2402	2412	2608

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
HQ	13	13.17	<1	726	46	124.94	<1	1756	21	246	4	1229
Region 1	8	8.69	<1	20	30	62.34	4	778	N/A	N/A	N/A	N/A
Region 2	12	11.01	<1	20	45	52.31	<1	624	2	2	2	2
Region 3	15	13.71	1	20	87	106.21	19	386	108	108	28	188
Region 4	15	13.15	<1	20	31	44.76	<1	262	N/A	N/A	N/A	N/A
Region 5	15	13.79	<1	20	25	46.34	1	836	163	163	163	163
Region 6	3	7.3	<1	20	24.5	39.4	<1	952	15	15	5	25
Region 7	10	9.96	<1	20	26	32.47	4	418	118	118	118	118
Region 8	12	12.05	<1	20	28	90.4	<1	491	50	50	28	72
Region 9	14	12.93	<1	20	25	37.49	8	687	8	8	8	8
Region 10	9	9.54	<1	20	50	99.22	<1	1276	N/A	N/A	N/A	N/A
AGENCY OVERALL	13	12.34	<1	726	39	75.88	<1	1756	26.5	154.85	2	1229

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
HQ	14	13.08	<1	20	46	109.65	<1	1756	23	23	23	23
Region 1	9	9.97	<1	20	30	38.29	20	159	N/A	N/A	N/A	N/A
Region 2	13	12.31	<1	20	46	57.49	21	429	2	2	2	2
Region 3	16	14.92	2	20	97	111.88	21	386	108	108	28	188
Region 4	14	12.77	<1	20	34	49.24	<1	262	N/A	N/A	N/A	N/A
Region 5	15	14.15	1	20	29	55.89	14	836	163	163	163	163
Region 6	16	15.75	11	20	30	57.45	<1	952	25	25	25	25
Region 7	8	8.76	<1	20	27	34.31	4	418	N/A	N/A	N/A	N/A
Region 8	11.5	11.6	1	20	33	103.84	7	491	50	50	28	72
Region 9	16	14.12	<1	20	31	47.74	8	687	N/A	N/A	N/A	N/A
Region 10	12	12.16	1	20	50	99.68	2	924	N/A	N/A	N/A	N/A
AGENCY OVERALL	14	12.71	<1	20	46	83.92	<1	1756	28	66.13	2	188

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
HQ	256	383	159	89	64	46	33	40	24	30	81	55	112	1,372
Region 1	3	33	7	2	1	1	0	1	0	0	0	0	2	50
Region 2	1	607	454	281	36	13	7	4	3	4	6	8	7	1,431
Region 3	1	179	136	138	90	111	66	43	67	44	110	6	0	991
Region 4	4	366	77	23	9	9	7	3	6	3	11	0	0	518
Region 5	8	274	38	15	8	4	5	3	0	2	4	1	5	367
Region 6	247	282	74	18	14	5	1	7	5	4	10	2	5	674
Region 7	54	148	12	8	4	4	0	0	1	0	0	0	1	232
Region 8	11	52	7	2	1	1	1	3	0	1	4	4	7	94
Region 9	4	194	25	6	2	1	2	0	0	1	2	1	1	239
Region 10	10	105	66	22	20	10	6	6	6	0	9	4	17	281
AGENCY OVERALL	599	2,623	1,055	604	249	205	128	110	112	89	237	81	157	6,249

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
HQ	5	2	1	0	0	0	0	0	0	0	0	0	2	10
Region 1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Region 2	1	0	0	0	0	0	0	0	0	0	0	0	0	1
Region 3	0	1	0	0	0	0	0	0	0	0	1	0	0	2
Region 4	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Region 5	0	0	0	0	0	0	0	0	1	0	0	0	0	1
Region 6	1	1	0	0	0	0	0	0	0	0	0	0	0	2
Region 7	0	0	0	0	0	1	0	0	0	0	0	0	0	1
Region 8	0	1	0	1	0	0	0	0	0	0	0	0	0	2
Region 9	1	0	0	0	0	0	0	0	0	0	0	0	0	1
Region 10	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	8	5	1	1	0	1	0	0	1	1	0	0	2	20

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
HQ	82	12	9.71	835	238	322.33	16	1.5	231.06
Region 1	17	14	9.71	8	25	148.75	0	N/A	N/A
Region 2	115	8	16.62	202	124.5	162.5	2	14	14
Region 3	71	13	9.93	97	82	232.4	11	406	349.18
Region 4	59	9	8.25	69	84	98.42	0	N/A	N/A
Region 5	100	8	8.14	32	32	129.88	0	N/A	N/A
Region 6	0	N/A	N/A	82	15	44.85	1	219	219
Region 7	20	7	6.75	8	20.5	33	0	N/A	N/A
Region 8	7	6	8.86	30	55	164.3	12	33.5	31.67
Region 9	59	8	7.97	17	40	105.18	0	N/A	N/A
Region 10	12	4	6.08	70	103.5	146.44	1	1	1
AGENCY OVERALL	542	9	10.37	1450	149	246.59	43	35	189.91

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
HQ	Date of Receipt	2010-02-02	2010-01-26	2009-12-09	2009-12-04	2009-10-21	2009-10-21	2009-08-26	2009-06-24	2008-09-12	2008-08-21
	Number of Days Pending	1422	1428	1459	1463	1495	1495	1529	1575	1768	1784
Region 1	Date of Receipt	2015-08-25	2015-08-25	2015-08-25	2015-05-27	2015-05-11	2014-11-19	2014-11-19	2014-11-18	2014-06-16	2012-03-21
	Number of Days Pending	9	25	25	88	99	14	10	11	17	907
Region 2	Date of Receipt	2013-11-27	2013-10-21	2013-09-25	2013-09-09	2013-07-16	2013-07-11	2013-06-11	2013-05-28	2013-05-28	2013-05-09
	Number of Days Pending	444	488	505	517	555	556	579	589	589	601
Region 3	Date of Receipt	2012-12-27	2012-07-30	2012-07-30	2012-06-04	2012-04-24	2012-03-19	2012-03-13	2012-03-12	2012-02-03	2012-02-03
	Number of Days Pending	693	796	819	839	889	889	893	894	919	919
Region 4	Date of Receipt	2014-10-08	2014-10-07	2014-10-02	2014-10-02	2014-10-02	2014-08-26	2014-08-15	2014-08-07	2014-02-04	2013-08-12
	Number of Days Pending	245	246	249	249	249	275	282	288	18	536
Region 5	Date of Receipt	2015-05-14	2015-01-21	2014-12-16	2014-09-23	2014-02-18	2014-02-13	2014-02-13	2013-11-27	2013-08-06	2013-02-04
	Number of Days Pending	77	176	191	256	408	385	410	462	540	668
Region 6	Date of Receipt	2015-06-02	2015-04-08	2015-03-26	2015-03-16	2015-02-13	2014-11-17	2014-09-29	2014-06-10	2014-01-16	2012-02-09
	Number of Days Pending	84	122	127	59	149	219	252	329	429	699
Region 7	Date of Receipt	2015-09-15	2015-09-09	2015-09-09	2015-09-08	2015-09-02	2015-08-31	2015-08-24	2015-05-28	2015-05-26	2015-05-18
	Number of Days Pending	11	15	15	16	19	21	26	87	19	94
Region 8	Date of Receipt	2015-02-17	2014-07-25	2014-07-07	2014-06-25	2014-06-25	2014-06-25	2014-06-18	2014-05-28	2012-06-18	2011-08-22
	Number of Days Pending	63	297	307	253	318	318	316	333	827	1031
Region 9	Date of Receipt	2015-08-04	2015-07-31	2015-07-27	2015-07-16	2015-05-15	2015-04-22	2015-04-14	2015-04-10	2015-03-13	2011-10-04
	Number of Days Pending	40	42	44	53	7	93	118	120	132	1001
Region 10	Date of Receipt	2014-01-21	2014-01-17	2013-08-22	2013-08-07	2013-07-30	2013-03-15	2013-02-20	2013-02-06	2012-08-22	2012-07-03
	Number of Days Pending	27	271	406	472	163	640	660	642	803	193

AGENCY OVERALL	Date of Receipt	2010-02-02	2010-01-26	2009-12-09	2009-12-04	2009-10-21	2009-10-21	2009-08-26	2009-06-24	2008-09-12	2008-08-21
	Number of Days Pending	1422	1428	1459	1463	1495	1495	1529	1575	1768	1784

FOIA requests may have been tolled for clarification or assurance of payment requests.

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
HQ	19	91	5	6.27	97
Region 1	0	4	3.5	4	4
Region 2	3	22	2	3.72	24
Region 3	3	16	5	4.21	18
Region 4	0	31	5	5.42	29
Region 5	0	23	5	5.04	21
Region 6	3	23	2	4.23	23
Region 7	1	6	5	6.86	4
Region 8	14	8	2	4.82	21
Region 9	3	12	5	4.07	15
Region 10	0	18	6	6	16
AGENCY OVERALL	46	254	5	5.32	272

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
HQ	137	139	5	5.76
Region 1	2	9	6	6.36
Region 2	7	48	5	6.22
Region 3	10	22	5	5.28
Region 4	14	40	5	5.59
Region 5	7	60	6	6.22
Region 6	5	38	4	6.23
Region 7	5	11	2	3.19
Region 8	6	16	3	3.77
Region 9	9	34	6	6.93
Region 10	16	27	7	6.6
AGENCY OVERALL	218	444	5	5.85

IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
HQ	24	2.3	26.3	\$3,774,002.77	\$678,150.00	\$4,452,152.77
Region 1	2	0.21	2.21	\$324,148.71	\$0.00	\$324,148.71
Region 2	2	0.5	2.5	\$353,171.89	\$0.00	\$353,171.89
Region 3	4	0.47	4.47	\$617,730.42	\$0.00	\$617,730.42
Region 4	9	0.02	9.02	\$1,305,125.14	\$0.00	\$1,305,125.14
Region 5	11	1	12	\$1,734,034.78	\$0.00	\$1,734,034.78
Region 6	2	0.54	2.54	\$362,511.21	\$0.00	\$362,511.21
Region 7	0	0.16	0.16	\$27,679.61	\$0.00	\$27,679.61
Region 8	1	0.44	1.44	\$199,464.43	\$0.00	\$199,464.43
Region 9	2	1.36	3.36	\$313,573.52	\$0.00	\$313,573.52
Region 10	5	0.83	5.83	\$836,783.96	\$0.00	\$836,783.96
AGENCY OVERALL	62	7.83	69.83	\$9,848,226.44	\$678,150.00	\$10,526,376.44

X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
HQ	\$66,815.96	1.77%
Region 1	\$11,716.82	3.61%
Region 2	\$32,081.48	9.08%
Region 3	\$8,128.89	1.32%
Region 4	\$8,919.34	0.68%
Region 5	\$57,374.01	3.31%
Region 6	\$29,695.14	8.19%
Region 7	\$10,937.97	39.52%
Region 8	\$16,598.26	8.32%
Region 9	\$50,173.30	16.00%
Region 10	\$47,238.97	5.65%
AGENCY OVERALL	\$339,680.14	3.45%

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
HQ	834	174
Region 1	3	0
Region 2	204	0
Region 3	107	0
Region 4	65	0
Region 5	16	0
Region 6	26	0
Region 7	4	0
Region 8	31	0
Region 9	14	0
Region 10	51	0
AGENCY OVERALL	1,355	174

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
HQ	0	12	10	2
Region 1	0	0	0	0
Region 2	0	0	0	0
Region 3	0	1	1	0
Region 4	0	0	0	0
Region 5	0	2	1	1
Region 6	0	0	0	0
Region 7	0	0	0	0
Region 8	0	0	0	0
Region 9	0	0	0	0
Region 10	0	1	1	0
AGENCY OVERALL	0	16	13	3

	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-07-07	2015-05-05	2015-01-29
	Number of Days	0	0	0	0	0	0	0	60	103	170

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --
REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS RECEIVED		NUMBER OF REQUESTS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
HQ	1,940	1,955	1,932	1,912
Region 1	230	293	239	288
Region 2	2,099	2,227	2,000	2,274
Region 3	930	951	631	1,278
Region 4	871	889	864	842
Region 5	1,738	1,798	1,766	1,780
Region 6	585	686	616	699
Region 7	577	472	586	489
Region 8	300	307	311	310
Region 9	714	876	710	862
Region 10	486	456	475	544
AGENCY OVERALL	10,470	10,910	10,130	11,278

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
HQ	828	834
Region 1	4	3
Region 2	192	204
Region 3	419	107
Region 4	36	65
Region 5	24	16
Region 6	33	26
Region 7	5	4
Region 8	32	31
Region 9	5	14
Region 10	110	51
AGENCY OVERALL	1,688	1,355

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
HQ	226	222	185	228
AGENCY OVERALL	226	222	185	228

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
HQ	188	174
AGENCY OVERALL	188	174