



# Design Flow Analysis Project

## Phase One: Low-Flow Analysis Case Study



*This analysis was done by EPA summer intern Graham Jonaitis in 2002.*

# Overview/Agenda

- Project background and purpose
- Present status of DFLOW 3.0 as tool for States
- Present case study
- Lay out plan for next steps of project

# Background / Purpose

- Why low flow?
  - Wastewater effluent-dominated pollution typically violates chemical criteria during low streamflow
  - EPA designates the biological design flow 4B3 for use in establishing discharge permits to protect aquatic life for chronic exposure
  - 1986 EPA analysis determined that hydrological flow statistic 7Q10 was equivalent to 4B3

# Background / Purpose

- Why revisit this analysis?
  - Since 1986, 7Q10 statistic criticized as either over- or under-protective in various areas of US
  - States frequently set their own hydrological low flow standards to replace 7Q10, or use flow percentiles (percent of flows in a given stream's daily record that are less than the design flow) to impose pollution limits
  - EPA desires to evaluate such limits in relation to 4B3

# Background / Purpose

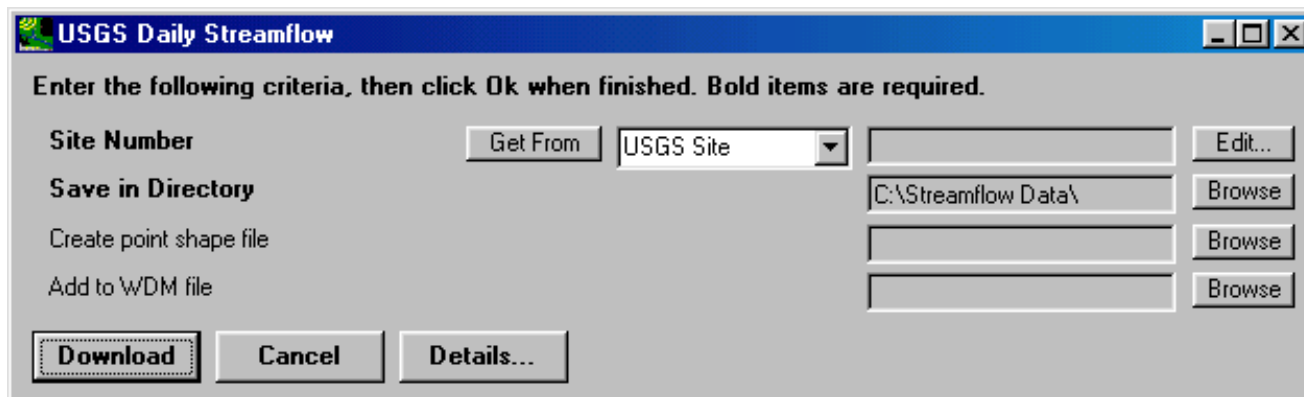
- Design Flow Analysis project scope
  - Phase One: Single-State Case Study
    - Download and filter streamflow data from USGS
    - Using the DFLOW 3.0 program, determine 4B3, 3Q2, and 7Q10 for each (valid) gage station
    - Analyze relative protectiveness of 3Q2 and 7Q10
    - Determine relationship between 4B3 and percentile flows
  - Phase Two: Case Study Delivery
    - Provide web access to DFLOW 3.0
    - Provide web access to case study
      - Demonstrate use of DFLOW in analyzing xQy statistics
      - Demonstrate use of these analyses

# Background / Purpose

- Design Flow Analysis project scope
  - Phase Three: National Study
    - Download and filter national streamflow data
    - Determine relationship between 4B3 and 7Q10 or other state-specific statistics
    - Evaluate relationship between 4B3 and flow percentiles
    - Evaluate this relationship with respect to ecoregion, stream order, previous EPA study
    - Report on the above analysis

# Data Acquisition

- Beta-version utility designed for use with BASINS allows streamgage data to be downloaded from USGS subject to various geographic criteria
- Quick – downloaded two hundred datasets in ~ 20 minutes
- Data downloaded in individualized datasets, one per streamgage – format used by DFLOW



The screenshot shows a Windows-style dialog box titled "USGS Daily Streamflow". The title bar is blue with a small icon on the left and standard window controls on the right. The main area has a light gray background. At the top, a line of text reads: "Enter the following criteria, then click Ok when finished. Bold items are required." Below this, there are several input fields and buttons. On the left, the labels "Site Number", "Save in Directory", "Create point shape file", and "Add to WDM file" are listed. To the right of "Site Number" is a "Get From" button, a dropdown menu currently showing "USGS Site", and an empty text box with an "Edit..." button to its right. To the right of "Save in Directory" is a text box containing "C:\Streamflow Data\" with a "Browse" button to its right. Below this are two more empty text boxes, each with a "Browse" button to its right. At the bottom left are three buttons: "Download" (which has a dashed border), "Cancel", and "Details...".

# Data Filtering

- ASCE (1980) used stations with at least 15-20 years of record for calculating hydrological design flows
- All records with less than 20 years (7300 days) of observations were removed
- Removal of inconsistent data
  - Contacted state's USGS district office, received spreadsheet of information about stream exceptions (regulation, urbanization, etc.)
  - Removed all stations without 20 years of consistent data from statistical consideration (e.g. station with 10 years unregulated, 15 years regulated would be removed)
  - Kept urbanized and consistently regulated streams
- 74 streamgage stations remained for analysis



# Determining Design Flow

- What is DFLOW?
  - Calculates xBy and xQy design flows, given historical streamflow data
  - Easy to use

DFLOW 3 (final draft)

File Run Help

Flow Data Parameters

Add Gages From Files... Remove Unused Files Clear All

	Gage	File	Record	Start	End	Use
01	5052500 MENDENH	15052500	1966-2000	1966	2000	<input checked="" type="checkbox"/>

Calculation period

☒ All available dates ☐ Specified in table 1 gages in 1 files

☐ Common dates ☐ 1966 to 2000

☐ Longest period

Season

☒ Use default (full year)

Start day of season 1

End day of season 365

Comments

Design Flow Parameters

Biological

☒ Use defaults

☐ Criterion maximum concentration (acute)

☒ Criterion continuous concentration (chronic)

☐ Ammonia

Flow averaging period (days): 4

Average number of years between excursions: 3

Length of excursion clustering period (days): 120

Average number of excursions counted per cluster: 5

Hydrological

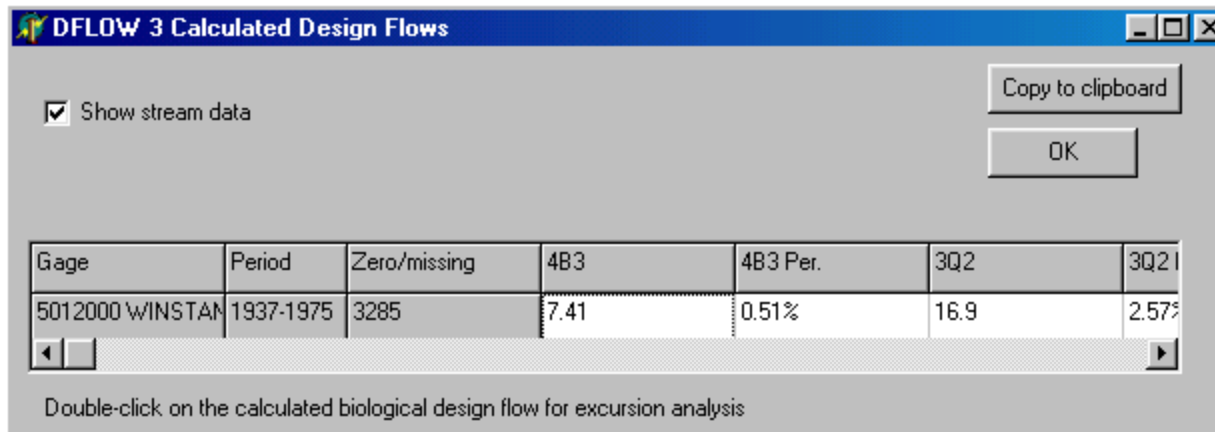
Flow averaging period (days): 3

Return period on years with excursions (years): 2

Calculate Design Flows Exit Help!

# Determining Design Flow

- How does DFLOW output flow statistics?
  - DFLOW outputs calculations in tabular form – can be copied and pasted into spreadsheet
  - For each flow value DFLOW calculates, it also outputs corresponding percentile



Gage	Period	Zero/missing	4B3	4B3 Per.	3Q2	3Q21
5012000 WINSTAN	1937-1975	3285	7.41	0.51%	16.9	2.57%

Double-click on the calculated biological design flow for excursion analysis

# Determining Design Flow

- Previous Constraints
  - DFLOW Program
    - Problem: Output format contains both 4B3 and 4B3 percentile in the same column
    - Solution: DFLOW code altered to use separate columns
    - Problem: Program bugs cause compromised output when multiple datasets run within one session of DFLOW
    - Solution: Code altered to allow simultaneous runs

# Determining Design Flow

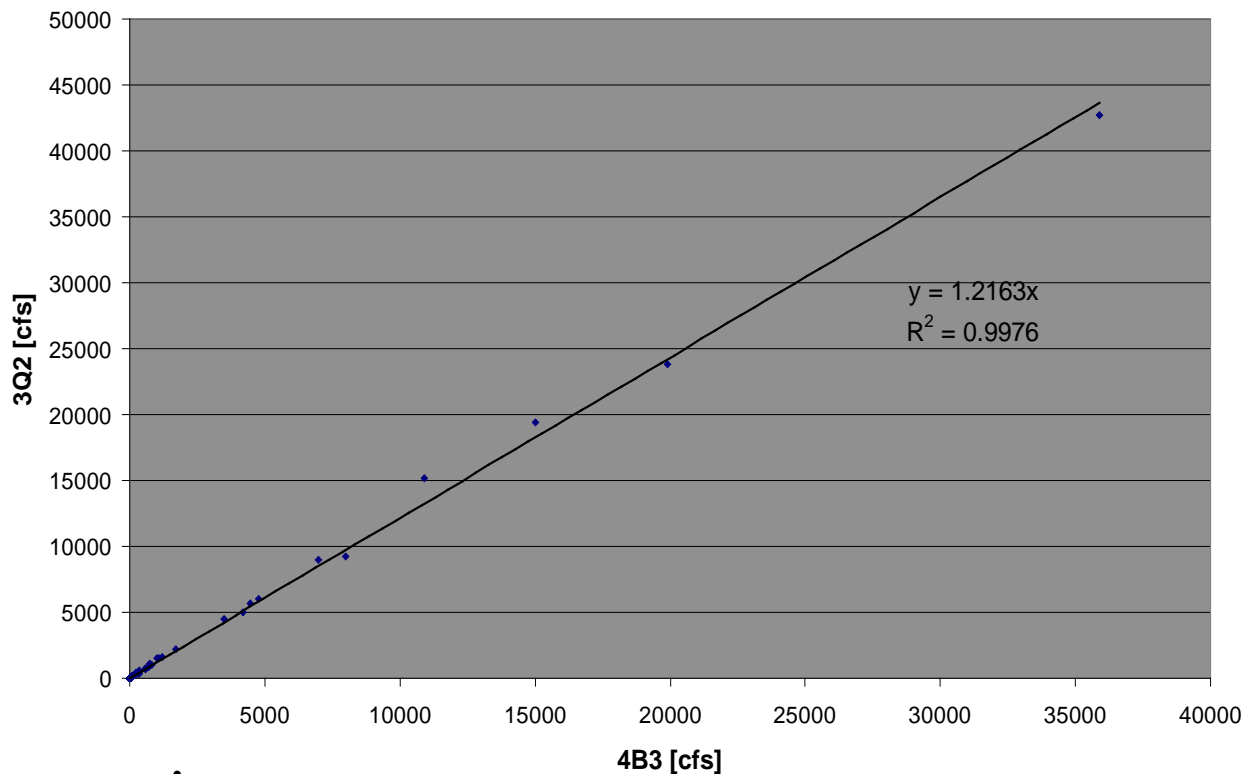
- Current Constraints
  - Data acquisition
    - Problem: BASINS download tool lacks filter for dataset size (i.e. number of observations)
    - Temporary Solution: After download, sort dataset text files by file size, giving estimate of number of observations

# Project Analysis

- Analysis
  - Examine relationship between 4B3 and 3Q2, compare to relationship between 4B3 and 7Q10
  - Examine relationship between  ${}^3Q_2/{}_{4B3}$  and 4B3, compare to relationship between  ${}^7Q_{10}/{}_{4B3}$  and 4B3
  - Explore probability distribution of 4B3 percentiles
    - Attempt to fit to a standard distribution (e.g. lognormal)
    - Using cumulative distribution, identify reasonable percentile to capture “most” 4B3 flow values

# Design Flow Analysis:

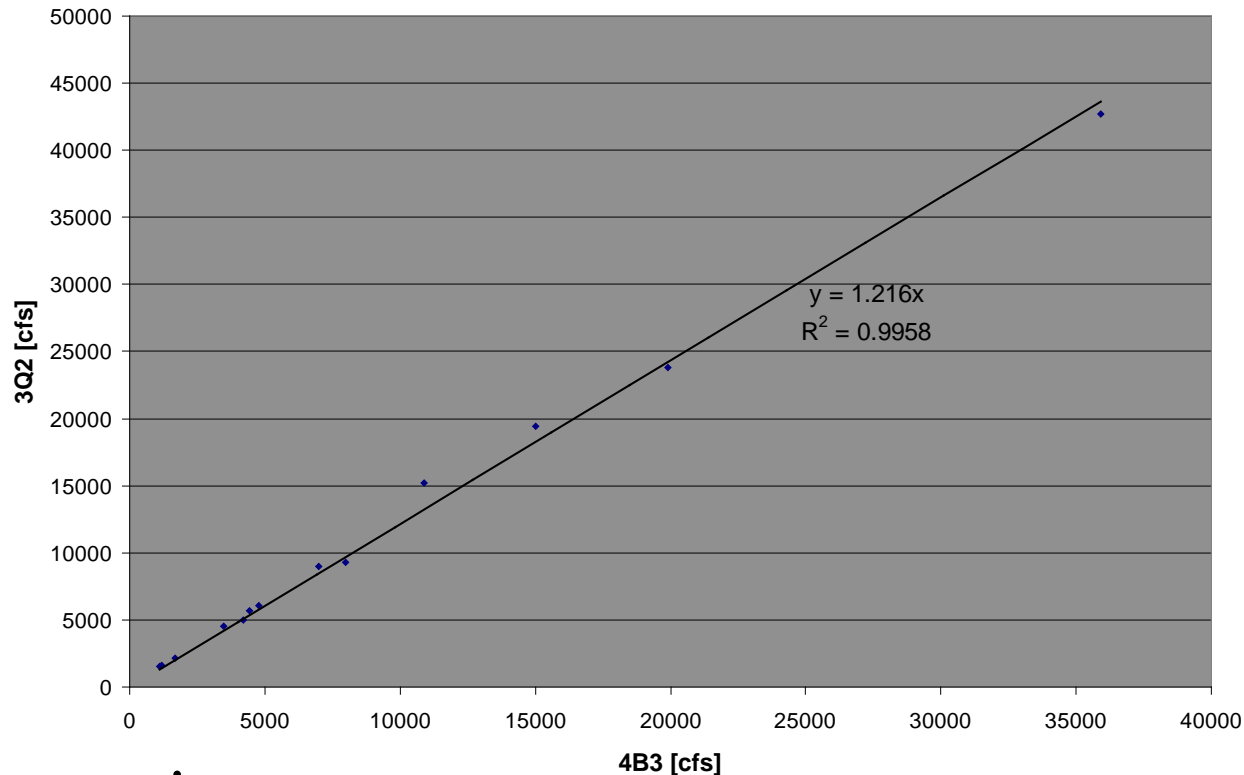
## 3Q2 vs. 4B3 for All Streams



- Observations:
  - 3Q2 strongly correlated with 4B3 ( $R^2 = 0.9976$ )
  - 3Q2 flow 22% greater than 4B3 ( $y = 1.2163x$ )

# Design Flow Analysis:

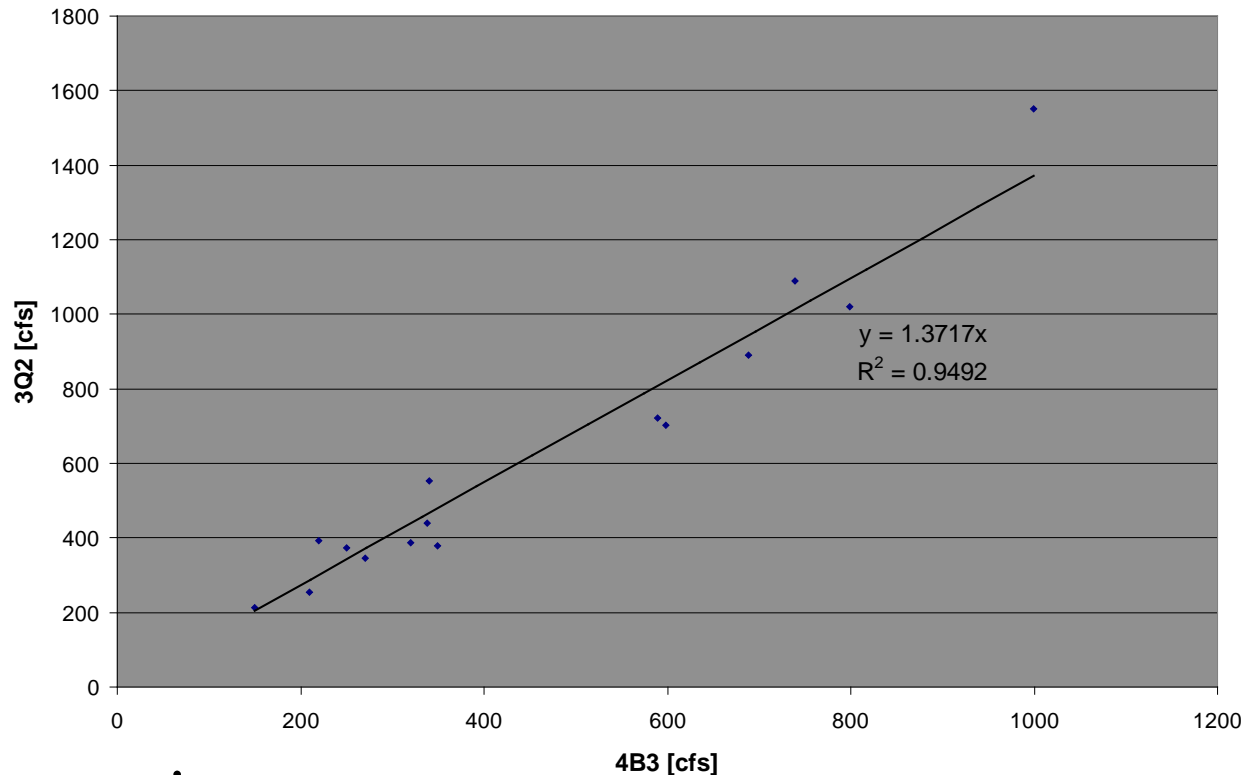
3Q2 vs. 4B3 for Large-Flow Streams ( $1000 \text{ cfs} < 4B3$ )



- Observations:
  - 3Q2 strongly correlated with 4B3 ( $R^2 = 0.9958$ )
  - 3Q2 flow 22% greater than 4B3 ( $y = 1.216x$ )

# Design Flow Analysis:

3Q2 vs. 4B3 for Medium-Flow Streams ( $100 \text{ cfs} < 4B3 < 1000 \text{ cfs}$ )

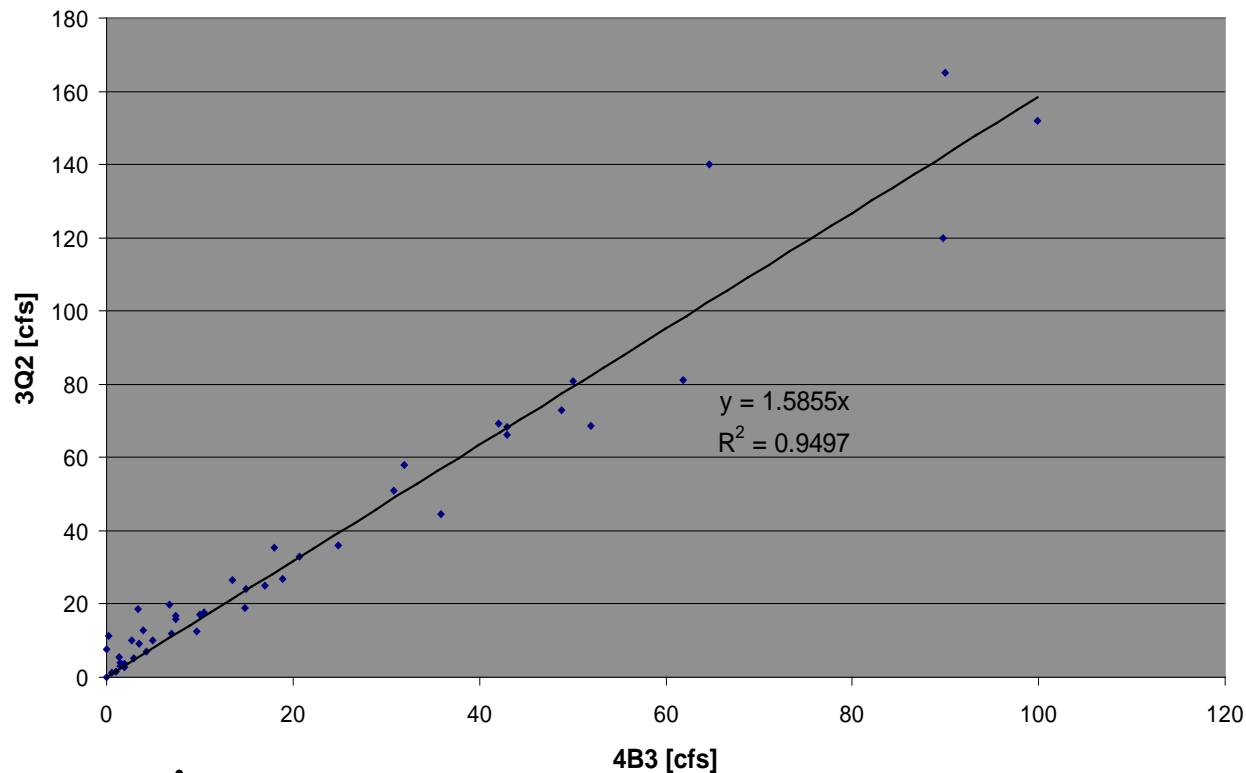


- Observations:
  - 3Q2 well correlated with 4B3 ( $R^2 = 0.9492$ )
  - 3Q2 flow 37% greater than 4B3 ( $y = 1.3717x$ )



# Design Flow Analysis:

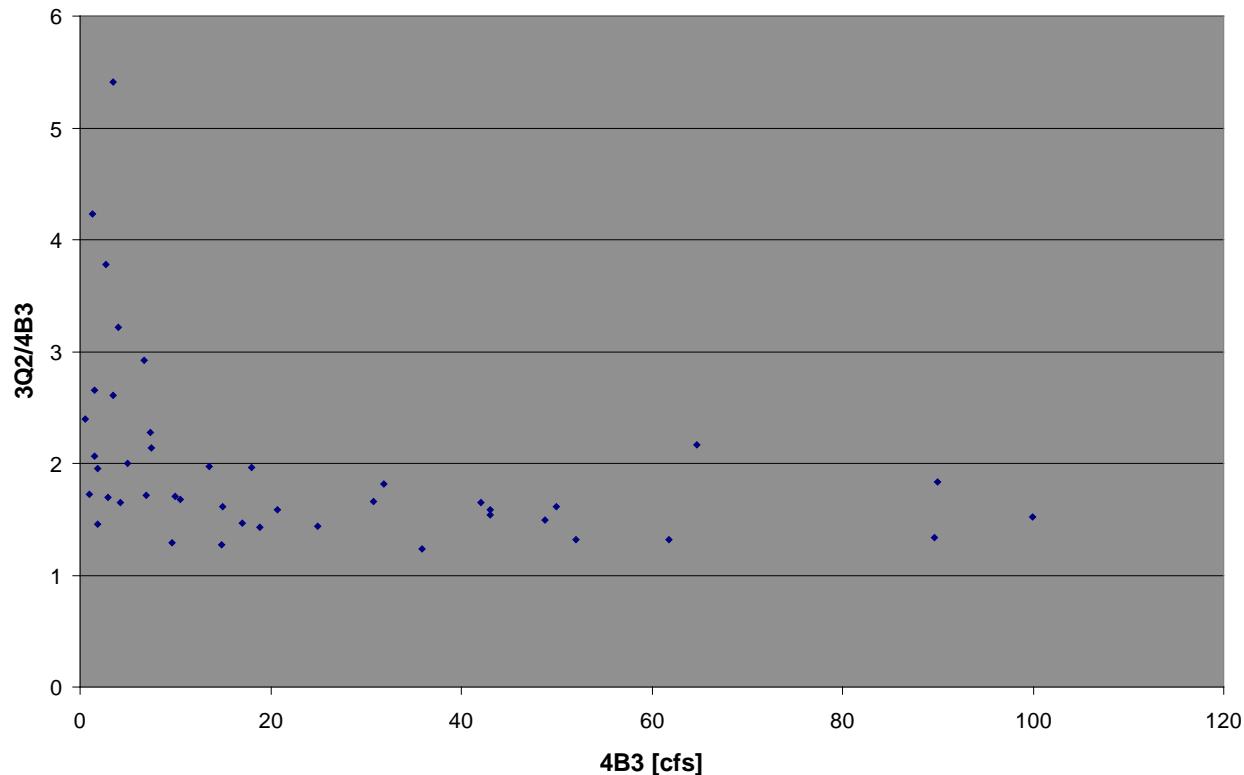
3Q2 vs. 4B3 for Small-Flow Streams ( $4B3 < 100$  cfs)



- Observations:
  - 3Q2 well correlated with 4B3 ( $R^2 = 0.9497$ )
  - 3Q2 greatest: 59% greater than 4B3 ( $y = 1.5855x$ )

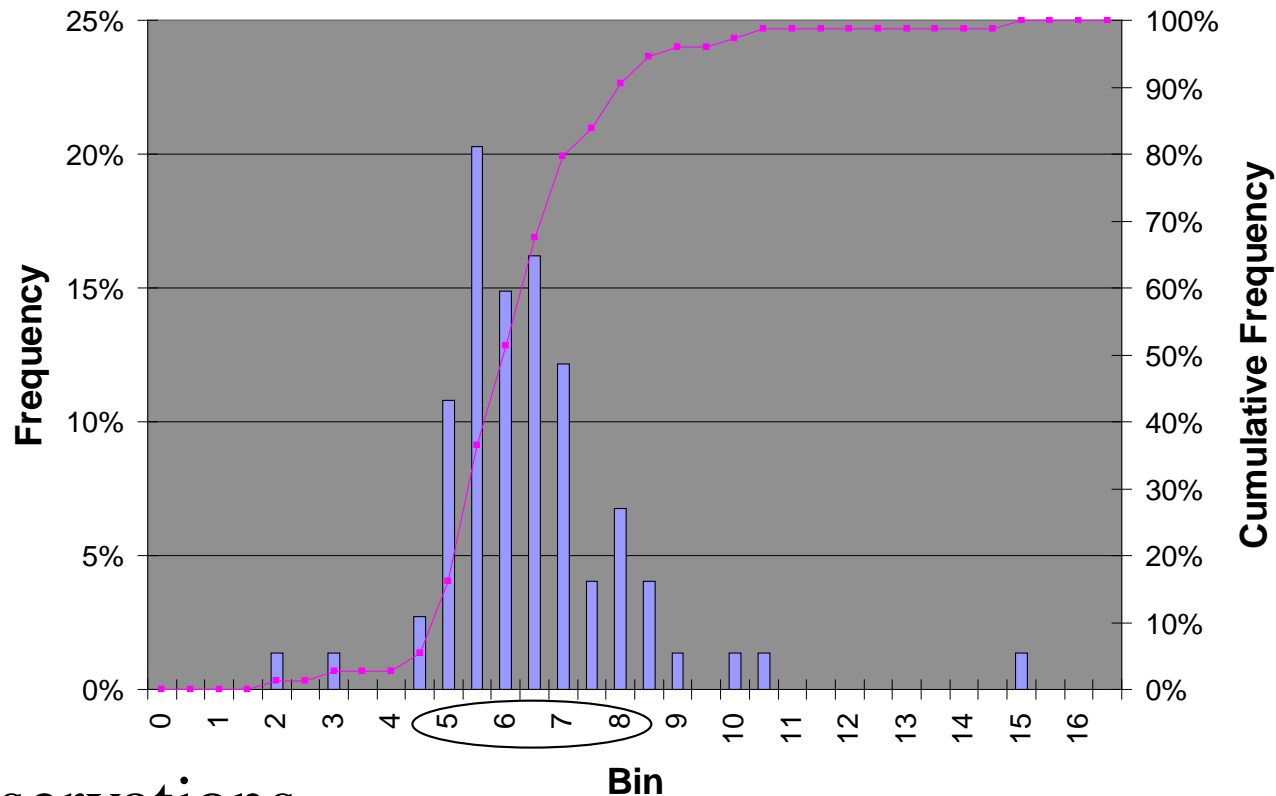
# Design Flow Analysis:

$3Q2/4B3$  vs.  $4B3$



# Design Flow Analysis:

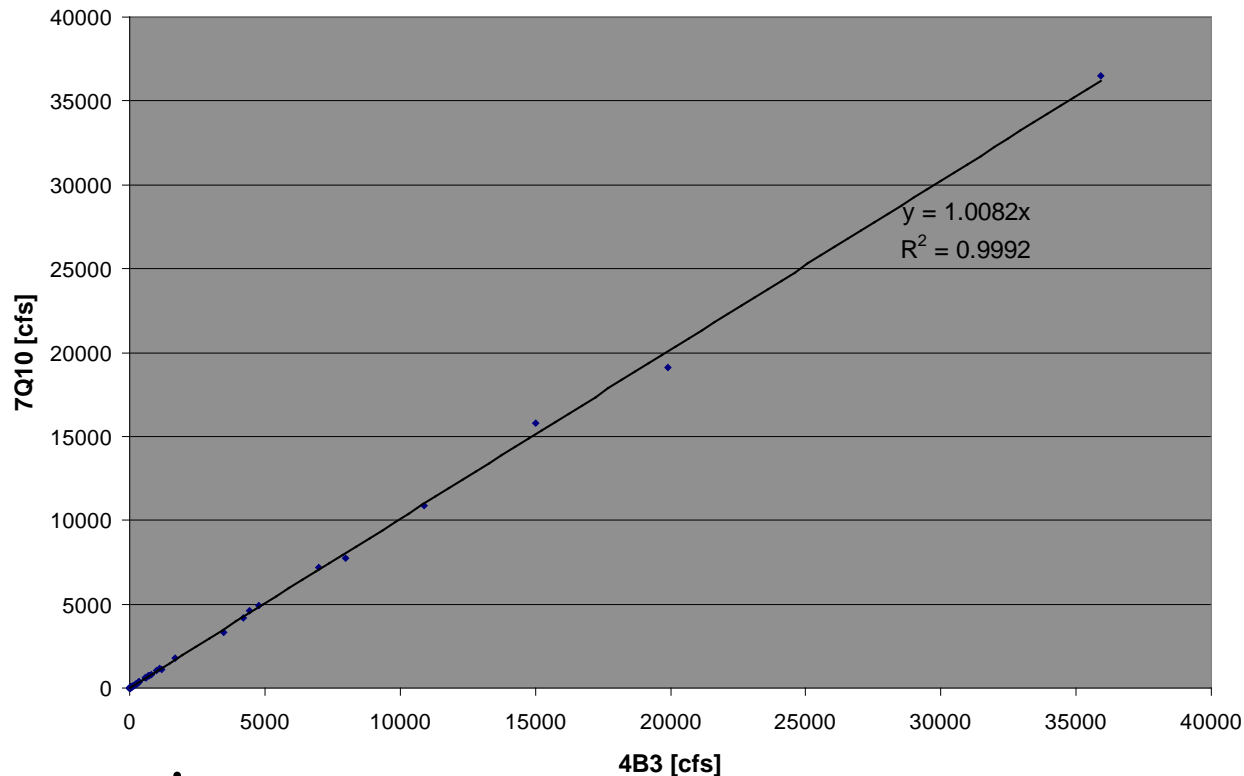
## Excursions Per Three Years for 3Q2



- Observations
  - Excursions per three years centered around six
  - All stations show at least two excursions per three years

# Design Flow Analysis:

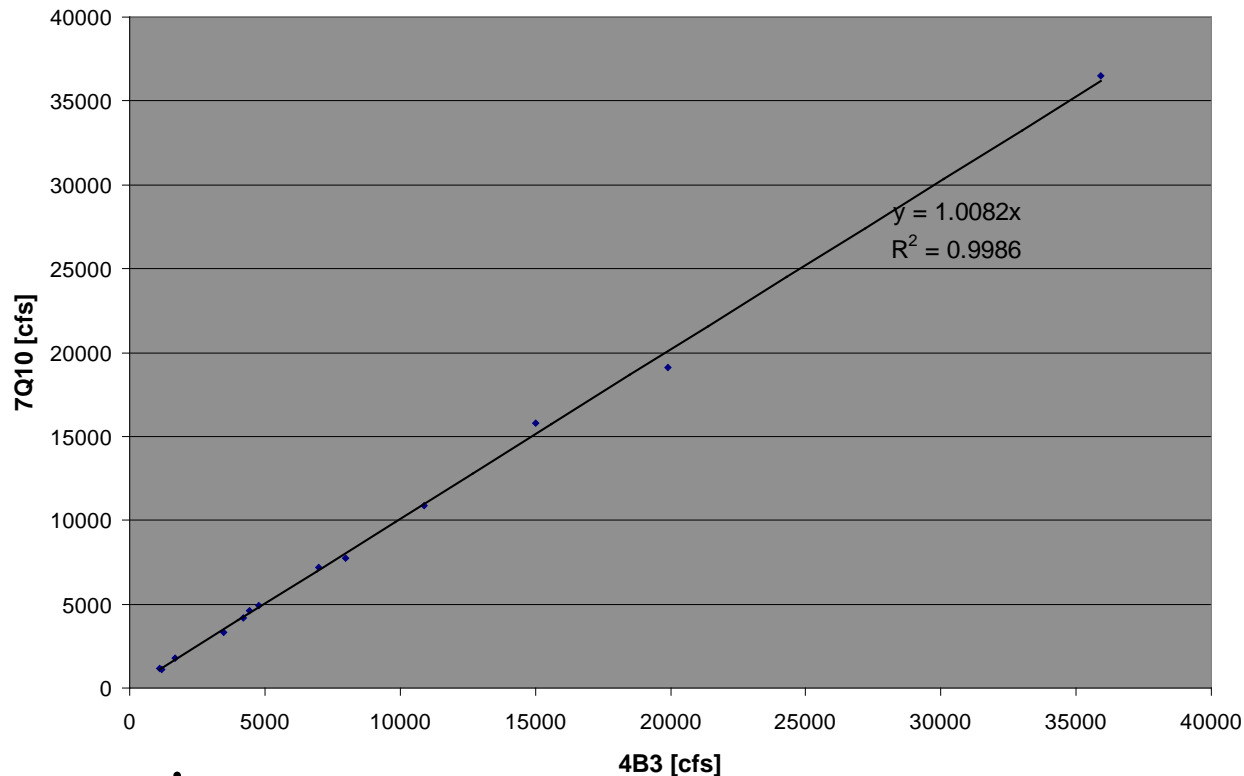
7Q10 vs. 4B3 for All Streams



- Observations:
  - 7Q10 strongly correlated with 4B3 ( $R^2 = 0.9992$ )
  - 7Q10 flow 1% greater than 4B3 ( $y = 1.0082x$ )

# Design Flow Analysis:

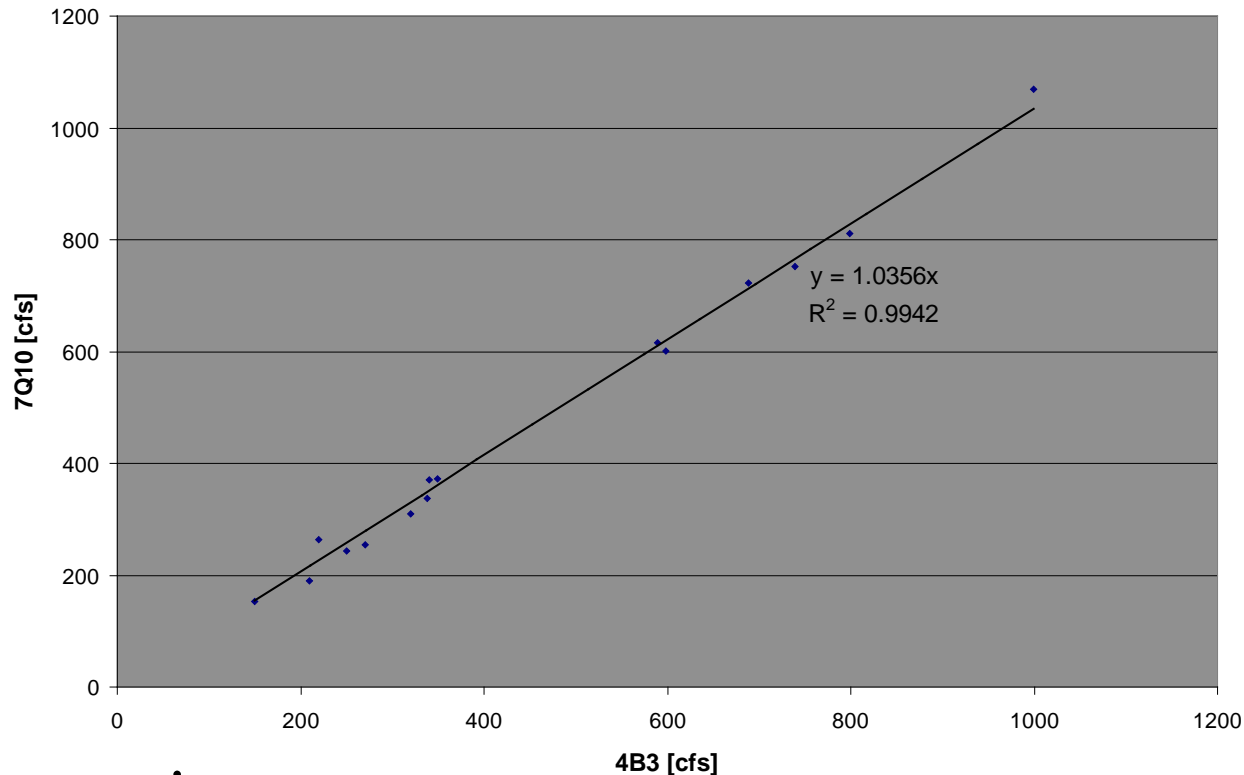
7Q10 vs. 4B3 for Large-Flow Streams ( $1000 \text{ cfs} < 4B3$ )



- Observations:
  - 7Q10 strongly correlated with 4B3 ( $R^2 = 0.9986$ )
  - 7Q10 flow 1% greater than 4B3 ( $y=1.0082x$ )

# Design Flow Analysis:

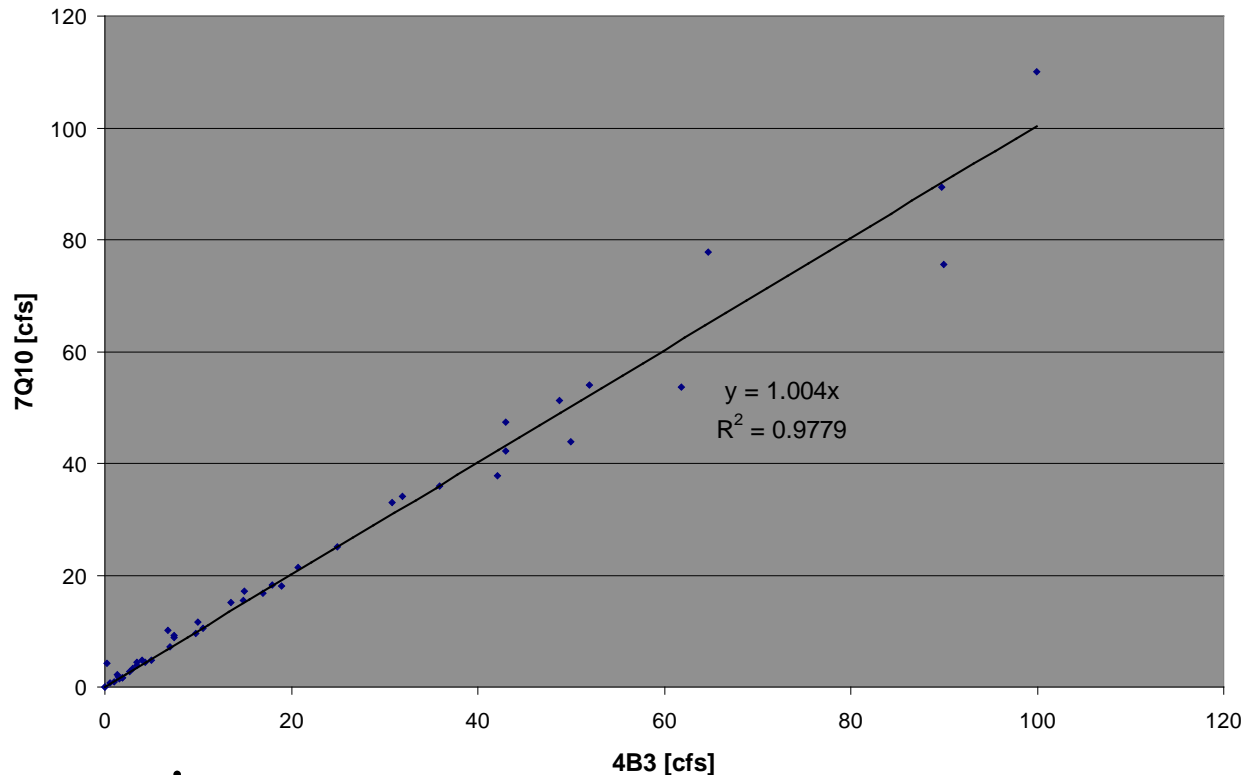
7Q10 vs. 4B3 for Medium-Flow Streams ( $100 \text{ cfs} < 4B3 < 1000 \text{ cfs}$ )



- Observations:
  - 7Q10 strongly correlated with 4B3 ( $R^2 = 0.9942$ )
  - 7Q10 flow 4% greater than 4B3 ( $y = 1.0356x$ )

# Design Flow Analysis:

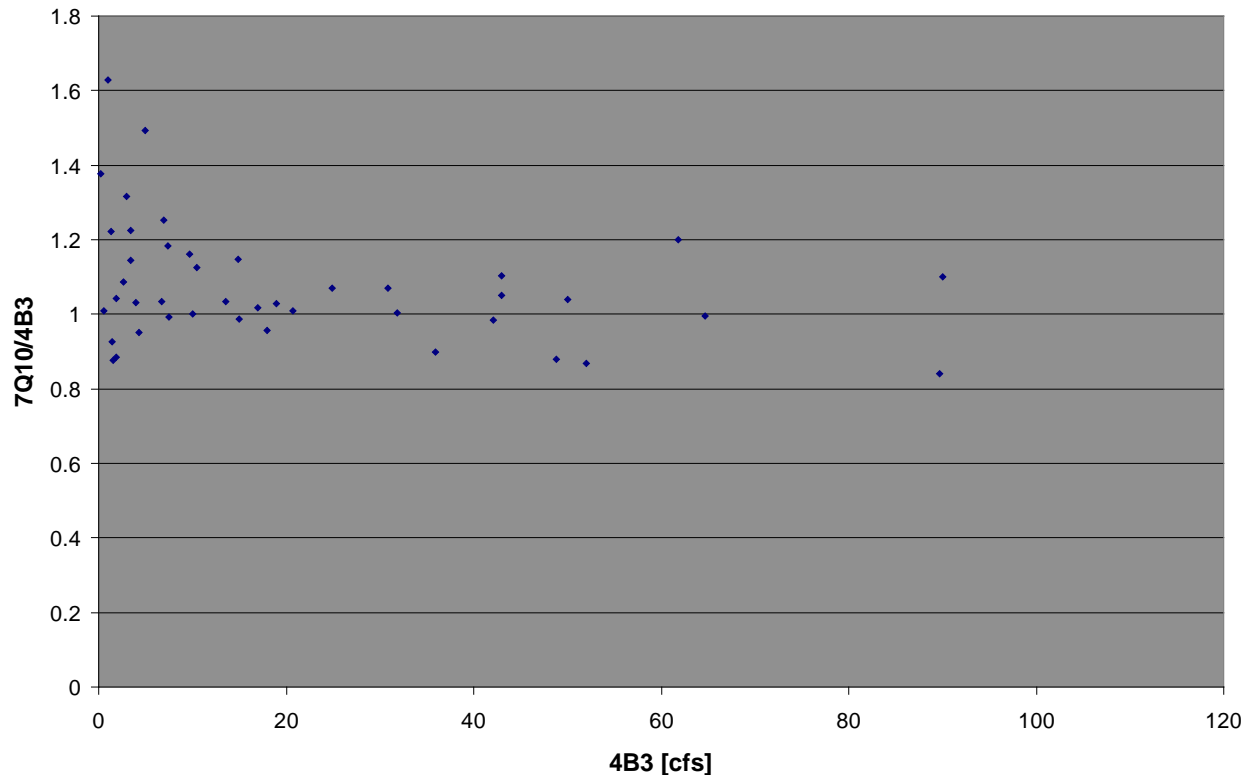
7Q10 vs. 4B3 for Small-Flow Streams ( $4B3 < 100$  cfs)



- Observations:
  - 7Q10 slightly less correlated with 4B3 ( $R^2 = 0.9779$ )
  - 7Q10 flow 0.4% greater than 4B3 ( $y = 1.004x$ )

# Design Flow Analysis:

$7Q_{10}/4B3$  vs.  $4B3$

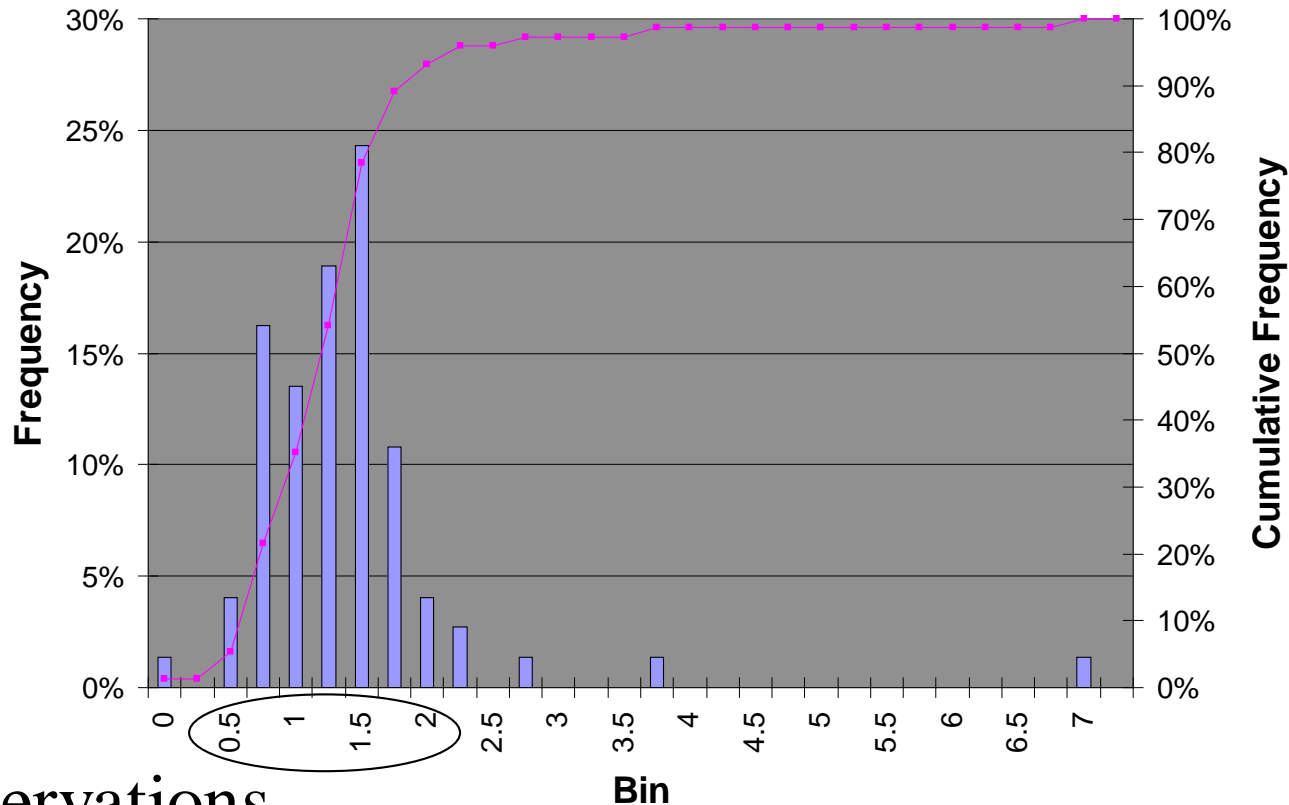


- Observation:  $7Q_{10}$  clustered around  $4B3$  equivalence, but ratio for very small streams is as high as 1.6



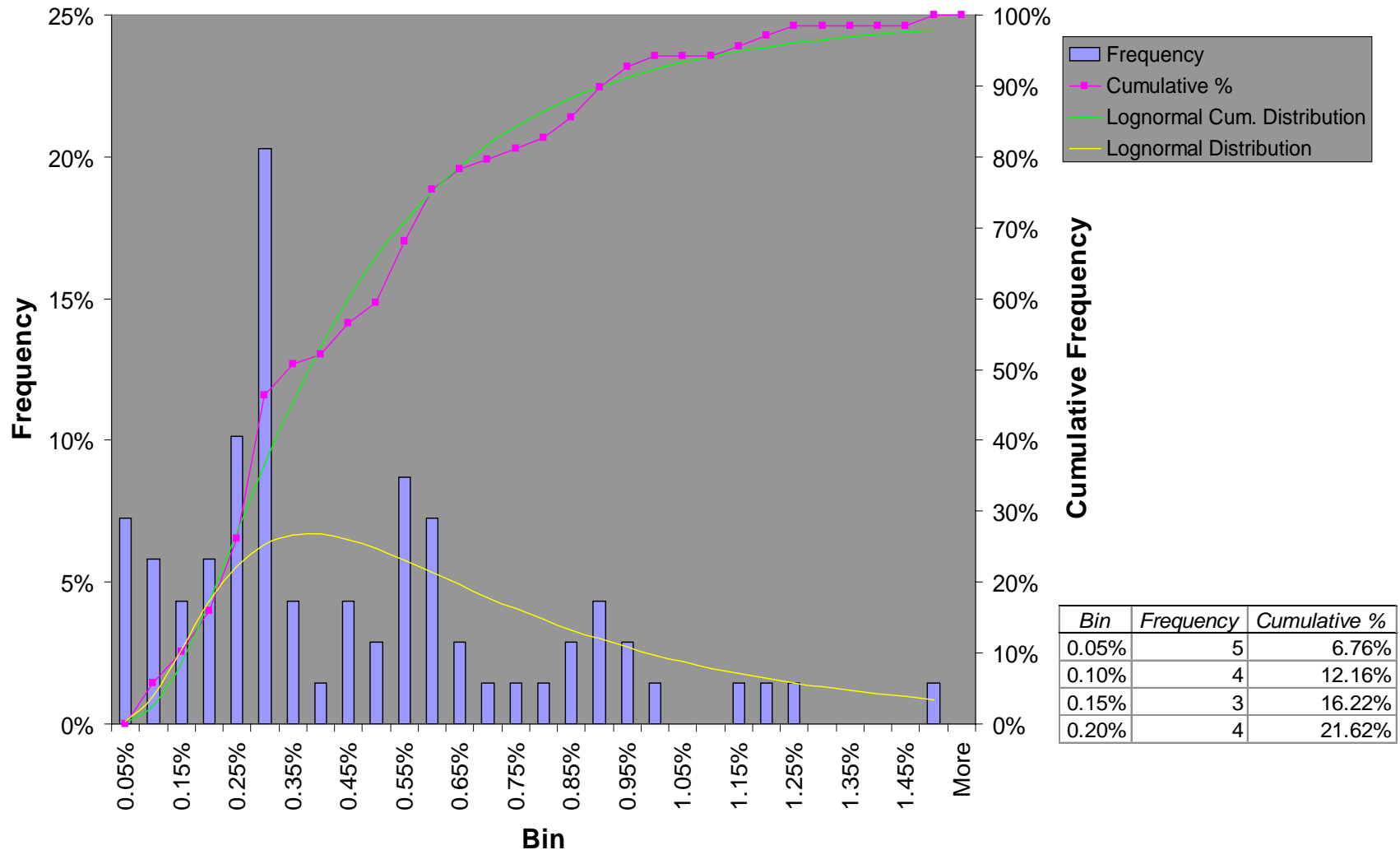
# Design Flow Analysis:

## Excursions Per Three Years for 7Q10



- Observations
  - Excursions per three years centered near one and one half
  - 65% of the rivers exceed criteria more than once per year

# Design Flow Analysis: Distribution of 4B3 Percentiles



# Design Flow Analysis: Distribution of 4B3 Percentiles

- Delta-Lognormal Distribution
  - Five data points ( $4B3\% = 0\%$ ) assumed to be nondetect values, based on sensitivity of 4B3 method
    - Data presumed to fit lognormal distribution, but values too low
    - Retained in cumulative distribution to determine number of low-end streams protected by percentile limits
  - Poor fit: p-correlation of 0.0826
  - National data may show better fit
- Observations
  - Distribution mean = 0.48% ; mode = 0.40%
  - High end of distribution = 1.40% for empirical data, 2.96% for distribution

# Conclusions

- DFLOW and download tool should make analysis easy for states to perform
- 3Q2 vs. 4B3
  - 22% greater than 4B3 across the board
  - 59% greater than 4B3 for small streams
  - Shows 4-8 excursions per 3 years vs. 1 for 4B3
- 7Q10 vs. 4B3
  - Generally equivalent to 4B3 (1% greater overall)
  - 4% less than 4B3 for medium- and small-flow streams
  - Shows 0-2 excursions per 3 years

# Conclusions

- Percentile Flow
  - 4B3 percentiles show no clear statistical distribution
  - 4B3 percentiles range from 0% to 1.40% for flow data, hence any percentile limit above 1.40% will under-protect streams

# Next Steps

- Phase Two: Case Study Delivery
  - Number of biological excursions per three years will be added to DFLOW output
  - Make data download tool and DFLOW known and available to State water quality programs
  - Web publication of case study
- Phase Three: National Study
  - $^{7}\text{Q}^{10}/_{4}\text{B}3$  Analysis
    - Separate into large, medium, and small-flow streams
    - Regional variability (e.g. with states, ecoregions)

# Appendix

- How does DFLOW determine  $xQ_y$ ?

- DFLOW uses the following formula:

$$xQ_y = \exp(u + \sigma K(g, y))$$

where  $u$  = mean of logarithms of annual low flows

$\sigma$  = standard deviation of above

$g$  = skewness coefficient of above

- $K$  is calculated using:

$$K = \frac{2}{g} \left[ \left( 1 + \frac{gz}{6} - \frac{g^2}{36} \right)^3 - 1 \right] \quad ; \quad z = 4.91 \left[ \left( \frac{1}{y} \right)^{.14} - \left( 1 - \frac{1}{y} \right)^{.14} \right]$$

# Appendix

- How does DFLOW determine  $xBy$ ?
  - Calculate total allowed excursions over flow record using number of years in record divided by  $y$
  - Use  $xQy$  design flow as an initial guess for  $xBy$
  - Identify excursion periods based on  $xBy$
  - Calculate number of excursions in each excursion period using period length divided by  $y$
  - Sum total number of excursions over record; maximum excursions in a low-flow period (120 days) is five
  - True 4B3 is the greatest flow that keeps excursion sum below total allowed excursions – iterative process



# References

- ASCE Task Committee on Low-Flow Evaluation, Methods, and Needs of the Committee on Surface-Water Hydrology of the Hydraulics Division. 1980. Characteristics of Low Flows. Journal of the Hydraulics Division. 106(HY5): 717-731.
- Biswas, H., and B.A. Bell. 1984. A method for establishing site-specific stream design flows for wasteload allocations. Journal – Water Pollution Control Federation 56(10): 1123-1130.
- USEPA. 1986. Technical guidance manual for performing wasteload allocation; Book VI, design conditions; Chapter 1, stream design flow for steady-state modeling. Office of Water Regulations and Standards, US Environmental Protection Agency. EPA Document PB92-231178.  
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