

**Accompanying Tables for
Guidance for Preparing Annual Agency Technology Transfer Reports
Under the Technology Transfer Commercialization Act
FY 2014 - Environmental Protection Agency**

Table 1: Invention Disclosures and Patents

		FY 2010	FY 2011	FY 2012	FY 2013	FY 2014
	Invention Disclosures					
1	Number of new inventions disclosed	5	8	18	8	5
	Patents					
2	Number of patent applications filed	3	8	10	7	9
3	Number of patents received	9	12	17	16	5
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Add rows and interpretive notes as needed.						

Table 2: Income Bearing Licenses

		FY 2010	FY 2011	FY 2012	FY 2013	FY 2014
	Income Bearing Licenses					
4	Number of income bearing licenses	37	42	39	36	34
5	Exclusive licenses	7	9	10	10	9
6	Partially exclusive licenses	2	3	4	3	2
7	Non-exclusive licenses	28	30	25	23	23
	Elapsed Amount of Time for Granting Licenses					
8	Average (months)	6	5	24	8	7
9	Minimum (months)	3	3	1	7	3
10	Maximum (months)	12	9	48	8	10
Note: Lines 4 and 6 were updated for FY 2013 to reflect a license that was terminated in the prior FY.						
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Add rows and interpretive notes as needed.						

Table 3: Licensing Income

		FY 2010	FY 2011	FY 2012	FY 2013	FY 2014
Earned Royalty Income*						
11	Earned Royalty Income from top 1% of licenses	\$49,096	\$44,130	\$61,833	\$50,000	\$200,000
12	Earned Royalty Income from top 5% of licenses	\$49,096	\$44,130	\$61,833	\$93,286	\$240,547
13	Earned Royalty Income from top 20% of licenses	\$130,924	\$91,530	\$159,071	\$144,973	\$356,155
Disposition of Earned Royalty Income						
14	Minimum Earned Royalty Income	\$27	\$39	\$18	\$6	\$12
15	Maximum Earned Royalty Income	\$49,096	\$44,130	\$61,833	\$50,000	\$200,000
16	Median Earned Royalty Income	\$3,983	\$2,541	\$3,602	\$3,174	\$2,870
17	Total amount of Earned Royalty Income received	\$196,614	\$135,343	\$201,263	\$192,970	\$438,786
18	Percent of Earned Royalty Income distributed to inventors**	N/A	N/A	N/A	74%	38%
19	Percent of Earned Royalty Income distributed to the agency or laboratory**	N/A	N/A	N/A	26%	62%
20	Licenses Terminated for Cause	0	0	1	3	0
21	Active Licenses With Small Businesses**	N/A	N/A	N/A	18	20
*EPA previously defined ERI as royalties associated with product sales only. Starting with FY 2013 EPA is using the new guidance definition of ERI as any incoming royalty payment.						
**EPA has not kept these data prior to FY 2013.						
Note: Line 20 was updated for FY 2012 because one license was terminated in that FY, which had not previously been reported.						
Note: Data prior to FY 2013 are calculated using the previous ERI definition.						
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Enter "N/R" to report that data is not reported due to its proprietary nature. Add rows and interpretive notes as needed.						

Table 4: CRADAs

		FY 2010	FY 2011	FY 2012	FY 2013	FY 2014
	CRADAs					
22	Number of active CRADAs	67	84	92	112	129
23	Number of newly executed CRADAs	33	26	22	51	35
24	Active CRADAs with small businesses***	N/A	N/A	N/A	24	24
25	Number of small businesses involved in active CRADAs	N/A	N/A	N/A	26	24
	Traditional CRADAs					
26	Active traditional CRADAs	50	54	63	55	52
27	Newly executed traditional CRADAs	16	12	10	8	6
	Non-traditional CRADAs					
28	Active non-traditional CRADAs	17	30	29	57	77
29	Newly executed non-traditional CRADAs	17	14	12	43	29
***This is a new metric starting FY 2013. EPA has no CRADAs under SBIR or STTR. However, we are reporting on US small businesses that were involved in CRADAs.						
Enter "0" to report that there are no incidences of a mechanism that is being used by the agency. Enter "N/A" to report that data is not available at time of report. Add rows and interpretive notes as needed.						

Table 5: Other Performance Measures Deemed Important by the Agency

		FY 2010	FY 2011	FY 2012	FY 2013	FY 2014
	Total Royalty Income (including earned royalty income)****	\$535,614	\$382,843	\$727,277	\$192,970	\$438,786
	Total Invention Licenses (including non-income-bearing licenses)	37	45	42	42	41
	- New, executed in the FY	2	6	2	2	6
	- Non-income bearing licenses	0	3	3	5	7
	- Number of small businesses granted licenses in the FY*****	N/A	N/A	N/A	N/A	3
	Average elapsed amount of time for granting CRADAs (in months)*****	N/A	N/A	N/A	N/A	3
****This includes earned royalty income as previously calculated, plus upfront license fees, and annual minimum payments.						
*****New metric starting in FY 2014.						