

EPA U.S. Environmental Protection Agency
 STRATOSPHERIC OZONE PROTECTION PROGRAM

CLASS II CONTROLLED SUBSTANCE
 TRANSFERS OF PRODUCTION ALLOWANCES, CONSUMPTION
 ALLOWANCES, OR ARTICLE 5 ALLOWANCES
 (Sec 82.23)

SECTION 1 TRANSFEROR IDENTIFICATION

1.1 Date of Submission		1.2 Number of Transactions Reported	
1.3 Number of Pages Submitted		1.4 <input type="checkbox"/> Original Submittal	<input type="checkbox"/> Re-submittal

1.5 Company Information

Company Name _____

Street Address _____

City _____	State _____	Zip Code _____
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1.6 Company Contact Identification

Reporting Company Contact Person _____

E-mail Address _____

Phone Number _____	Fax Number _____
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1.7 Signature of Reporting Company Representative

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

Name _____

Title _____

Signature _____ Date _____

SEND COMPLETED FORMS TO:	For U.S. Postal Service:	For Private Courier:
	U.S. EPA Stratospheric Protection Division Office of Atmospheric Programs Mail Code: 6205T 1200 Pennsylvania Avenue, N.W. Washington, DC 20460	U.S. EPA Tracking System Program Manager Stratospheric Protection Division William Clinton East Building, Room 1340 1201 Constitution Avenue, N.W. Washington, DC 20004

Information in reports submitted in compliance with the final rule may be claimed as confidential. A company may assert a claim of confidentiality for information submitted by clearly marking that information as confidential. Such information shall be treated in accordance with EPA's procedures for information claimed as confidential at 40 CFR Part 2, Subpart B, and will only be disclosed by the means set forth in the subpart. If no claim of confidentiality accompanies the report when it is received by EPA, it may be made public without further notice to the company (40 CFR 2.203).

The public reporting and recordkeeping burden for this collection of information is estimated to average 4.5 hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

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SECTION 2 TRANSACTION RECORDS

(Reproduce Additional Sheets as Needed)

TRANSACTION #		
2.1 Transferee Identification		
Transferee Company Name		
Transferee Contact Person		
Street Address		
City	Country	Postal Code
Phone Number	Fax Number	
2.2 Type of Allowance Being Transferred (check only one):		
Select One:	<input type="checkbox"/> Production	<input type="checkbox"/> Consumption
		<input type="checkbox"/> Article 5
Select One:	<input type="checkbox"/> Current Year Allowances	<input type="checkbox"/> Baseline Year Allowances
	(Please note that inter-pollutant transfers of Baseline Year Allowances will not be approved)	
2.3 HCFC Transferring From		2.4 ODP
2.5 Quantity of HCFC Allowances in Section 2.3 Being Transferred (kg)		
2.6 Calculated Level of HCFC in Section 2.3 Being Transferred (Section 2.4 x Section 2.5)*		
2.7 HCFC Transferring To		2.8 ODP
2.9 Amount of Offset (0.001 x Section 2.5) (kg)*		
2.10 Number of Allowances Subtracted from Transferor's Balance of HCFC Allowances (Section 2.5 + Section 2.9) (kg)*		
2.11 Quantity of HCFC Allowances in Section 2.7 Being Received (Section 2.6 / Section 2.8) (kg)*		
<p><i>*When calculating 2.6 and 2.9, please note that these values should <u>not</u> be rounded. Only the quantities calculated in 2.10 and 2.11 should be rounded to the closest whole number.</i></p>		

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SECTION 3 ALLOWANCE BALANCE SUMMARY

3.1 Balance of Unexpended HCFC Allowances Prior to Transfers Reported

A	B	C	D
Chemical Name	Balance of Unexpended Production Allowances	Balance of Unexpended Consumption Allowances	Balance of Unexpended Article 5 Allowances
HCFC-22			
HCFC-142b			
HCFC-123			
HCFC-124			
HCFC-225ca			
HCFC-225cb			