#### America's Children and the Environment, Third Edition

#### **DRAFT Indicators**

#### **Health: Adverse Birth Outcomes**

EPA is preparing the third edition of *America's Children and the Environment* (ACE3), following the previous editions published in December 2000 and February 2003. ACE is EPA's compilation of children's environmental health indicators and related information, drawing on the best national data sources available for characterizing important aspects of the relationship between environmental contaminants and children's health. ACE includes four sections: Environments and Contaminants, Biomonitoring, Health, and Special Features.

EPA has prepared draft indicator documents for ACE3 representing 23 children's environmental health topics and presenting a total of 42 proposed children's environmental health indicators. This document presents the draft text, indicators, and documentation for the adverse birth outcomes topic in the Health section.

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For more information on America's Children and the Environment, please visit <u>www.epa.gov/ace</u>. For instructions on how to submit comments on the draft ACE3 indicators, please visit <u>www.epa.gov/ace/ace3drafts/</u>.

## 1 Adverse Birth Outcomes

2

3 The period of gestation is a crucial determinant of an infant's health and survival for years to 4 come. Two measures that may be used to understand the quality of an infant's gestation are the 5 length of gestation (pregnancy length) and birth weight. Normal term pregnancies last between 6 37 and 41 completed weeks, allowing for more complete development of an infant's organs and 7 systems.<sup>1</sup> An infant is considered preterm if he or she is born before 37 completed weeks of 8 gestation.<sup>1</sup> Birth weight is a composite determined by two factors: length of gestation and fetal 9 growth (the rate at which an infant develops and increases in size). Infants may be born with a 10 low birth weight simply because they were born prematurely, or they may be smaller than expected given their gestational age. Low birth weight is considered as less than 2,500 grams 11 (about 5 pounds, 8 ounces).<sup>2</sup> Because birth weight alone does not always indicate whether an 12 infant's fetal growth has been restricted, other measurements such as birth length, head 13 14 circumference, and abdominal circumference are also used. 15

- 16 Other adverse birth outcomes that are not discussed here include high birth weight, neonatal
- 17 mortality, and birth defects, a specific group of adverse birth outcomes that include structural and
- 18 functional abnormalities.
- 19
- 20 Preterm and low birth weight infants are at greater risk for mortality and a variety of health and
- 21 developmental problems. Conditions related to preterm birth and low birth weight are the second
- 22 leading cause of infant death in the United States (after birth defects).<sup>3</sup> The infant mortality rate
- for low birth weight infants is about 25 times that of the infant mortality rate for normal weight
- babies. Likewise, the infant mortality rate for late preterm babies (34–36 weeks of gestation) is about three times the infant mortality rate for term babies, and the infant mortality rate for very
- 26 preterm babies (less than 32 weeks of gestation) is 75 times that of term babies.<sup>3</sup> Low birth
- 27 weight infants are more likely to have underdeveloped lungs and breathing problems; heart
- 28 problems (which can lead to heart failure); immature and improperly functioning livers; too
- 29 many or too few red blood cells (polycythemia or anemia); inadequate body fat, leading to
- 30 trouble maintaining a normal body temperature; feeding problems; and increased risk of
- 31 infection.<sup>2</sup> Preterm infants may experience complications such as acute respiratory,
- 32 gastrointestinal, immunologic, and central nervous system problems. Longer-term motor,
- 33 cognitive, visual, hearing, behavioral, social-emotional, health, and growth problems may not
- 34 become apparent for years, and may persist throughout a child's life. The birth of a preterm or
- 35 low birth weight infant can have significant emotional and economic effects on the infant's
- 36 family.<sup>4</sup>
- 37
- 38 For many years, the prevalence of both preterm birth and low birth weight have been increasing;<sup>5</sup>
- 39 however, starting in 2006 this pattern seems to be partially reversing as the rate of preterm birth
- 40 is now declining. A number of factors may be contributing to the increases, including increases
- 41 in maternal age, rates of multiple births (e.g., twins, triplets), use of early Cesarean sections and
- 42 labor inductions, and use of assisted reproductive technologies (e.g., in vitro fertilization).<sup>4</sup> The
- 43 rates of multiple births have increased in recent decades. The rate of twin births increased 70%
- from 1980–2004, but has been essentially stable since that time. The rate of triplet and higher

order births increased 400% from 1980 to 1998, but since that time has been trending 1

2 downward.<sup>6</sup> Multiple births run a higher risk of preterm birth and low birth weight. Other factors

3 linked to preterm birth and low birth weight include fetal birth defects; chronic maternal health

4 problems (e.g., high blood pressure); maternal use of tobacco, alcohol, and illicit drugs; maternal

5 and fetal infections; placental problems; inadequate maternal weight gain; and socioeconomic

6 factors (e.g., low income and poor education).7-11

7

8 Rates of low birth weight and preterm birth can vary greatly by maternal race/ethnicity. Black women have consistently had higher rates of preterm and low birth weight babies.<sup>12</sup> While it has 9

been suggested that race is a proxy for differences in socioeconomic status (SES), most studies 10

that have controlled for differences in SES continue to find persistent birth outcomes differences 11 between Black and White women.<sup>12-15</sup> Similarly, studies that have adjusted for other risk factors, 12

such as risky behavior during pregnancy and use of prenatal care, have found these persistent 13

Black-White differences in birth outcomes as well.<sup>3,16,17</sup> 14

15

16 While much of the increasing frequency of preterm birth and low birth weight might be

explained by changing maternal characteristics and obstetric practices, other factors-including 17

environmental contaminants—may also play a role in the increasing rates.<sup>18</sup> A growing number 18

19 of studies have examined the possible role that exposure to environmental contaminants may

20 play in the causation of preterm birth and low birth weight. The evidence is particularly strong

21 for environmental tobacco smoke (ETS) and lead. The U.S. Surgeon General has concluded that

22 the evidence is sufficient to infer a causal relationship between maternal exposure to ETS and

reductions in birth weight, and is suggestive of a causal relationship between exposure to ETS 23 and preterm delivery.<sup>19</sup> The Institute of Medicine has that concluded there is sufficient evidence

24 25 to suggest that maternal exposure to lead results in an increased risk of preterm birth.<sup>4</sup>

26

27 The link between common air pollutants and adverse birth outcomes has received more attention

28 in recent years. Particulate matter (PM), sulfur dioxide (SO<sub>2</sub>), nitrogen dioxide (NO<sub>2</sub>), and

29 carbon monoxide (CO) exposure have all been linked to decreased fetal growth, low birth 30

weight, and preterm birth in a number of large epidemiological studies (many with 10,000+ participants) in many different countries.<sup>20-34</sup> In such studies, researchers make an effort, when 31

data are available, to adjust for other factors that may also lead to an increased risk of low birth 32

33 weight or preterm birth, such as mother's age, smoking status, race, and income.<sup>35</sup> Other studies

have linked proximity to traffic density during pregnancy to increased risk of preterm birth and 34

35 low birth weight, although an extensive review study concluded that there is inadequate and

insufficient evidence to infer a causal relationship.<sup>36-41</sup> 36

37

38 In addition to air pollutants, several other environmental chemicals are suspected contributors to

39 adverse birth outcomes. There is limited, yet growing evidence that prenatal exposure to

40 phthalates may lead to adverse birth outcomes. A handful of studies find associations between

prenatal exposure to some phthalates and preterm birth, shorter gestational length, and low birth 41

weight.<sup>42-45</sup> A limited number of studies suggest that prenatal exposure to another class of 42

chemicals, polychlorinated biphenyls (PCBs), may lead to preterm birth and low birth weight or 43 otherwise restrict fetal growth.<sup>46-49</sup> One study examining women from the Danish National Birth

- 44
- Cohort found that exposure to PCBs from fatty fish consumption was associated with lower birth 45

weight. The study found that infants born to highly exposed women weighed, on average, about 46

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- 5.5 ounces less than infants born to women with relatively low PCB exposure.<sup>50</sup> Another study 1
- 2 looked at a historical cohort of women who were pregnant prior to the 1979 ban of PCBs, and
- 3 failed to see any relation between PCB exposure and low birth weight or shorter pregnancy
- 4 length.<sup>51</sup> A growing number of human health studies have found associations between prenatal
- 5 exposure to perfluorinated compounds (PFCs)-particularly PFOS and PFOA-and a range of 6 adverse birth outcomes, such as low birth weight, decreased head circumference, reduced birth
- length, and smaller abdominal circumference, although the results of such studies are mixed.<sup>52-56</sup> 7
- 8 Studies of disinfection byproducts in drinking water as possible causes of adverse birth outcomes
- 9 are also conflicting, with recent evidence indicating that there may be no effect on preterm
- birth.<sup>57-59</sup> Studies of arsenic in drinking water and birth outcomes have produced similarly mixed 10
- results.<sup>60-62</sup> For the following environmental contaminants, there is some evidence from animal 11
- studies and a limited number of studies in humans of possible associations with adverse birth 12
- outcomes, particularly reduced fetal growth: benzene,<sup>63</sup> herbicides,<sup>64</sup> bisphenol A (BPA),<sup>65</sup> dioxins and dioxin-like chemicals,<sup>66</sup> and manganese.<sup>67</sup> 13
- 14
- 15
- 16 This section presents two indicators of adverse birth outcomes: Indicator Birth1 presents the rate
- 17 of preterm birth, and Indicator Birth2 presents the rate of term low birth weight. These two
- 18 indicators were chosen because for each there is a wealth of quality data available.
- 19

- 1 Indicator Birth1: Percentage of babies born preterm, by
- 2 race/ethnicity, 1993–2007

## 3 Indicator Birth2: Percentage of babies born at term with low

- 4 birth weight, by race/ethnicity, 1993–2007
- 5

### Overview

Indicator Birth1 shows the percentage of babies born preterm and Indicator Birth2 shows the percentage of babies who are born at term with low birth weight. Both graphs show separate lines for the different race/ethnicity groups. The data come from a national data system that collects data from birth certificates for virtually every baby born in the United States. Indicators Birth1 and Birth2 show the change in preterm and term low birth weight over time.

6

### 7 The National Vital Statistics System

- 8 The data for these indicators of preterm birth and low birth weight come from the National Vital
- 9 Statistics System (NVSS), operated by the Centers for Disease Control and Prevention (CDC).
- 10 The NVSS data are provided through contracts between the CDC and vital registration systems
- 11 operated in each state, which are legally responsible for the registration of vital events including
- 12 births, deaths, marriages, divorces, and fetal deaths. The collection and publication of this
- 13 information is mandated by federal law. Together the CDC and the states have developed
- 14 standard forms and procedures to use for the data collection. The NVSS captures virtually all of
- 15 the births occurring in the United States.
- 16
- 17 Birth certificates provide information on characteristics of both the infant and his/her parents,
- 18 including the weight of the infant and the length of gestation. Length of gestation is recorded in
- 19 completed weeks, so for example a pregnancy of 36 weeks and 6 days would be recorded as 36
- 20 weeks, and would therefore be considered preterm.<sup>4</sup> Pregnancy duration is most often estimated
- 21 from the date of a woman's last menstrual period. Many factors, including age, levels of physical
- 22 activity, and body mass, can cause variation in menstrual cycle timing, making this method of 23 estimating gestational length subject to some error 4
- estimating gestational length subject to some error.<sup>4</sup>

## 24 Data Presented in the Indicators

- 25 Indicator Birth1 displays the trend in the percentage of preterm births for all births (singletons, as
- 26 well as multiples), with a separate line for each maternal race/ethnicity group and a single line
- 27 for all maternal races and ethnicities combined for the years 1993–2007.
- 28
- 29 Indicator Birth2 displays the trend in the percentage of low birth weight births at term among all
- 30 births (singletons, as well as multiples), with a separate line for each maternal race/ethnicity
- 31 group and a single line for all maternal races and ethnicities combined for the years 1993–2007.
- 32 By highlighting the trend for only term births (babies with a gestational age of 37 completed

DRAFT Indicator for Third Edition of America's Children and the Environment Page 4 February 2011 DO NOT QUOTE OR CITE 1 weeks or more), the attempt is to focus on babies who are truly small and to exclude babies

2 whose low birth weight is simply a result of being born prematurely. Therefore, this indicator

3 understates the total number of infants with low birth weight. Another effect of this choice is that

- the indicator will miss preterm babies who would still be of low birth weight even if they hadbeen carried to term.
- 6

7 Five maternal race/ethnicity groups are presented in these indicators: White non-Hispanic, Black

8 non-Hispanic, Hispanic, American Indian/Alaska Native non-Hispanic, and Asian Pacific

9 Islander non-Hispanic. Prior to the year 1993, not all states recorded Hispanic origin on birth

10 certificates; for this reason, both Indicator Birth1 and Birth2 begin with data from the year 1993.

Birth certificates do not include information on family or maternal income; therefore it is not

12 possible to examine differences or trends by income level.

13

14 The indicator graphs show data for all births, singletons and multiples combined. The rates for

- 15 singletons and multiples are provided in supplemental data tables. Additional supplemental
- 16 tables highlight differences in rates of preterm birth and term low birth weight by age of the
- 17 mother.
- 18

#### 19 Statistical Testing

- 20 Statistical analysis has been applied to the indicators to determine whether any changes in
- 21 prevalence over time, or any differences in prevalence between demographic groups, are
- statistically significant. These analyses use a 5% significance level ( $p \le 0.05$ ), meaning that a
- 23 conclusion of statistical significance is made only when there is no more than a 5% chance that
- 24 the observed change over time or difference between demographic groups occurred randomly. It
- should be noted that when statistical testing is conducted for differences among multiple
- demographic groups (e.g., considering both race/ethnicity and age), the large number of
- 27 comparisons involved increases the probability that some differences identified as statistically
- significant may actually have occurred randomly.
- 29

30 A finding of statistical significance for a health indicator depends not only on the numerical

- 31 difference in the value of a reported statistic between two groups, but also on the number of
- 32 observations in the survey and various aspects of the survey design. For example, if the
- 33 prevalence of a health effect is different between two groups, the statistical test is more likely to
- 34 detect a difference when data have been obtained from a larger number of people in those
- 35 groups. A finding that there is or is not a statistically significant difference in prevalence between
- 36 two groups or in prevalence over time is not the only information that should be considered when
- 37 determining the public health implications of those differences.
- 38
- 39 The NVSS records virtually all births in the United States—approximately 4 million per year.
- 40 Because of this very large sample size, differences in birth outcomes that appear to be small in
- 41 magnitude may be found to be statistically significant. Extensive research has been conducted
- 42 with NVSS data to assess the presence of statistically significant trends and demographic
- 43 differences, including analyses with much more detail than the one conducted here.<sup>18,68,69</sup>
- 44



- 1 2 3 4 5 6 7 8 9 10
- Between 1993 and 2007, the rate of preterm birth rose from 11.0% of births in 1993 to 12.7% of births in 2007. This increase was statistically significant.
- In 2007, Black non-Hispanic women had the highest rate of preterm birth, compared with women of other races/ethnicities. Almost 1 in 5 infants born to Black non-Hispanic women were born prematurely in that year.
  - Statistical note: The rate of preterm birth for Black non-Hispanic women was statistically significantly higher than for all other race/ethnicity groups.
- 10

- The preterm birth rate rose for each race/ethnicity group. The preterm birth rate for Black
   non-Hispanic women fell slightly between 1993 and 2000, and after that began to rise bac
  - non-Hispanic women fell slightly between 1993 and 2000, and after that began to rise back to 1993 levels.

1 2 3 4	• Statistical note: The increases in the rate of preterm birth for each race/ethnicity group were statistically significant. For Black non-Hispanic women, the decrease from 1993 to 2000 and the increase from 2000 to 2007 were both statistically significant.
5	• Preterm birth rate trends vary depending on the age of the mother. Women ages 20 to 39
6	years have the lowest rate of preterm birth, compared with women under 20 years and
7	women 40 years and older. Each age group experienced an increase in the rate of preterm
8	birth between 1993 and 2007; however, the increase for women under 20 years was
9	comparatively smaller. (See Table Birth1a.)
10	• Statistical note: The differences between the preterm birth rates for the different age
11	groups are statistically significant. The increases in the rate of preterm birth for all age
12	groups were statistically significant as well.
13	
14	• Twins, triplets, and other higher-order multiple birth babies are more than 5 times as likely to
15	be born preterm compared to singleton babies (61.6% vs. 11.0% in 2007). The rates for both
16	singletons and multiples have increased from 1993 to 2007. (See Table Birth1b)
17	• Statistical note: The increases for both singleton and multiple births are statistically
18	significant.
19	



- stayed relatively constant over the period of 1993–2007.
- The rate of term low birth weight varies by race/ethnicity. The rate is highest for infants born to Black non-Hispanic mothers and lowest for infants born to White non-Hispanic mothers.
  - Statistical note: The rate of term low birth weight for Black non-Hispanic women was statistically significantly higher than for all other race/ethnicity groups. The rate of term low birth weight for White non-Hispanic women was statistically significantly lower than for all other race/ethnicity groups except for American Indian/Alaska Native non-Hispanic women.
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## Health: Adverse Birth Outcomes

1 Term low birth weight rates vary by the age of the mother. Women ages 20 to 39 years have 2 the lowest rate of term low birth weight infants, while women under 20 years have the 3 highest rate of term low birth weight infants. (See Table Birth2a.) These differences are 4 statistically significant. 5 6 The rate of term low birth weight increased for women of all age groups: under 20, 20–39, 7 and 40 and older. Women 40 years and older experienced the largest increase in the rate of 8 term low birth weight, increasing from 2.9% in 1993 to 3.3% in 2007. These increases were 9 statistically significant (See Table Birth2a.) 10 11 Twins, triplets, and other higher-order multiple birth babies are more than 5 times as likely to • be born at term with low birth rate compared with singleton babies (12.3% vs. 2.4% in 2007). 12 13 The rate of term low birth weight for singleton babies has increased slightly over the period 14 of 1993–2007, while the rate of term low birth weight for multiples has decreased over the 15 same time period. (See Table Birth2b) o Statistical note: Both the increase in the rate of term low birth weight for singleton 16 17 babies and the decrease in the rate for multiple babies are statistically significant. 18 19 20

#### 1 Data Tables 2 Table Birth1: Per 3

#### Table Birth1: Percentage of babies born preterm, by race/ethnicity, 1993-2007

1993-2000	1993-2000											
	1993	1994	1995	1996	1997	1998	1999	2000				
All races/ethnicities	11.0%	11.0%	11.0%	11.0%	11.4%	11.6%	11.8%	11.6%				
White non- Hispanic	9.1%	9.3%	9.4%	9.5%	9.9%	10.2%	10.5%	10.4%				
Black or African- American non- Hispanic	18.6%	18.2%	17.8%	17.5%	17.6%	17.6%	17.6%	17.4%				
Asian or Pacific Islander non- Hispanic	10.0%	10.1%	9.9%	10.0%	10.2%	10.3%	10.4%	9.9%				
American Indian or Alaska Native non-Hispanic	12.3%	12.0%	12.4%	11.9%	12.2%	12.2%	12.7%	12.6%				
Hispanic	11.0%	10.9%	10.9%	10.9%	11.2%	11.4%	11.4%	11.2%				
Mexican	10.6%	10.6%	10.6%	10.5%	10.8%	11.0%	11.1%	11.0%				
Puerto Rican	13.3%	13.4%	13.4%	13.2%	13.7%	13.9%	13.7%	13.5%				
Unknown ethnicity	10.1%	11.0%	10.5%	9.8%	10.7%	10.5%	10.5%	10.8%				
2001-2007												
	2001	2002	2003	2004	2005	2006	2007					
All races/ethnicities	11.9%	12.1%	12.3%	12.5%	12.7%	12.8%	12.7%					
White non- Hispanic	10.8%	11.0%	11.3%	11.5%	11.7%	11.7%	11.5%					
Black or African- American non- Hispanic	17.6%	17.7%	17.8%	17.9%	18.4%	18.5%	18.3%					
Asian or Pacific Islander non- Hispanic	10.3%	10.4%	10.4%	10.5%	10.7%	10.9%	10.8%					
American Indian or Alaska Native non-Hispanic	13.2%	13.0%	13.5%	13.7%	14.2%	14.3%	14.1%					
Hispanic	11.4%	11.6%	11.8%	12.0%	12.1%	12.2%	12.3%					
Mexican	11.2%	11.4%	11.7%	11.8%	11.8%	11.8%	11.9%					
Puerto Rican	13.7%	14.0%	13.8%	14.0%	14.3%	14.4%	14.5%					
I I a I a a a a a a a												

DATA: National Center for Health Statistics, National Vital Statistics System

1 2

#### Table Birth1a. Percentage of babies born preterm, by mother's age, 1993-2007

1993-2000								
	1993	1994	1995	1996	1997	1998	1999	2000
< 20 years	14.3%	14.2%	13.8%	13.6%	13.8%	14.0%	14.1%	13.9%
20-39 years	10.4%	10.5%	10.5%	10.5%	10.9%	11.2%	11.3%	11.2%
40+ years	13.2%	13.7%	13.7%	13.8%	14.4%	14.9%	15.2%	15.1%
2001-2007								
	2001	2002	2003	2004	2005	2006	2007	
< 20 years	14.1%	14.0%	14.3%	14.5%	14.7%	14.8%	14.6%	
20-39 years	11.6%	11.7%	12.0%	12.1%	12.4%	12.4%	12.3%	
40+ years	15.6%	16.0%	16.3%	16.6%	16.8%	17.0%	17.2%	

DATA: National Center for Health Statistics, National Vital Statistics System

#### Table Birth1b. Percentage of babies born preterm, by all births, singletons, and multiples, 1993-2007

1993-2000								
	1993	1994	1995	1996	1997	1998	1999	2000
All births	11.0%	11.0%	11.0%	11.0%	11.4%	11.6%	11.8%	11.6%
Singletons	9.9%	9.9%	9.8%	9.7%	10.0%	10.1%	10.3%	10.1%
Multiples	53.1%	54.0%	54.6%	55.6%	57.3%	58.4%	59.4%	58.7%
2001-2007								
	2001	2002	2003	2004	2005	2006	2007	
All births	11.9%	12.1%	12.3%	12.5%	12.7%	12.8%	12.7%	
Singletons	10.4%	10.4%	10.6%	10.8%	11.0%	11.1%	11.0%	
Multiples	59.4%	60.1%	61.2%	61.4%	62.1%	61.9%	61.6%	

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DATA: National Center for Health Statistics, National Vital Statistics System

#### Table Birth2: Percentage of babies born at term with low birth weight, by race/ethnicity, 1993-2007

	1993	1994	1995	1996	1997	1998	1999	2000
All races/ethnicities	2.6%	2.6%	2.6%	2.6%	2.6%	2.5%	2.5%	2.5%
White non-Hispanic	2.1%	2.2%	2.2%	2.2%	2.2%	2.1%	2.1%	2.1%
Black or African-American non-Hispanic	4.6%	4.5%	4.5%	4.4%	4.3%	4.3%	4.3%	4.3%
Asian or Pacific Islander non-Hispanic	2.9%	3.0%	3.1%	3.1%	3.0%	3.1%	3.0%	3.1%

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1993-2000								
American Indian or Alaska								
Native non-Hispanic	2.4%	2.3%	2.3%	2.3%	2.3%	2.4%	2.4%	2.2%
Hispanic	2.4%	2.4%	2.3%	2.3%	2.4%	2.3%	2.3%	2.3%
Mexican	2.3%	2.2%	2.2%	2.2%	2.2%	2.2%	2.1%	2.2%
Puerto Rican	3.4%	3.2%	3.3%	3.3%	3.3%	3.4%	3.1%	3.2%
Unknown ethnicity	2.5%	2.6%	2.4%	2.4%	2.4%	2.2%	2.5%	2.2%
2001-2007								
							1	
	2001	2002	2003	2004	2005	2006	2007	
All races/ethnicities	2.5%	2.6%	2.6%	2.7%	2.7%	2.7%	2.7%	
White non-Hispanic	2.2%	2.2%	2.2%	2.3%	2.3%	2.3%	2.3%	
Black or African-American non-Hispanic	4.2%	4.3%	4.4%	4.5%	4.5%	4.5%	4.5%	
Asian or Pacific Islander non-Hispanic	3.1%	3.2%	3.2%	3.2%	3.2%	3.3%	3.2%	
American Indian or Alaska Native non-Hispanic	2.4%	2.4%	2.5%	2.6%	2.5%	2.5%	2.4%	
Hispanic	2.3%	2.3%	2.4%	2.4%	2.4%	2.5%	2.4%	
Mexican	2.2%	2.2%	2.2%	2.3%	2.3%	2.4%	2.2%	
Puerto Rican	3.2%	3.2%	3.4%	3.2%	3.3%	3.4%	3.4%	
Unknown ethnicity	2.2%	2.3%	2.5%	2.6%	2.3%	2.8%	2.9%	

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DATA: National Center for Health Statistics, National Vital Statistics System

DATA: National Center for Health Statistics, National Vital Statistics System

Table Birth2a. Percentage of babies born at term with low birth weight, by mother's age, 1993-2007

1993-2000										
	1993	1994	1995	1996	1997	1998	1999	2000		
< 20 years	3.4%	3.5%	3.5%	3.6%	3.6%	3.5%	3.5%	3.5%		
20-39 years	2.5%	2.5%	2.4%	2.5%	2.4%	2.4%	2.4%	2.4%		
40+ years	2.9%	3.1%	3.1%	3.1%	3.1%	3.0%	3.1%	3.2%		
2001-2007										
	2001	2002	2003	2004	2005	2006	2007			
< 20 years	3.5%	3.5%	3.6%	3.7%	3.7%	3.7%	3.6%			
20-39 years	2.4%	2.4%	2.5%	2.5%	2.5%	2.6%	2.6%			
40+ years	3.2%	3.2%	3.2%	3.4%	3.4%	3.4%	3.3%			

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10 11

## 1 2 3

Table Birth2b. Percentage of babies born at term with low birth weight, by all births, singletons, and multiples, 1993-2007

1993-2000								
	1993	1994	1995	1996	1997	1998	1999	2000
All births	2.6%	2.6%	2.6%	2.6%	2.6%	2.5%	2.5%	2.5%
Singletons	2.3%	2.3%	2.3%	2.3%	2.3%	2.2%	2.2%	2.2%
Multiples	13.4%	13.0%	13.1%	13.0%	12.3%	12.1%	11.9%	12.0%
2001-2007								
	2001	2002	2003	2004	2005	2006	2007	
All births	2.5%	2.6%	2.6%	2.7%	2.7%	2.7%	2.7%	
Singletons	2.2%	2.3%	2.3%	2.3%	2.3%	2.4%	2.4%	
Multiples	12.2%	12.1%	12.0%	12.0%	12.0%	12.3%	12.3%	

4 5

DATA: National Center for Health Statistics, National Vital Statistics System

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### 1 Metadata

Metadata for	National Vital Statistics System (NVSS)
Brief description of the data	The National Vital Statistics System (NVSS) collects and
sot	disseminates data on births deaths marriages divorces and fetal
301	deaths from vital event registration systems. The results of NVSS
	provide pearly complete data to track these vital statistics
	provide hearly complete data to track these vital statistics
Who provides the data set?	Contors for Disease Control and Provention, National Contor for
who provides the data set?	Logith Statistics
How are the data	Data are obtained from birth, death, marriage and diverse
acthorod?	artificates collected by the vericus jurisdictions legally responsible
gamered?	for registration of those events
What do average tation is	for registration of these events.
what documentation is	See <u>http://www.coc.gov/nchs/data_access/vitaistatsoniine.htm</u> for
available describing data	user's guides by calendar year.
collection procedures?	
What types of data relevant	Births, deaths, marriages, and divorces. Demographic information.
for children's environmental	Cause of mortality. State and county (data prior to 2004 only).
health indicators are	Births: birth order, period of gestation, method of delivery, birth
available from this	weight, abnormal conditions of the newborn, congenital
database?	abnormalities.
What is the spatial	Nearly complete national registration data have been collected
representation of the	since 1985. State and county locations are recorded until 2004.
database (national or	
other)?	
Are raw data (individual	Data for each calendar year are available for download and
measurements or survey	analysis from
responses) available?	(http://www.cdc.gov/nchs/data_access/Vitalstatsonline.htm) with
	records for each birth, death, marriage, or divorce certificate.
	Annual and monthly reports from the NVSS are available
	(http://www.cdc.gov/nchs/nvss/nvss_products.htm).
	Raw NVSS data are also available from the National Bureau of
	Economic Research at
	http://www.nber.org/data/#demographic
	Personal identification data (e.g., names) is not available.
How are database files	Raw data:
obtained?	http://www.cdc.gov/nchs/data_access/Vitalstatsonline.htm
	and http://www.nber.org/data/#demographic.
	Queriable, less detailed data set including births, deaths, and fetal
	deaths, with broad response categories: CDC WONDER at
	http://wonder.cdc.gov
	Prebuilt or user-built birth data tables are available at
	http://www.cdc.gov/nchs/VitalStats.htm.
Are there any known data	For approximately 0.5% of the birth records, the mother's race was
quality or data analysis	not stated and in those cases the mother's race was statistically
concerns?	imputed. From 2003, some states allowed reporting of multiple
	races, and in those cases the multiple race was bridged to a
	primary race using statistical methods.
What documentation is	See http://www.cdc.gov/nchs/data_access/Vitalstatsonline.htm for
available describing QA	user's guides by calendar year.
procedures?	
For what years are data	Online data: Births 1968-2007. Mortality multiple cause 1968-2007.

Metadata for	National Vital Statistics System (NVSS)
available?	Fetal death 1982-2005.
What is the frequency of	Continuous.
data collection?	
What is the frequency of	Annually.
data release?	
Are the data comparable across time and space?	Some response variables have response categories that have changed over time. Cause of mortality International Classification of Diseases coding systems have changed over time. Birth certificate categories changed between the 1989 and 2003 versions of the birth certificates.
Can the data be stratified by race/ethnicity, income, and location (region, state, county or other geographic unit)?	Race, ethnicity, State and county data are complete prior to 1989, contain county and city information only for counties with populations above 100,000 for 1989 to 2004, and contain no location information from 2005 forward. No income data.

### 1 Methods

#### 2 3 Indicator

- 4
- 5 Birth1. Percentage of babies born preterm, by race/ethnicity, 1993-2007.
- 6 Birth2. Percentage of babies born at term with low birth weight, by race/ethnicity, 1993-2007.

#### 8 Summary 9

- 10 Since 1960, the National Center for Health Statistics, a division of the Centers for Disease
- 11 Control and Prevention, has compiled birth certificate registration data from states and other U.S.
- 12 jurisdictions. The National Vital Statistics System (NVSS) online database includes birth
- 13 certificate data for virtually all U.S. births from 1968 to 2007. Indicator Birth1 uses the NVSS
- 14 data from 1993 to 2007 to calculate the percentages of babies that are born preterm, defined as a
- 15 period of gestation less than 37 completed weeks. Indicator Birth2 uses the NVSS data from
- 16 1993 to 2007 to calculate the percentages of babies that are both born at term, defined as a period
- 17 of gestation of at least 37 completed weeks, and have low birth weight, defined as a weight less
- 18 than 2,500 grams (5 pounds, 8 ounces). Tabulated results give the percentages of preterm and
- 19 term low birth weight babies by calendar year and maternal race/ethnicity. The supplementary
- tables Birth1a and Birth2a give the percentages of preterm and term low birth weight babies by
- 21 calendar year and maternal age group (< 20, 20-39, and 40+). The supplementary tables Birth1b
- and Birth2b give the percentages of preterm and term low birth weight babies by calendar yearand plurality (all, singleton, multiple).
- 23 an 24

#### 25 Data Summary

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- 27
- 28

Indicator	Birth1. Percentage of babies born preterm, by race/ethnicity, 1993-2007. Birth2. Percentage of babies born at term with low birth weight, by race/ethnicity, 1993-2007.										
Data	U.S. birth ce	rtificates.									
Years (1993- 1998)	1993	1993 1994 1995 1996 1997 1998									
Birth certificates	4,004,523	3,956,925	3,903,012	3,894,874	3,884,329	3,945,192					
Missing gestation period	35,888	35,124	36,501	40,687	38,503	40,433					
Missing gestation period and/or birth weight	39,074	39,074 38,026 38,897 43,321 40,810 43,045									

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## Health: Adverse Birth Outcomes

Indicator	Birth1. Percentage of babies born preterm, by race/ethnicity, 1993-2007. Birth2. Percentage of babies born at term with low birth weight, by race/ethnicity, 1993-2007.								
Years (1999- 2004)	1999	2000	2001	2002	2003	2004			
Birth certificates	3,963,465	4,063,823	4,031,531	4,027,376	4,096,092	4,118,907			
Missing gestation period	42,987	43,392	39,874	41,295	43,883	43,047			
Missing gestation period and/or birth weight	45,258	45,500	41,784	43,099	46,887	46,285			
Years (2005- 2006)	2005	2006	2007						
Birth certificates	4,145,619	4,273,225	4,324,008						
Missing gestation period	29,585	25,729	6,856						
Missing gestation period and/or birth weight	33,027	29,358	10,904						

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#### **Overview of Data Files**

The following files are needed to calculate this indicator.

Birth data:

• NATLXXXX.DAT.Z, where XXXX denotes the four-digit year for years 1996 - 2006. Each file is a compressed file that when decompressed gives the national birth certificate data for a calendar year for births in the 50 U.S. states or Washington DC. The companion files with birth certificate data for U.S. territories were not used for these analyses. These files together with SAS code to extract and read these data files and convert them into SAS format were obtained from the National Bureau of Economic Research (NBER) at the url:

16 17 18

http://www.nber.org/data/vital-statistics-natality-data.html

Natl2007us.zip. This file is a compressed file that when decompressed gives the national birth certificate data for the calendar year 2007 for births in 50 U.S. states and

1 2 3 4	Washington D.C. The SAS code to process 2006 year data was downloaded from NBER as described above and modified to process the 2007 year data contained in this file. This file was obtained from vital statistics data maintained online by the Centers for Disease Control and Prevention and available at the url:
5 6	http://www.cdc.gov/nchs/data_access/Vitalstatsonline.htm
7	
8	The variables needed for this indicator are the calendar year, mother's age, mother's race, mother's athnicity length of sectorian plurality and birth weight. The variable nemes and
9 10	formate vary by year and are detailed below
10	formats vary by year and are defaned below.
12	
13	National Vital Statistics System (NVSS) Natality Data
14	
15	The National Vital Statistics System (NVSS) is maintained by the National Center for Health
16	Statistics, a division of the Centers for Disease Control and Prevention. The NVSS compiles
17	national registration certificate data for births, deaths, marriages, divorces, and fetal deaths
18	provided by various jurisdictions, including states. This indicator uses NVSS birth data to
19	determine preterm and term low birth weight births.
20	
21	The Indicator Birth1 uses the gestation period variable GESTAT3 (GESTREC3 from 2003 to
22	2007) coded as follows:
23	
24	• $1 = $ Under 37 weeks
25	• $2 = 37$ weeks and over
26	• $3 = Not stated$
27	
28	This variable is a recode of the variable DGESTAT (COMBGEST from 2003 to 2007)
29	that ranges from 1 / to 4 / and provides the number of completed weeks of gestation of the weeks of gestation of the
30 21	mother. A preterm birth is defined as a birth with GESTAT3 = 1. Birth certificates with an unknown gostation pariod (CESTAT2 = 2) were evolved afrom the calculation of the Indicator
22	unknown gestation period (GESTATS – 5) were excluded from the calculation of the indicator $P_{intb}$
32	Ditti1.
34	The Indicator Birth? uses the gestation period variable GESTAT3 (GESTREC3 from 2003 to
35	2007) and the birth weight variable BIRWT4 (BWTR4 for 2003 to 2007) coded as follows:
36	
37	• $1 = 1499$ grams or less
38	• $2 = 1500 - 2499$ grams
39	• $3 = 2500$ grams or more
40	• 4 = Unknown or not stated
41	
42	This variable is a recode of the variable DBIRWT (DBWT for 2004 to 2007) that ranges from
43	0227 to 8165 and gives the birth weight in grams. A term low birth weight birth has a gestation
44	period of 37 or more completed weeks and a birth weight of less than 2,500 grams. Thus a term
45	low birth weight birth is defined by GESTAT3 = 2 and BIRWT4 = 1 or 2. Birth certificates with

1 2 3	an unknown gestation period ( $GESTAT3 = 3$ ) and/or an unknown birth weight ( $BIRWT4 = 4$ ) were excluded from the calculation of the Indicator Birth2.
4 5	The birth plurality used the variable DPLURAL, coded as follows:
6	• $1 = \text{Single}$
7	• $2 = Twin$
8	• $3 = \text{Triplet}$
9	• 4 = Quadruplet
10	• $5 = $ Ouintuplet or higher
11	
12	Singleton births are defined as births with DPLURAL = 1. Multiple births are defined as births
13	with DPLURAL > 2. In rare cases (e.g., $0.004$ % of births in 2007) the plurality was not reported
14	on the birth certificate and the value of DPLURAL was imputed to be 1 (singleton birth).
15	
16	Age, Race and Ethnicity
17	
18	The mother's age, race, and ethnicity were obtained from each of the natality files and regrouped
19	into categories, as follows:
20	
21	<u>Years 1993–2002</u>
22	
23	<ul> <li>Mother's Age. The mother's age for 1995 to 2002 is characterized by the variable</li> <li>DMACE. This variable ranges from 10 to 54 and gives the age of mother in gingle variable</li> </ul>
24 25	Ear these analysis, the following age groups for the mother were defined:
25 26	For these analysis, the following age groups for the mother were defined.
20	• Mother's age less than 20 years (DMAGE < 20):
27	• Mother's age between 20 and 39 (20 $\leq$ DMAGE $\leq$ 40): and
20	• Mother's age is $40$ or greater (DMAGE > $40$ )
30	• Wohler's age is 40 of greater ( $DWAOE \geq 40$ ).
31	$\circ$ Mother's Race and Hispanic origin. The mother's race for 1993 to 2002 is characterized
32	by the variable MRACE, MRACE is given the following values for the U.S. state and
33	Washington DC data used for these analyses. For the years 1993 to 2002, multiple race
34	responses were not available.
35	1
36	MRACE
37	
38	01 White
39	02 Black
40	03 American Indian (includes Aleuts and Eskimos)
41	04 Chinese
42	05 Japanese
43	06 Hawaiian (includes part-Hawaiian)
44	07 Filipino
45	18 Asian Indian

1	28 Korean
2	38 Samoan
3	48 Vietnamese
4	58 Guamanian
5	68 Other Asian or Pacific Islander in areas reporting codes 18-58
6	78 Combined other Asian or Pacific Islander, includes codes 18-68 for areas that do
7	not report them separately
8	
9	
10	The mother's Hispanic origin for 1993 to 2002 is characterized by the variable ORMOTH.
11	ORMOTH assumes the following values:
12	
13	ORMOTH
14	
15	0 Non-Hispanic
16	1 Mexican
17	2 Puerto Rican
18	3 Cuban
19	4 Central or South American
20	5 Other and unknown Hispanic
21	9 Origin unknown or not stated
22	Deard on the characteristic for the sector of the method's many of the site of the sector of the sec
23	Based on the above data, the following categories of the mother's race/ethnicity were defined:
24	White non Hignoria (MDACE $= 1$ and ODMOTH $= 0$ ):
23	• White non-Hispanic (MRACE $-1$ and ORMOTH $-0$ ),
20	• Black non-Hispanic (MRACE = 2 and ORMOTH = 0); • $(A = 1, 2, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3, 3,$
2/	• American Indian/Alaskan Native (AIAN) non-Hispanic (MRACE = 3 and ORMOTH $-0$ ):
28	=0);
29	• Asian or Pacific Islander (API) non-Hispanic (MRACE is 4, 5, 6, $7$ , 18, 28, 38, 48,
3U 21	58, 68, or 78 and OKNOTH = 0);
31	• Hispanic Mexican American (ORMOTH = 1); $H^{2}$ (OPMOTH = 2)
32	• Hispanic Puerto Rican (ORMOTH = 2);
33	• All Hispanic (ORMOTH = $1, 2, 3, 4, \text{ or } 5$ ); and
34	• Unknown ethnicity $-$ (ORMOTH = 9).
35	
36	In approximately 0.5% of the birth certificate records, the mother's race was not stated. In those
3/	cases, if the father's race was stated, then the father's race was used to impute the mother's race.
38	Otherwise, the mother's race was imputed from the mother's race on the preceding record with a
39 40	known mother's race. Thus a mother's race was assigned to all the records.
40 41	Vear 2003
42	
43	• Mother's Age: The mother's age for the year 2003 is characterized by the variable
44	MAGER41. This variable is encoded as follows:
45	
-	

1	MAG	ER41
2		$01 - U_{\rm r} d_{\rm rr} 15$ we are
3 1		01 - 0 inder 15 years
4		02 - 15 years
3		03 - 10 years
6		04 = 1 / years
/		
8		
9		39 = 52 years
10		40 = 53 years
		41 = 54 years
12		
13	0	For these analyses, the following age groups for the mother were defined:
14		
15		• Mother's age less than 20 years (MAGER41 < 7);
16		• Mother's age between 20 and 39 ( $7 \le MAGER41 \le 27$ ); and
17		• Mother's age is 40 or greater (MAGER41 $\geq$ 27).
18		
19		
20	0	Mother's Race and Hispanic origin: The mother's race for the year 2003 is characterized
21		by two variables MRACE and MBRACE for the U.S. state and Washington DC data used
22		for these analyses. MRACE is used for states and years where multiple race responses are
23		not available, and MBRACE is used for states and years where multiple race responses
24		are available.
25		
26	MRA	CE
27		
28		01 White
29		02 Black
30		03 American Indian (includes Aleuts and Eskimos)
31		04 Chinese
32		05 Japanese
33		06 Hawaiian (includes part-Hawaiian)
34		07 Filipino
35		18 Asian Indian
36		28 Korean
37		38 Samoan
38		48 Vietnamese
39		58 Guamanian
40		68 Other Asian or Pacific Islander in areas reporting codes 18-58
41		78 Combined other Asian or Pacific Islander, includes codes 18-68 for areas that do
42		not report them separately
43		Blank Not reported.
44		1

1	Beginning in 2003, some states started allowing multiple race responses for birth records. For
2	example. In 2007, multiple race was reported by California, Colorado, Delaware, Florida, Georgia
3	(for births based on the revised certificate only, which was implemented after January 1). Idaho.
4	Indiana, Iowa, Kansas, Kentucky, Michigan (for births at most facilities). Nebraska, New Hampshire.
5	New York state (excluding New York City). North Dakota, Ohio, Pennsylvania, South Carolina.
6	South Dakota, Tennessee, Texas, Vermont, Washington, and Wyoming, which used the 2003
7	revision of the U.S. Standard Certificate of Live Birth, as well as Hawaii, Minnesota and Utah, which
8	used the 1989 revision of the U.S. Standard Certificate of Live Birth. These 27 states accounted for
9	57% of U.S. births in 2007 and reported 1.7% of mothers as multiracial, with levels varying from 1%
10	(Texas) to 35% (Hawaii). In order to provide uniformity and comparability of data across the
11	vears NVSS bridged the multiple-race data according to the combination of races. Hispanic
12	origin sex and age indicated on the birth certificate of the mother or father to obtain a single
13	race for each birth record reporting multiple races in the NVSS datasets. For such records the
14	single race or bridged multiple race was given by the variable MBRACE MBRACE is given the
15	following values.
16	
17	MBRACE
18	MDMCE
19	01 White – single race
20	02 Black – single race
21	03 American Indian / Alaskan Native – single race
22	04 Asian Indian – single race
23	05 Chinese – single race
24	06 Filinino – single race
25	07 Japanese – single race
26	08 Korean – single race
27	09 Vietnamese – single race
28	10 Other Asian – single race
29	11 Hawaijan – single race
30	12 Guamanian – single race
31	13 Samoan – single race
32	14 Other Pacific Islander – single race
33	21 White – bridged multiple race
34	22 Black – bridged multiple race
35	23 American Indian / Alaskan Native – bridged multiple race
36	24 Asian / Pacific Islander – bridged multiple race
37	Blank Not on certificate
38	
39	A new variable CRACE was created by combining the data from MRACE and MBRACE using
40	the following logic. CRACE is equal to MRACE if MRACE is not a missing value. Otherwise.
41	CRACE is equal to MBRACE.
42	
43	The mother's Hispanic origin for 2003 is characterized by the variable UMHISP. UMHISP
44	assumes the following values:
45	
46	UMHISP

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1	
2	0 Non-Hispanic
3	1 Mexican
4	2 Puerto Rican
5	3 Cuban
6	4 Central or South American
7	5 Other and unknown Hispanic
8	9 Origin unknown or not stated
9	č
10	Based on the above data, following categories of the mother's race/ethnicity were defined:
11	
12	• White non-Hispanic (CRACE = 1 or 21 and UMHISP = 0);
13	• Black non-Hispanic (CRACE = 2 or 22 and UMHISP = 0);
14	• American Indian/Alaskan Native (AIAN) non-Hispanic (CRACE = 3 or 23 and
15	UMHISP =0):
16	• Asian or Pacific Islander (API) non-Hispanic (CRACE is 4 5 6 7 8 9 10 11 12
17	13 14 18 24 28 38 48 58 68 or 78 and UMHISP = 0).
18	• Hispanic Mexican American (UMHISP = 1):
19	• Hispanic Puerto Rican (UMHISP = 2):
20	• All Hispanic (UMHISP = $1, 2, 3, 4$ or 5): and
20	• Unknown ethnicity (UMHISP = 9)
$\frac{21}{22}$	• Onknown edimenty (Owninsi 7).
22	In approximately 0.5% of the birth certificate records, the mother's race was not stated. In those
23	cases if the father's race was stated then the father's race was used to impute the mother's race
25	Otherwise the mother's race was imputed from the mother's race on the preceding record with a
26	known mother's race. Thus a mother's race was assigned to all the records
27	
28	Years 2004 – 2007
29	
30	• Mother's Age: The mother's age for 2004 to 2007 is characterized by the variable
31	MAGER. This variable ranges from $10 - 54$ and gives the age of mother in single years.
32	For these analysis, the following age groups for the mother were defined:
33	
34	• Mother's age less than 20 years (MAGER < 20);
35	• Mother's age between 20 and 39 ( $20 \le MAGER \le 40$ ); and
36	• Mother's age is 40 or greater (MAGER $\geq$ 40)
37	
38	• Mother's Race and Hispanic Origin: Just as for 2003 the mother's race and Hispanic
39	origin category are given by the variables MRACE or MBRACE, and UMHISP
40	respectively. The categories of the mother's race/ethnicity were defined exactly as for the
41	vear 2003.
42	
43	Calculation of Indicator
44	

For each demographic group, the percentage of preterm births was calculated as the number of
 preterm births divided by the total number of births:

3 4 5

6

7

Percentage of preterm births =

Number of preterm births for mothers in group / Number of births with a stated gestation period for mothers in group  $\times$  100%

8 For each demographic group, the percentage of term low birth weight births was calculated as9 the number of term low birth weight births divided by the total number of births:

10 11

12

13

14

Percentage of term low birth weight births =

Number of low birth weight births at term for mothers in group / Number of births with a stated gestation period and a stated birth weight for mothers in group  $\times$  100%

#### 15 Questions and Comments

16

Questions regarding these methods, and suggestions to improve the description of the methods,
are welcome. Please use the "Contact Us" link at the bottom of any page in the America's

Children and the Environment website.

#### 21 Statistical Comparisons

22

23 Statistical analyses of the percentages of preterm or term low birth weight babies were used to 24 determine whether the differences between percentages for different demographic groups were 25 statistically significant. Using a logistic regression model, the logarithm of the odds that a given 26 baby is preterm or term low birth weight is assumed to be the sum of explanatory terms for the 27 mother's age group and/or race/ethnicity. The odds that a given baby is preterm is the probability 28 that the baby is preterm divided by the probability that the baby is not preterm (similarly for term 29 low birth weight). Thus if two demographic groups have similar (or equal) percentages of 30 preterm or term low birth weight births, then they will also have similar (or equal) values for the 31 logarithm of the odds. Using this model, the difference in the percentage between different 32 demographic groups is statistically significant if the difference between the corresponding sums 33 of explanatory terms is statistically significantly different from zero. The uncertainties of the 34 regression coefficients were calculated using the SAS® (SAS Institute, Cary, North Carolina) 35 statistical software GENMOD procedure and a binomial logistic model, treating the births for 36 each demographic subgroup as a random sample of births. A p-value at or below 0.05 implies 37 that the difference is statistically significant at the 5% significance level. No adjustment is made 38 for multiple comparisons. 39 40 For these statistical analyses we used six race/ethnicity groups: White non-Hispanic; Black non-

41 Hispanic; Asian or Pacific Islander non-Hispanic; American Indian or Alaska Native non-

- 42 Hispanic; Hispanic; Unknown ethnicity. In addition, for specific comparisons between the
- 43 Mexican and Puerto Rican subgroups, we applied a similar statistical analysis to only the data
- 44 from Mexican or Puerto Rican using two ethnicity groups: Mexican; Puerto Rican. We also used
- 45 three age groups: < 20, 20-39, and 40+.
- 46

For each type of comparison, we present unadjusted and adjusted analyses. The unadjusted 1 2 analyses directly compare a percentage between different demographic groups. The adjusted 3 analyses add other explanatory variables to the statistical model and use the statistical model to 4 account for the possible confounding effects of these other variables. For example, the 5 unadjusted race/ethnicity comparisons use and compare the percentages between different 6 race/ethnicity pairs. The adjusted analyses add age terms to the statistical model and compare the 7 percentages between different race/ethnicity pairs after accounting for the effects of the age 8 group. For example, if White non-Hispanics tend to be older when they have babies compared to 9 Black non-Hispanics, and if the probability of preterm births strongly depends on the mother's 10 age only, then the unadjusted differences between these two race/ethnicity groups would be significant but the adjusted difference (taking into account age) would not be significant. 11 12 13 Comparisons of the percentages of preterm and term low birth weight births between pairs of 14 race/ethnicity groups in the year 2007 are shown in Table 1. For the unadjusted comparisons, the 15 only explanatory variables are terms for each race/ethnicity group. For these unadjusted 16 comparisons, the statistical tests compare the percentage for each pair of race/ethnicity groups. 17 For the adjusted comparisons ("Adjusted for age"), the explanatory variables are terms for each 18 race/ethnicity group together with terms for each age group. For these adjusted comparisons, the 19 statistical test compares the pair of race/ethnicity groups after accounting for any differences in 20 the age distributions between the race/ethnicity groups. 21 22 Additional comparisons of the percentages of preterm and term low birth weight births are 23 shown in Table 2. The AGAINST = "age" unadjusted p-value compares the percentages for 24 different age groups in the year 2007. The adjusted p-value includes adjustment terms for 25 race/ethnicity in the model. The AGAINST = "year" p-value examines whether the linear trend

- 26 in the percentages is statistically significant; the adjusted model for trend adjusts for
- 27 demographic changes in the populations from year to year by including terms for age and
- 28 race/ethnicity. The p-values for AGAINST = "year" and specific values of SUBSET examine
- 29 whether the trend for that race/ethnicity or age group demographic subset is statistically
- 30 significant. The trend analyses are presented for the trend from 1993 to 2007. Overall trend
- analyses are presented for all births, singleton births, and multiple births. To address an issue of
- 32 particular interest in the indicator, results of trend analysis for preterm births to Black non-
- 33 Hispanic mothers are also presented for 1993-2000 and 2000-2007.
- 34
- For more details on these statistical analyses, see the memorandum by Cohen (2010).<sup>1</sup>
- 36 37

Table 1. Statistical significance tests comparing the percentages of preterm or term low birth weight births between pairs of race/ethnicity groups for the year 2007.

		P-VALUES				
Variable	RACE1	RACE2	Unadjusted	Adjusted for age		
Preterm	White non-Hispanic	Black non-Hispanic	< 0.0005	< 0.0005		
Preterm	White non-Hispanic	AIAN non-Hispanic	< 0.0005	< 0.0005		

<sup>&</sup>lt;sup>1</sup> Cohen, J. 2010. Selected statistical methods for testing for trends and comparing years or demographic groups in other ACE health-based indicators. Memorandum submitted to Dan Axelrad, EPA, 5 November, 2010.

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		P-VALUES				
Variable	RACE1	RACE2	Unadjusted	Adjusted for age		
Preterm	White non-Hispanic	API non-Hispanic	< 0.0005	< 0.0005		
Preterm	White non-Hispanic	Hispanic	< 0.0005	< 0.0005		
Preterm	White non-Hispanic	Unknown ethnicity	< 0.0005	< 0.0005		
Preterm	Black non-Hispanic	AIAN non-Hispanic	< 0.0005	< 0.0005		
Preterm	Black non-Hispanic	API non-Hispanic	< 0.0005	< 0.0005		
Preterm	Black non-Hispanic	Hispanic	< 0.0005	< 0.0005		
Preterm	Black non-Hispanic	Unknown ethnicity	< 0.0005	< 0.0005		
Preterm	AIAN non-Hispanic	API non-Hispanic	< 0.0005	< 0.0005		
Preterm	AIAN non-Hispanic	Hispanic	< 0.0005	< 0.0005		
Preterm	AIAN non-Hispanic	Unknown ethnicity	0.059	0.048		
Preterm	API non-Hispanic	Hispanic	< 0.0005	< 0.0005		
Preterm	API non-Hispanic	Unknown ethnicity	< 0.0005	< 0.0005		
Preterm	Hispanic	Unknown ethnicity	< 0.0005	< 0.0005		
Preterm	Mexican	Puerto Rican	< 0.0005	< 0.0005		
Term low birth weight	White non-Hispanic	Black non-Hispanic	< 0.0005	< 0.0005		
Term low birth weight	White non-Hispanic	AIAN non-Hispanic	0.250	0.746		
Term low birth weight	White non-Hispanic	API non-Hispanic	< 0.0005	< 0.0005		
Term low birth weight	White non-Hispanic	Hispanic	0.001	0.270		
Term low birth weight	White non-Hispanic	Unknown ethnicity	< 0.0005	< 0.0005		
Term low birth weight	Black non-Hispanic	AIAN non-Hispanic	< 0.0005	< 0.0005		
Term low birth weight	Black non-Hispanic	API non-Hispanic	< 0.0005	< 0.0005		
Term low birth weight	Black non-Hispanic	Hispanic	< 0.0005	< 0.0005		
Term low birth weight	Black non-Hispanic	Unknown ethnicity	< 0.0005	< 0.0005		
Term low birth weight	AIAN non-Hispanic	API non-Hispanic	< 0.0005	< 0.0005		
Term low birth weight	AIAN non-Hispanic	Hispanic	0.713	0.955		
Term low birth weight	AIAN non-Hispanic	Unknown ethnicity	< 0.0005	< 0.0005		
Term low birth weight	API non-Hispanic	Hispanic	< 0.0005	< 0.0005		
Term low birth weight	API non-Hispanic	Unknown ethnicity	0.012	0.002		
Term low birth weight	Hispanic	Unknown ethnicity	< 0.0005	< 0.0005		
Term low birth weight	Mexican	Puerto Rican	< 0.0005	< 0.0005		

Table 2. Other statistical significance tests comparing the percentage of preterm and term low birth weight births.

					P-VALUES	
Variable	From	То	Against	Subset	Unadjusted	Adjusted*
Preterm	2007	2007	age		< 0.0005	< 0.0005
Preterm	2007	2007	race		< 0.0005	< 0.0005
Preterm	1993	2007	year		< 0.0005	< 0.0005
Preterm	1993	2007	year	White non-Hispanic	< 0.0005	< 0.0005
Preterm	1993	2007	year	Black non-Hispanic	< 0.0005	< 0.0005
Preterm	1993	2007	year	AIAN non-Hispanic	< 0.0005	< 0.0005
Preterm	1993	2007	year	API non-Hispanic	< 0.0005	< 0.0005
Preterm	1993	2007	year	Hispanic	< 0.0005	< 0.0005
Preterm	1993	2007	year	Unknown ethnicity	< 0.0005	< 0.0005

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	-				P-VALUES	
Variable	From	То	Against	Subset	Unadjusted	Adjusted*
Preterm	1993	2007	year	< 20	< 0.0005	< 0.0005
Preterm	1993	2007	year	20-39	< 0.0005	< 0.0005
Preterm	1993	2007	year	40+	< 0.0005	< 0.0005
Preterm	1993	2000	year	Black non-Hispanic	< 0.0005	< 0.0005
Preterm	2000	2007	year	Black non-Hispanic	< 0.0005	< 0.0005
Preterm	1993	2007	year	Singleton	< 0.0005	< 0.0005
Preterm	1993	2007	year	Multiple	< 0.0005	< 0.0005
Term low birth weight	2007	2007	age		< 0.0005	< 0.0005
Term low birth weight	2007	2007	race		< 0.0005	< 0.0005
Term low birth weight	1993	2007	year		< 0.0005	< 0.0005
Term low birth weight	1993	2007	year	White non-Hispanic	< 0.0005	< 0.0005
Term low birth weight	1993	2007	year	Black non-Hispanic	0.505	0.019
Term low birth weight	1993	2007	year	AIAN non-Hispanic	0.002	0.003
Term low birth weight	1993	2007	year	API non-Hispanic	< 0.0005	< 0.0005
Term low birth weight	1993	2007	year	Hispanic	< 0.0005	< 0.0005
Term low birth weight	1993	2007	year	Unknown ethnicity	0.016	0.028
Term low birth weight	1993	2007	year	< 20	< 0.0005	< 0.0005
Term low birth weight	1993	2007	year	20-39	< 0.0005	< 0.0005
Term low birth weight	1993	2007	year	40+	< 0.0005	< 0.0005
Term low birth weight	1993	2007	year	Singleton	< 0.0005	< 0.0005
Term low birth weight	1993	2007	year	Multiple	< 0.0005	< 0.0005

\*For AGAINST = "age," the p-values are adjusted for race/ethnicity. For AGAINST = "year," the p-values are adjusted for age and race/ethnicity.