

# OIG Recovery Act Monthly Report

|   |                                       |
|---|---------------------------------------|
| <b>Monthly Update Report Data (sheet 1 of 5) Version 5.0a</b> |                                       |
| Reporting OIG:  | Environmental Protection Agency - OIG |
| Month Ending Date:  | 09/30/2011                            |

| Recovery Act Funds Used on Recovery Act Activity |                                       |  |  |              |                   |                     |                        |               |
|--|---------------------------------------|--|--|--------------|-------------------|---------------------|------------------------|---------------|
| No.  | Agency / Bureau                       | Recovery Act TAFS  | Award Type                                     | US Indicator | Total Obligations | Total Gross Outlays | Direct or Reimbursable | Ordering TAFS |
| 1  | Environmental Protection Agency - OIG | (68-0113 2009 \ 2012) Environmental Protection Agency - OIG - Recovery Act | Other  | Y - US       | \$17,402          | \$12,399,614        | Direct                 |               |
| 2  | Environmental Protection Agency - OIG | (68-0113 2009 \ 2012) Environmental Protection Agency - OIG - Recovery Act | Contracts and Orders (including modifications) | Y - US       | \$0               | \$31,980            | Direct                 |               |
| 3  |                                       |  |  |              |                   |                     |                        |               |
| 4  |                                       |  |  |              |                   |                     |                        |               |
| 5  |                                       |  |  |              |                   |                     |                        |               |
| 6  |                                       |  |  |              |                   |                     |                        |               |
| 7  |                                       |  |  |              |                   |                     |                        |               |
| 8  |                                       |  |  |              |                   |                     |                        |               |

| Non-Recovery Act Funds Used on Recovery Act Activity |                 |                               |                           |                             |                               |                           |                             |                               |                           |                             |
|--|-----------------|-------------------------------|---------------------------|-----------------------------|-------------------------------|---------------------------|-----------------------------|-------------------------------|---------------------------|-----------------------------|
| No.  | Agency / Bureau | FY 2009 Non-Recovery Act TAFS | Total FY 2009 Obligations | Total FY 2009 Gross Outlays | FY 2010 Non-Recovery Act TAFS | Total FY 2010 Obligations | Total FY 2010 Gross Outlays | FY 2011 Non-Recovery Act TAFS | Total FY 2011 Obligations | Total FY 2011 Gross Outlays |
| 1  |                 |                               |                           |                             |                               |                           |                             |                               |                           |                             |
| 2  |                 |                               |                           |                             |                               |                           |                             |                               |                           |                             |
| 3  |                 |                               |                           |                             |                               |                           |                             |                               |                           |                             |
| 4  |                 |                               |                           |                             |                               |                           |                             |                               |                           |                             |
| 5  |                 |                               |                           |                             |                               |                           |                             |                               |                           |                             |
| 6  |                 |                               |                           |                             |                               |                           |                             |                               |                           |                             |
| 7  |                 |                               |                           |                             |                               |                           |                             |                               |                           |                             |
| 8  |                 |                               |                           |                             |                               |                           |                             |                               |                           |                             |

## OIG Recovery Act Monthly Report

| Monthly Update Report Data (sheet 2 of 5) Version 5.0a |  |
|--|--|
| Reporting OIG: Environmental Protection Agency - OIG   |  |
| Month Ending Date: 9/30/2011                           |  |

| Fiscal Year 2009                 |  |  |  |
|----------------------------------|--|--|--|
| Monetary Results -Investigations |  | Monetary Results - Audits, Inspections, Reviews* |  |
| Recoveries (FY 09):              |  | Questioned Costs (FY 09):                        |  |
| Forfeitures/Seizures (FY 09):    |  | Unsupported Costs (FY 09):                       |  |
| Estimated Savings (FY 09):       |  | Recommendations for Better Use of Funds (FY 09): |  |

| Fiscal Year 2010                 |                |  |  |
|----------------------------------|----------------|--|--|
| Monetary Results -Investigations |                | Monetary Results - Audits, Inspections, Reviews* |  |
| Recoveries (FY 10):              |                | Questioned Costs (FY 10):                        |  |
| Forfeitures/Seizures (FY 10):    |                | Unsupported Costs (FY 10):                       |  |
| Estimated Savings (FY 10):       | \$3,400,000.00 | Recommendations for Better Use of Funds (FY 10): |  |

| Fiscal Year 2011                 |                |  |                |
|----------------------------------|----------------|--|----------------|
| Monetary Results -Investigations |                | Monetary Results - Audits, Inspections, Reviews* |                |
| Recoveries (FY 11):              | \$200,000.00   | Questioned Costs (FY 11):                        | \$4,670,000.00 |
| Forfeitures/Seizures (FY 11):    |                | Unsupported Costs (FY 11):                       |                |
| Estimated Savings (FY 11):       | \$1,484,000.00 | Recommendations for Better Use of Funds (FY 11): | \$1,510,000.00 |

| Cumulative Since 2/17/2009         |                |   |                |
|------------------------------------|----------------|---|----------------|
| Monetary Results -Investigations   |                | Monetary Results - Audits, Inspections, Reviews*      |                |
| Recoveries (cumulative):           | \$200,000.00   | Questioned Costs (cumulative):                        | \$4,670,000.00 |
| Forfeitures/Seizures (cumulative): | \$0.00         | Unsupported Costs (cumulative):                       | \$0.00         |
| Estimated Savings (cumulative):    | \$4,884,000.00 | Recommendations for Better Use of Funds (cumulative): | \$1,510,000.00 |

\* These data include Federal Audits, Inspections, and Reviews only

## OIG Recovery Act Monthly Report

| Monthly Update Report Data (sheet 3 of 5) Version 5.0a |  |
|--|--|
| Reporting OIG: Environmental Protection Agency - OIG   |  |
| Month Ending Date: 9/30/2011                           |  |

| FTE Working on Recovery                                   |       |       |       |            |
|---|-------|-------|-------|------------|
| Fiscal Year   | 2009  | 2010  | 2011  | Cumulative |
| Newly Hired FTE (cumulative):                             | 0.17  | 2.74  | 9.78  | 12.69      |
| FTE Funded by Recovery Act Funds (cumulative):            | 10.88 | 54.26 | 27.52 | 92.66      |
| FTE <u>Not</u> Funded by Recovery Act Funds (cumulative): | 0.00  | 0.00  | 0.00  | 0.00       |

| Testimonies:           |   |
|------------------------|---|
| Provided (monthly):    | 0 |
| Provided (cumulative): | 1 |

| Complaints                      |    | Whistleblower Reprisal Allegations |           | Investigations                  |   | Audits / Inspections / Evaluations / Reviews |   | Training / Outreach             |                              |       |
|---------------------------------|----|------------------------------------|-----------|---------------------------------|---|--|---|---------------------------------|------------------------------|-------|
| Monthly Data                    |    | Monthly Data                       |           | Monthly Data                    |   | Monthly Data                                 |   | Monthly Data                    |                              |       |
| Received:                       | 4  |                                    | Received: | 0                               | Opened (this month):                        | 3  | Initiated (this month):                   | 1                               | Training Sessions Provided:  | 0     |
|                                 |    |                                    | Accepted: | 0                               | Active (as of the end of the month):        | 27   | In Process (as of the end of the month):  | 17                              | Individuals Trained:         | 0     |
|                                 |    |                                    |           |                                 | Closed without Action:                      | 2  | Completed Final Published Work Products:  | 1                               | Hours of Training Provided:  | 0     |
|                                 |    |                                    |           |                                 | Prosecution Declined:                       | 0  | Priority Interim Published Work Products: | 0                               | Outreach Sessions Conducted: | 2     |
|                                 |    |                                    |           |                                 | Referred for Alternative Resolution:        | 0  | Unpublished Work Products*:               | 124                             |                              |       |
|                                 |    |                                    |           |                                 | Convictions, Settlements, Pleas, Judgments: | 0  | QCRs Issued:                              | 0                               |                              |       |
|                                 |    |                                    |           |                                 |   |  |   |                                 |                              |       |
| Cumulative Data Since 2/17/2009 |    | Cumulative Data Since 2/17/2009    |           | Cumulative Data Since 2/17/2009 |   | Cumulative Data Since 2/17/2009              |   | Cumulative Data Since 2/17/2009 |                              |       |
| Received:                       | 71 |                                    | Received: | 0                               | Closed without Action:                      | 62   | Completed Final Published Work Products:  | 40                              | Training Sessions Provided:  | 103   |
|                                 |    |                                    | Accepted: | 0                               | Prosecution Declined:                       | 0  | Priority Interim Published Work Products: | 0                               | Individuals Trained:         | 4131  |
|                                 |    |                                    |           |                                 | Referred for Alternative Resolution:        | 1  | Unpublished Work Products*:               | 580                             | Hours of Training Provided:  | 11079 |
|                                 |    |                                    |           |                                 | Convictions, Settlements, Pleas, Judgments: | 0  | QCRs Issued:                              | 0                               | Outreach Sessions Conducted: | 60    |
|                                 |    |                                    |           |                                 | <b>Cumulative Total:</b>                    | <b>63</b>                                    | <b>Cumulative Total:</b>                  | <b>620</b>                      |                              |       |

\*These work products were not published because they contain proprietary or other sensitive information that cannot be made available to the public.

# OIG Recovery Act Monthly Report

## Monthly Update Report Data (sheet 4 of 5) Version 5.0a

|                           |                                       |
|---------------------------|---------------------------------------|
| <b>Reporting OIG:</b>     | Environmental Protection Agency - OIG |
| <b>Month Ending Date:</b> | 09/30/2011                            |

| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month)  |
|-----|--|
| 1   | On-going assignments: Ten site visits of construction sites, municipal and local government sub-recipients and ontractors receiving Recovery Act funding |
| 2   | On-going assignments: Responding to five ARRA related hotlines submitted to OIG  |
| 3   | On-going assignment: ARRA principle forgiveness  |
| 4   | New assignment: Performance audit of Brownfields ARRA results  |
| 5   |  |
| 6   |  |
| 7   |  |
| 8   |  |
| 9   |  |
| 10  |  |

| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for Next Three Months)  |
|-----|--|
| 1   | The OIG is planning additional site visits of construction sites and municipal and local government sub-recipients that were awarded Recovery Act funding. |
| 2   | The OIG is planning Forensic Audits of Recovery Act contract recipients.   |
| 3   |  |
| 4   |  |
| 5   |  |
| 6   |  |
| 7   |  |
| 8   |  |
| 9   |  |
| 10  |  |

# OIG Recovery Act Monthly Report

## Monthly Update Report Data (sheet 5 of 5) Version 5.0a

|                       |                          |  |
|-----------------------|--------------------------|--|
| <b>Reporting OIG:</b> | Environmental Protection |  |
| <b>Month Ending</b>   | 09/30/2011               |  |

### TRAINING ACTIVITIES

| No.          | Type of Training | Target Audience | Title of Training | Training Location (City, State) | Date of Training | Length of Training (hours) | Number of Participants | Hours of Training Provided (length x participants) | Cost of Training |
|--------------|------------------|-----------------|-------------------|---------------------------------|------------------|----------------------------|------------------------|--|------------------|
| 1            |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| 2            |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| 3            |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| 4            |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| 5            |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| 6            |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| 7            |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| 8            |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| 9            |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| 10           |                  |                 |                   |                                 |                  |                            |                        | 0  |                  |
| <b>TOTAL</b> |                  |                 |                   |                                 |                  |                            |                        | <b>0</b>   | <b>0</b>         |

### OUTREACH ACTIVITIES

| No. | Organization to which Outreach Provided | Number of Organizations Represented at Outreach Session | Description of Outreach | Outreach Location (City, State) | Date of Outreach |
|-----|---|---|-------------------------|---------------------------------|------------------|
| 1   | FEMA                                    | 1   | Liaison Meeting         | Chicago, IL                     | 9/23/2011        |
| 2   | City of Battle Creek, MI                | 1   | Liaison Meeting         | Battle Creek, MI                | 9/14/2011        |
| 3   |   |   |                         |                                 |                  |
| 4   |   |   |                         |                                 |                  |
| 5   |   |   |                         |                                 |                  |
| 6   |   |   |                         |                                 |                  |
| 7   |   |   |                         |                                 |                  |
| 8   |   |   |                         |                                 |                  |
| 9   |   |   |                         |                                 |                  |
| 10  |   |   |                         |                                 |                  |