

# BenMAP



COMMUNITY EDITION

An Open-Source Platform to Quantify the Health  
Impacts and Economic Value of Stressors

Briefing for Office of Water  
October 30<sup>th</sup>, 2013

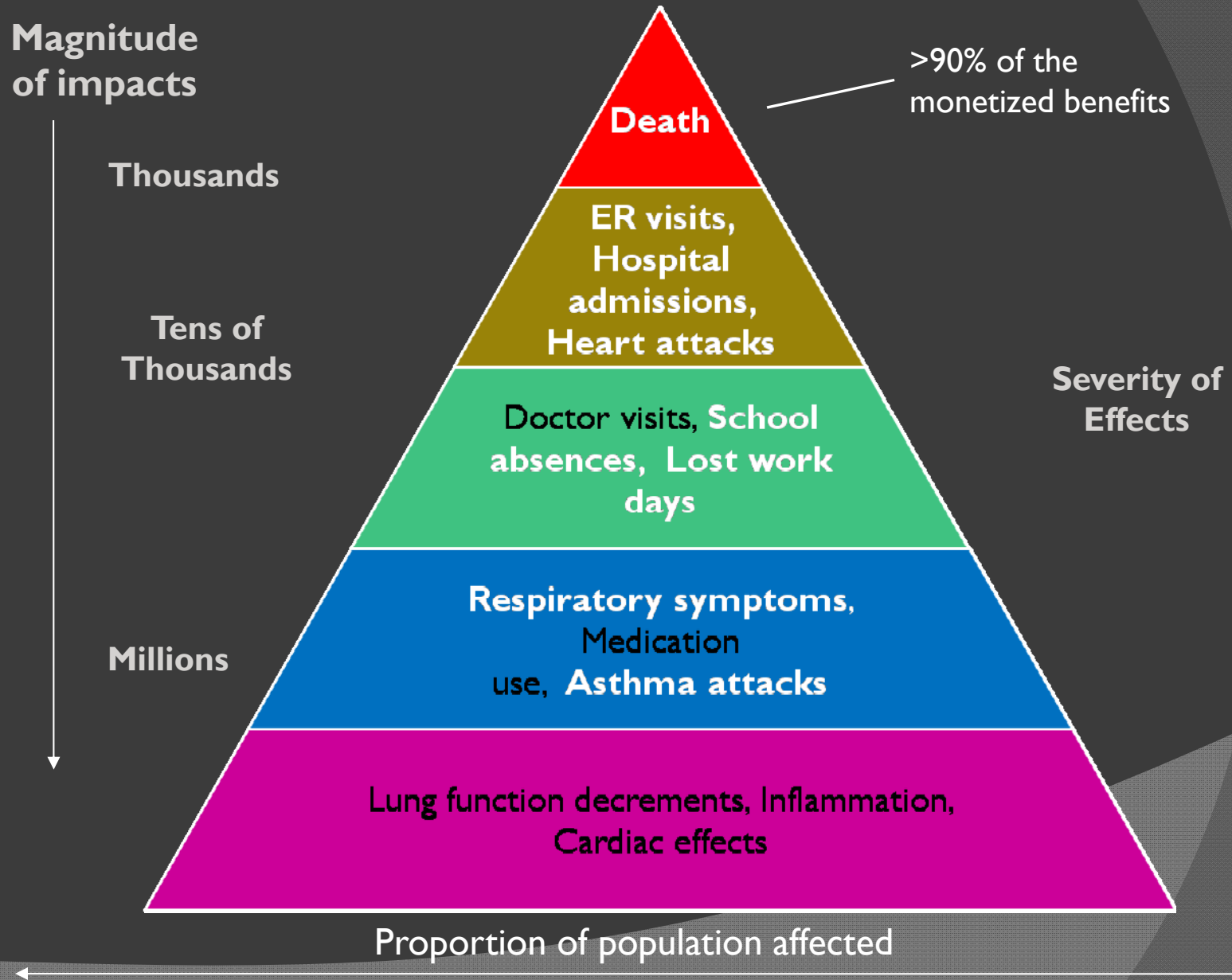
# Overview

- Core concepts of air pollution health impact assessment
- A brief history of the BenMAP program
- Program demonstration
- Next steps

BenMAP-CE Platform

# **Core Concepts of Air Pollution Benefits Analysis**

# A "Pyramid of Effects" from Air Pollution





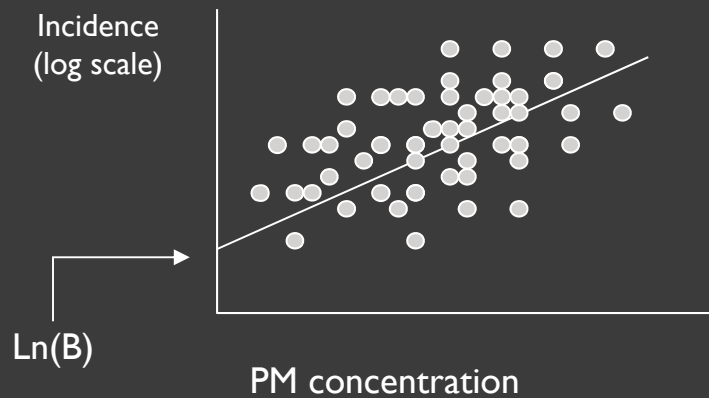
# What Health Endpoints do we Include in Our **Central** Benefits Estimate?

<i>Health Endpoint</i>	<i>PM<sub>2.5</sub></i>	<i>Ozone</i>
Premature mortality*	✓	✓
Nonfatal heart attacks	✓	
Hospital admissions	✓	✓
Asthma ER visits	✓	✓
Acute respiratory symptoms	✓	✓
Asthma attacks	✓	✓
Work loss days	✓	
School absence rates		✓

\*Long term PM<sub>2.5</sub>-related mortality and short-term O<sub>3</sub>-related mortality

# Deriving a Health Impact Function from the Epidemiology Literature

## Epidemiology Study



$$\ln(y) = \ln(B) + \beta(\text{PM})$$

## Health impact function

$$\Delta Y = Y_0 (1 - e^{-\beta \Delta \text{PM}}) * \text{Pop}$$

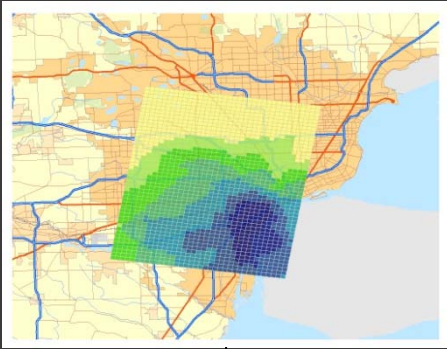
$Y_0$  – Baseline Incidence

$\beta$  – Effect estimate

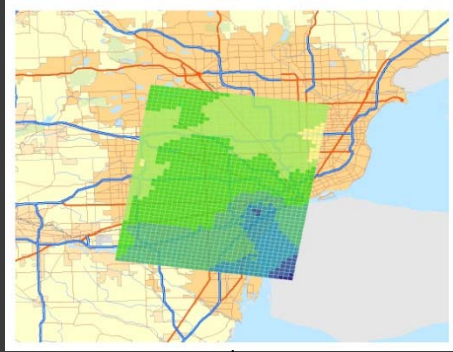
$\Delta \text{PM}$  – Air quality change

$\text{Pop}$  – Exposed population

Baseline Air Quality

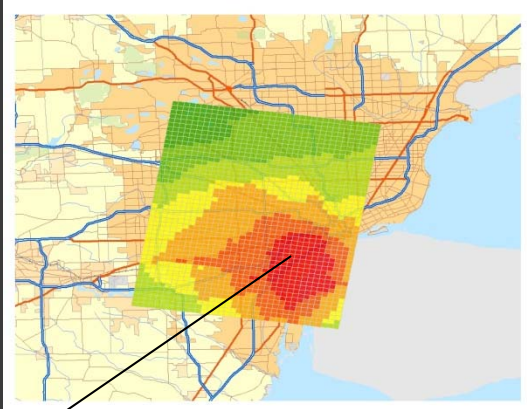


Post-Policy Scenario Air Quality

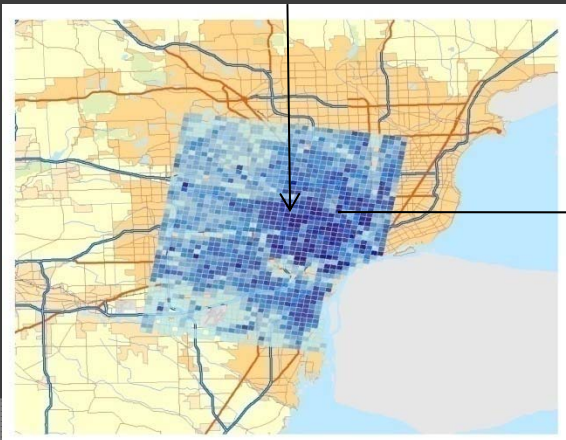


$$\Delta Y = Y_0 (1 - e^{-\beta \Delta PM}) * Pop$$

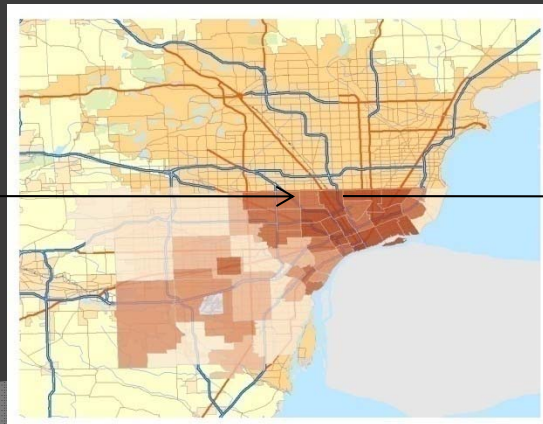
Incremental Air Quality Improvement



PM<sub>2.5</sub> Reduction



Population Ages 18-99



Background Incidence Rate



Effect Estimate

Mortality Reduction

BenMAP-CE Platform

# **Why Redevelop the BenMAP Software?**

# A Brief History of Air Pollution Benefits Software at EPA

1997

## Criteria Air Pollution Modeling System (CAPMS)

Spreadsheet-based  
Error prone  
Generally used contract support to run (~\$150k/rule)

2003

## Environmental Benefits Mapping and Analysis Program (BenMAP) v1 to v4

Generally run by EPA staff  
Proprietary code  
Program first used for non-road diesel RIA  
Wider adoption by states, stakeholders and international users  
Used in >50 RIA's, >25 journal articles and several policy proposals (e.g. climate bills)

2013

## BenMAP-CE beta v0.63

Public release version  
Feature complete  
Beta tested

## BenMAP-CE v1.0

Public release version  
Source code posted  
Addressed 164 unique "bugs" to date

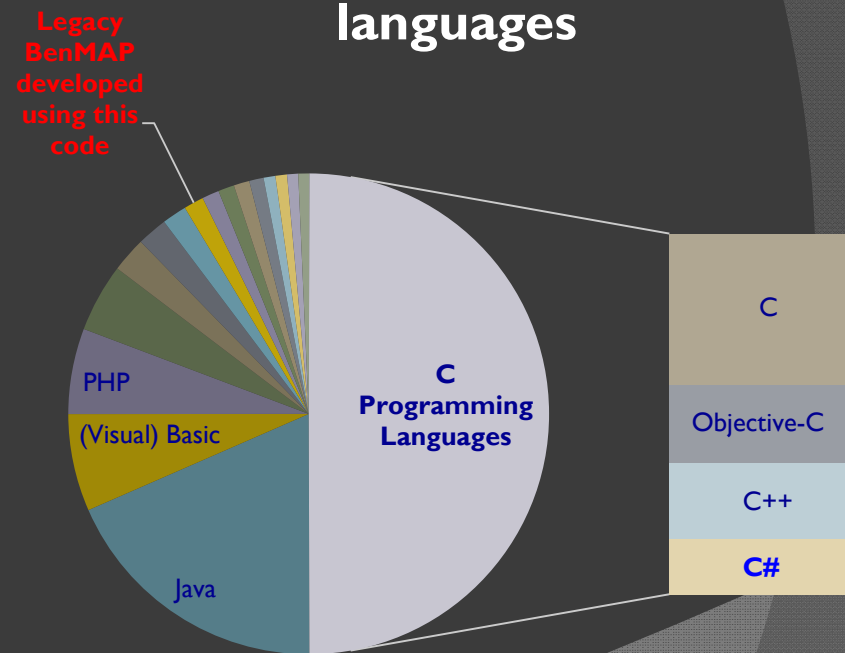
→ BenMAP allowed more work to be performed in-house, saving OAR millions of contract dollars



# Legacy BenMAP Was At the End of Its Useful Life

- ⦿ Needed to address significant weaknesses in Legacy BenMAP
  - Proprietary and obsolete code
  - Contractor owned and managed all revisions to program
  - Computational inefficiencies
- ⦿ Legacy BenMAP continued to be a reliable tool for regulatory analysis

## Popularity of Programming languages

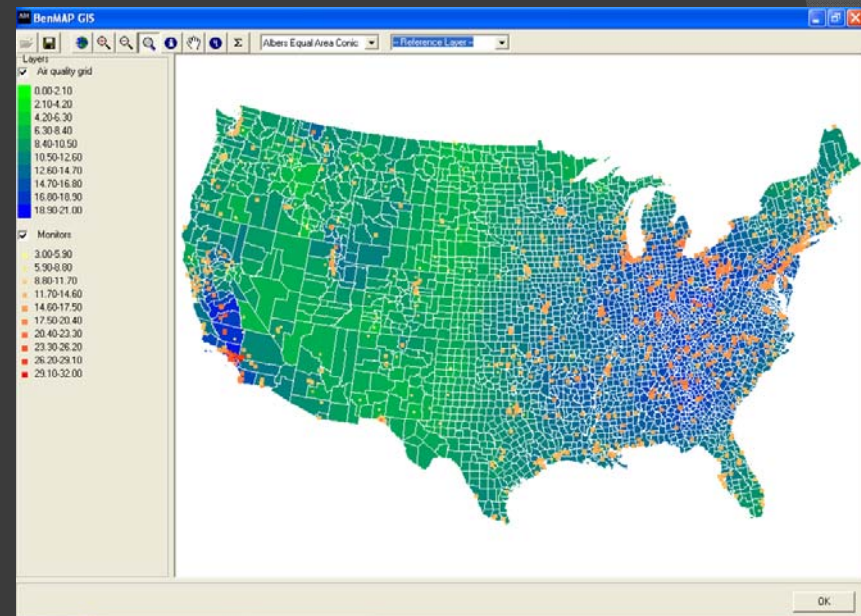


Source: tiobe.com. July 2012 community index.

# We Built on the Core Strengths of Legacy BenMAP

- A credible tool for performing health impact assessments
- Integrated the individual steps of a health benefits assessment in a unified framework
  - Automated the calculations
  - Reduced QA/QC issues
- A GIS and database that worked together
  - Included a large volume of health impact functions, population data, baseline health data and monitor data
  - Users could add their own data

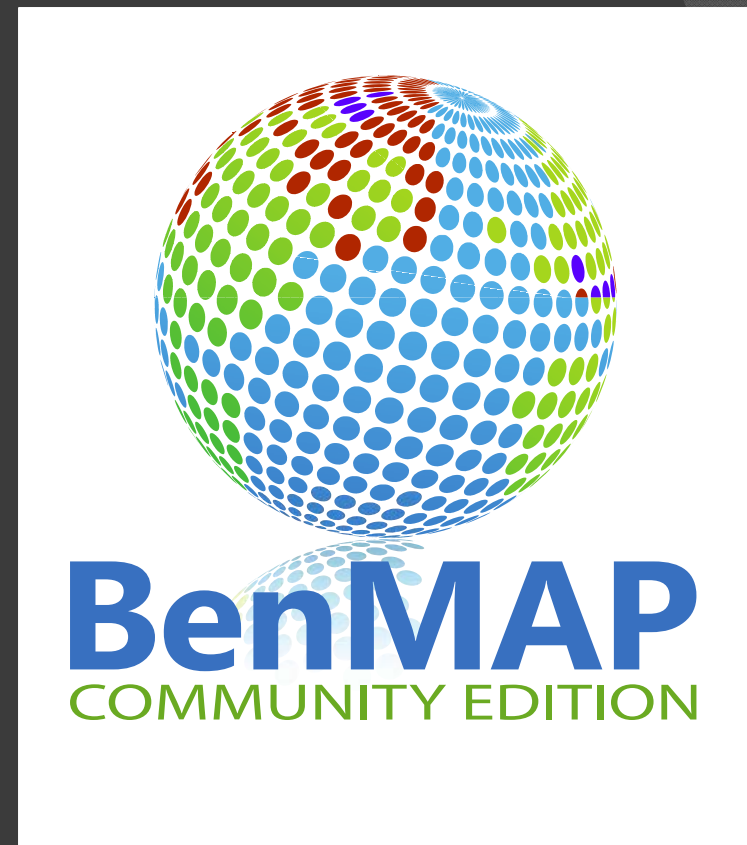
Legacy BenMAP Geographic Information System





# Creating the New BenMAP— Community Edition

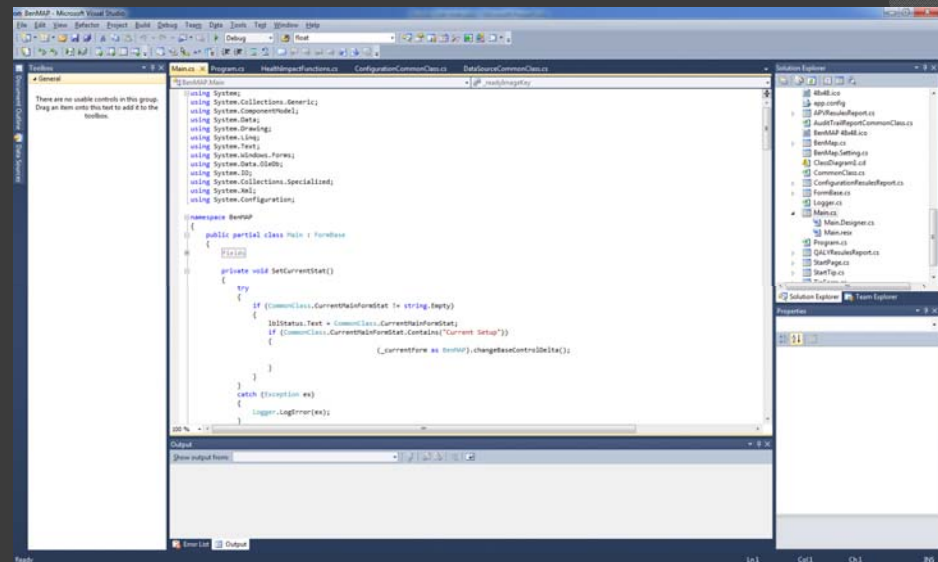
- ⦿ Project goals:
  - Build an entirely new program using a modern and broadly-used language
  - Make the program easier to use
  - Calculate benefits in less time
- ⦿ The new BenMAP should:
  - accommodate—and not inhibit—methodological improvements
  - be sustainable through periods of fiscal austerity
- ⦿ **Open source** software integral to achieving goals



# What is “Open Source” Software?

- Open source software
  - Provides a free\* license to the software code
  - Allows the code to be distributed freely to others
- Examples of open source software:
  - CMAQ model
  - Android operating system
  - Linux operating system
  - Firefox browser

*BenMAP-CE source code*



```
using System;
using System.Collections.Generic;
using System.ComponentModel;
using System.Data;
using System.Drawing;
using System.Linq;
using System.Windows.Forms;
using System.Xml.Linq;
using System.Collections.Specialized;
using System.Net;
using System.Configuration;

namespace BenMAP
{
    public partial class Main : FormBase
    {
        private void SetCurrentStat()
        {
            try
            {
                if (CommonClass.CurrentStatFormat != string.Empty)
                {
                    InStatus.Text = CommonClass.CurrentStatFormat;
                    if (CommonClass.CurrentStatFormat.Contains("Current Stat"))
                    {
                        (_currentForm as BenMAP).changeStatControlData();
                    }
                }
            }
            catch (Exception ex)
            {
                Logger.LogError(ex);
            }
        }
    }
}
```

\*Terms vary by open source license

# What are the Trade-Offs Associated with Going Open Source?

## Attribute



### Transparency

- Only the contractor can see the code
- Code freely available to the public

### Reproducibility

- Challenging due to lack of code
- All algorithms available

### Credibility

- Earned over time
- Readily open to scrutiny

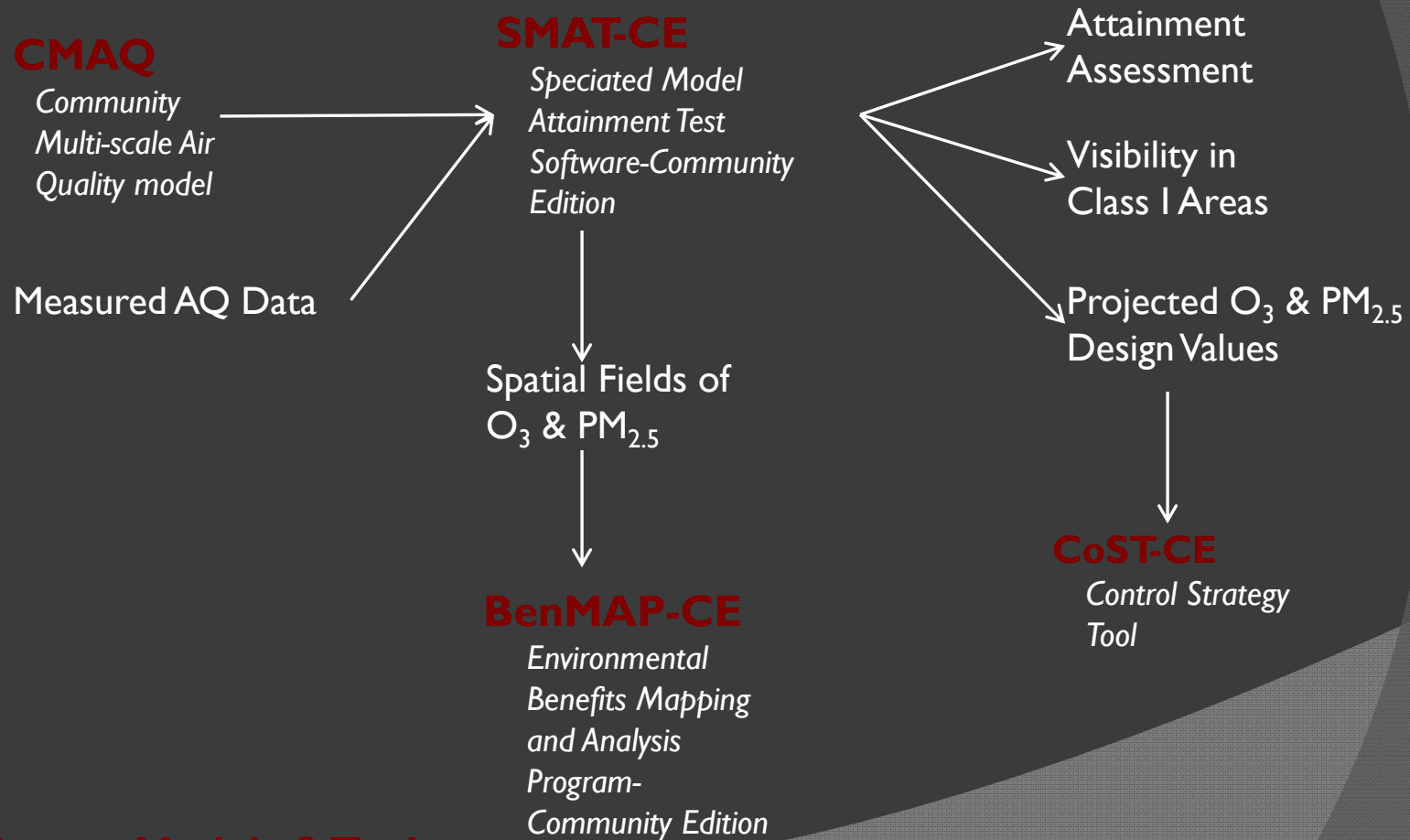
### Community

- Network of users
- Network of users and developers

### Efficiency

- One developer
- Contractor managed code
- Potentially, many developers
- **But, someone must manage the code**

# BenMAP-CE is One Component of an Integrated Suite of Open Source Tools



**Open Source Models & Tools**

BenMAP-CE Platform

# Program Demonstration

# BenMAP v4

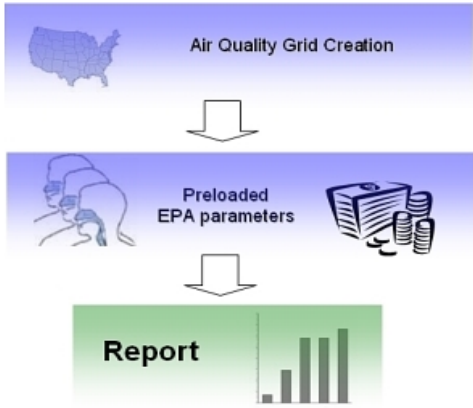
BenMAP 4.0.66

Tools Help

[Two Ways to Use BenMAP: Which Analysis Meets your Needs?](#)

### One-Step Analysis


After you import the air quality data for your area, use this tool to apply default settings and create a report.




```
graph TD; A[Air Quality Grid Creation] --> B[Preloaded EPA parameters]; B --> C[Report];
```

### Custom Analysis


**Step 1 – Import air quality data**




**Step 2 – Set custom parameters**



**Step 3 – Use results from Step 2 to set custom parameters**



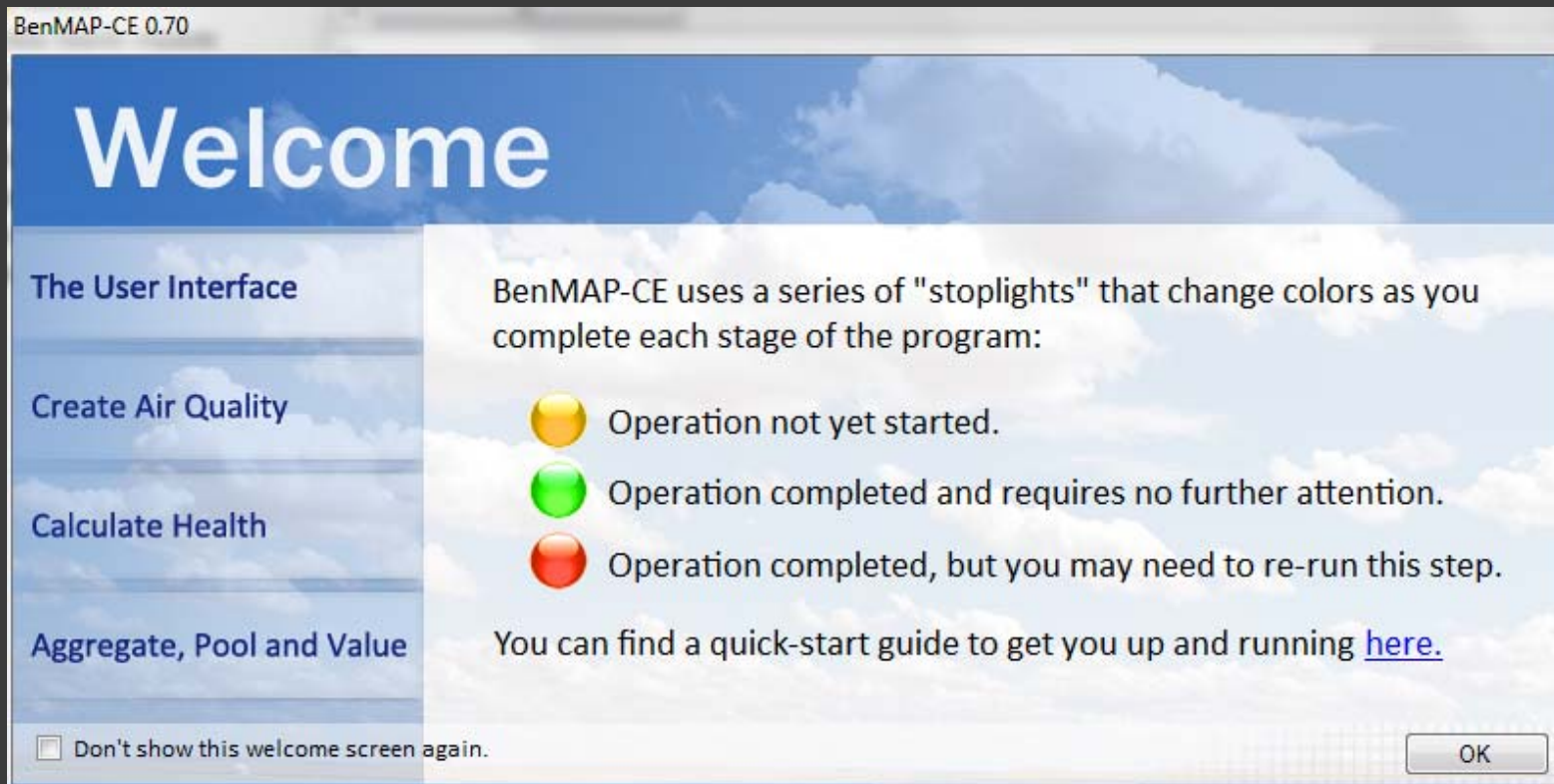
**Step 4 – Run report**



Active Setup: United States

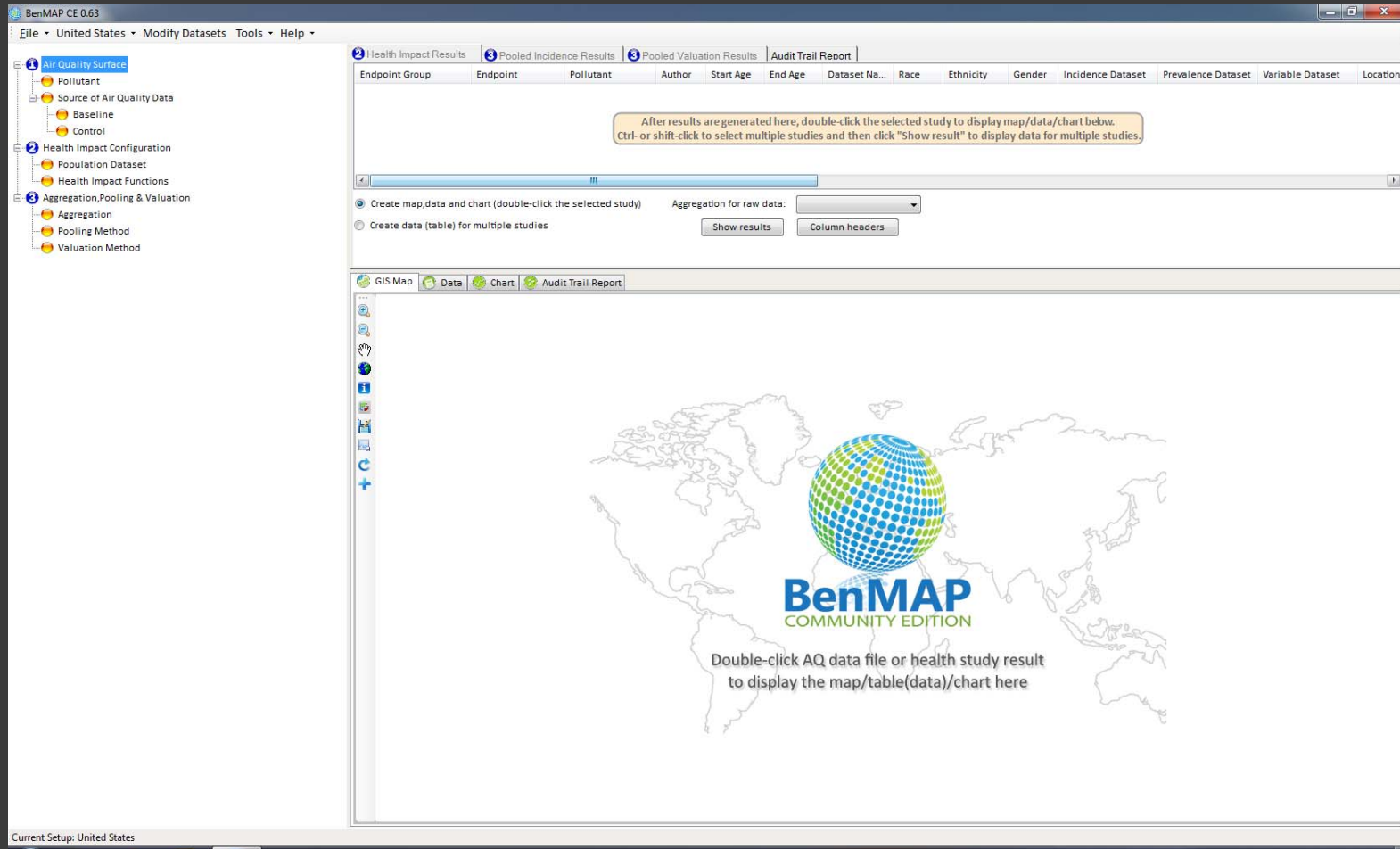


# Making the Program More Accessible to New Users

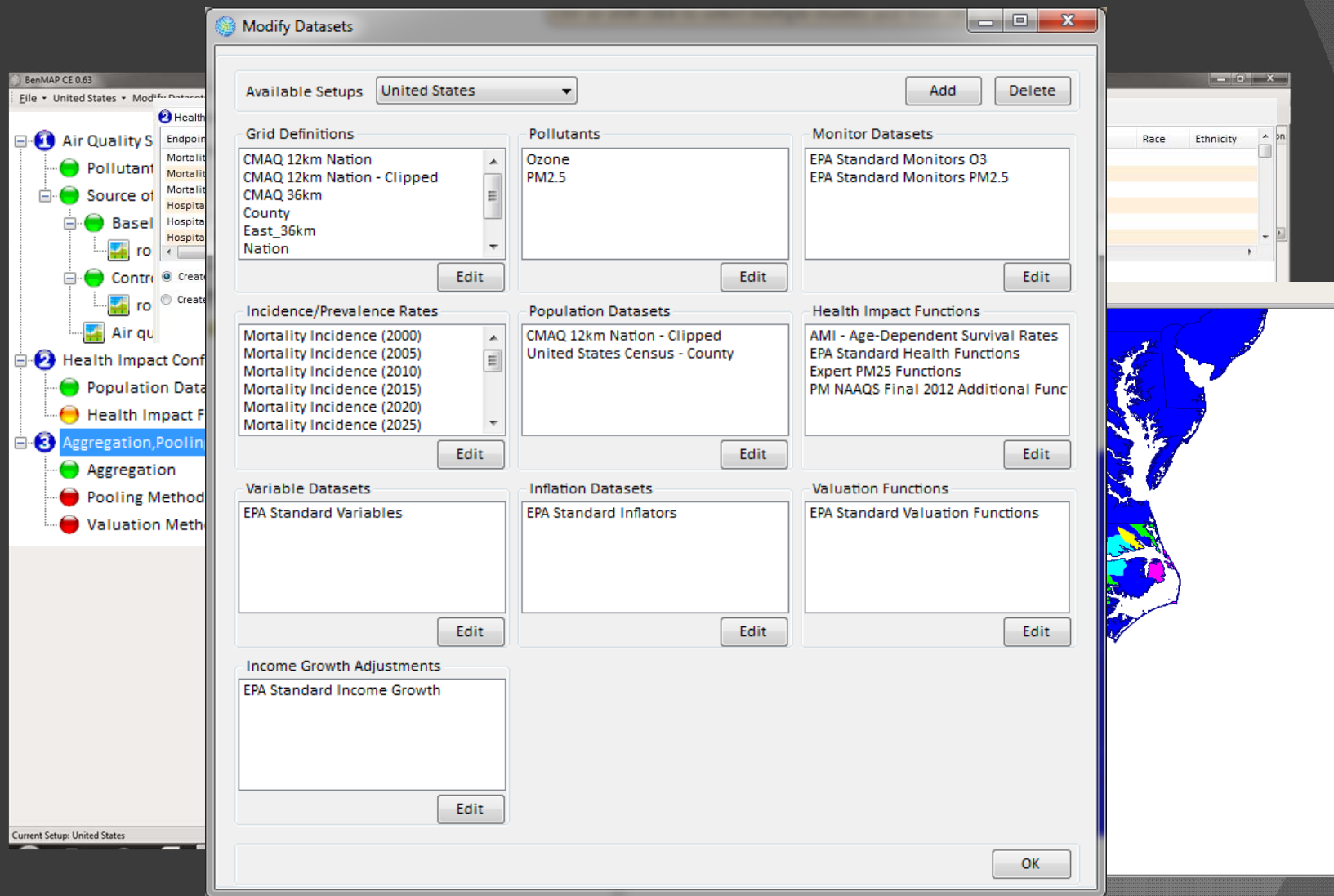




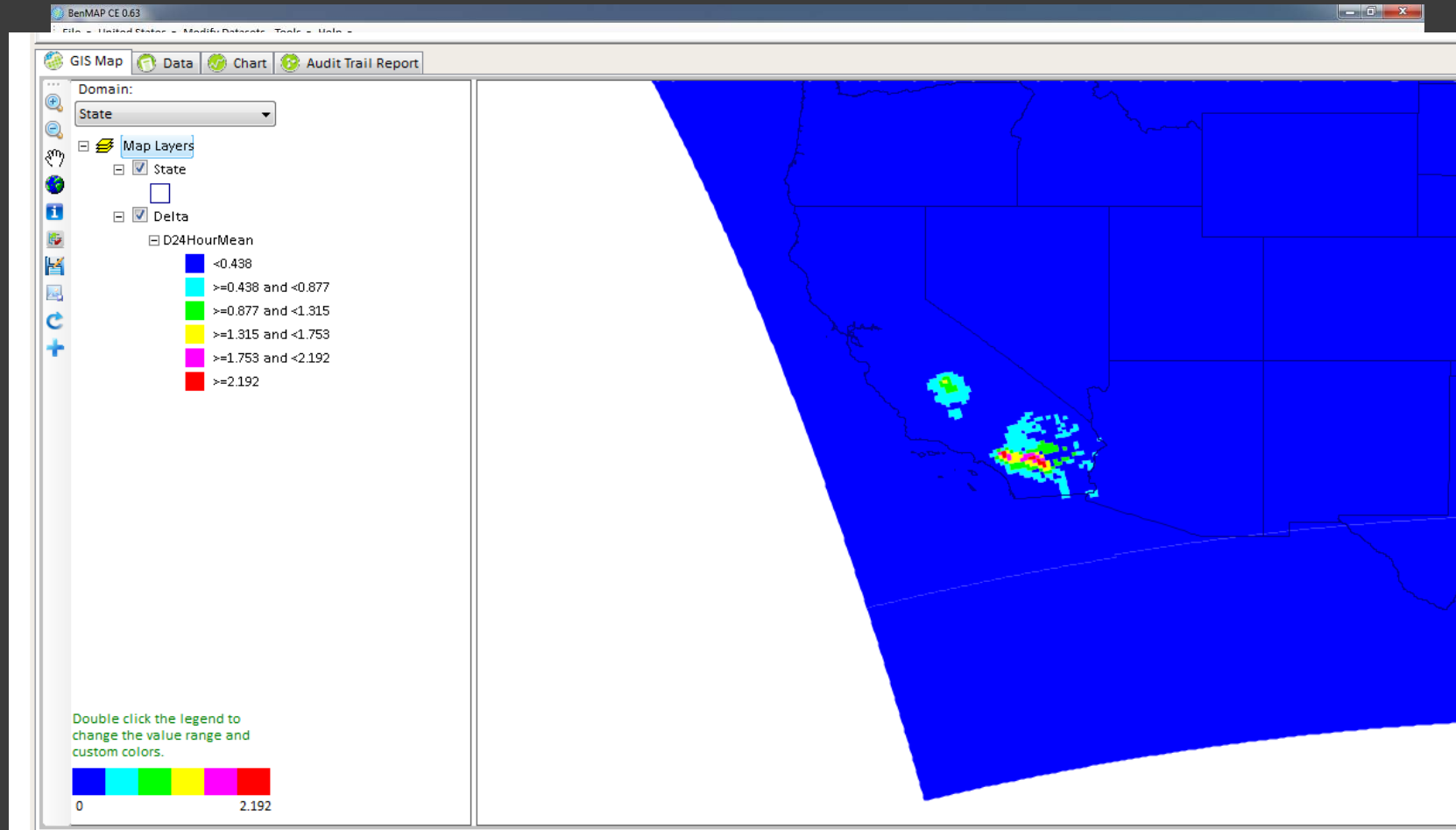
# The Main Window



# Key Features of the Main Window



# Step One: Specifying Air Quality Data



# Step Two: Selecting Health Impact Functions

BenMAP CE 0.63

File • United States • Modify Datasets Tools • Help •

Health Impact Results
  Pooled Incidence Results
  Pooled Valuation Results
  Audit Trail Report

Endpoint Group	Endpoint	Pollutant	Author	Start Age	End Age	Dataset Name	Incidence Dataset	Race	Ethnicity	G
Acute Myocardial Infar...	Acute Myocardial Infarcti...	PM2.5	Peters et al.	18	99	EPA Standard Health Functions	Other Incidence (2007)			
Acute Myocardial Infar...	Acute Myocardial Infarcti...	PM2.5	Sullivan et al.	0	99	EPA Standard Health Functions	Other Incidence (2007)			
Acute Myocardial Infar...	Acute Myocardial Infarcti...	PM2.5	Zanobetti et al.	0	99	EPA Standard Health Functions	Other Incidence (2007)			
Acute Myocardial Infar...	Acute Myocardial Infarcti...	PM2.5	Pope et al.	0	99	EPA Standard Health Functions	Other Incidence (2007)			
Acute Myocardial Infar...	Acute Myocardial Infarcti...	PM2.5	Zanobetti and S...	0	99	EPA Standard Health Functions	Other Incidence (2007)			

Create map,data and chart (double-click the selected study)
  Create data (table) for multiple studies

Aggregation for raw data:

Show results Column headers

GIS Map
  Data
  Chart
  Audit Trail Report

Domain: State

Map Layers

- State
- Peters
- Incidence
  - <37.458
  - >=37.458 and <74.916
  - >=74.916 and <112.375
  - >=112.375 and <149.833
  - >=149.833 and <187.291
  - >=187.291

Double click the legend to change the value range and custom colors.

0 187.291

Current Setup:United States

# Step Three: Aggregate, Pool and Value

Incidence Pooling and Aggregation

Available Incidence Results

Filter Dataset: [Dropdown] Filter Endpoint Group: [Dropdown] Filter: [Text Box]  Groups View: [Dropdown: Tile] Select study fields [Add Selected] Check HIF Changes

Endpoint	Endpoint Group	Start Age	End Age	Author
18-99 Acute Myocard... Acute Myocard... Peters et al.	0-99 Acute Myocard... Acute Myocard... Sullivan et al.	0-99 Acute Myocard... Acute Myocard... Zanobetti et al.	0-99 Acute Myocard... Acute Myocard... Pope et al.	0-99 Acute Myocard... Acute Myocard... Zanobetti and...

Select Pooling Methods

Pooling Window Name: Myocardial Infarctions [Add] [Delete] [Show Tile] Pooling Window Number: 1

Select Pooling | **Acute Myocardial Infarctions**

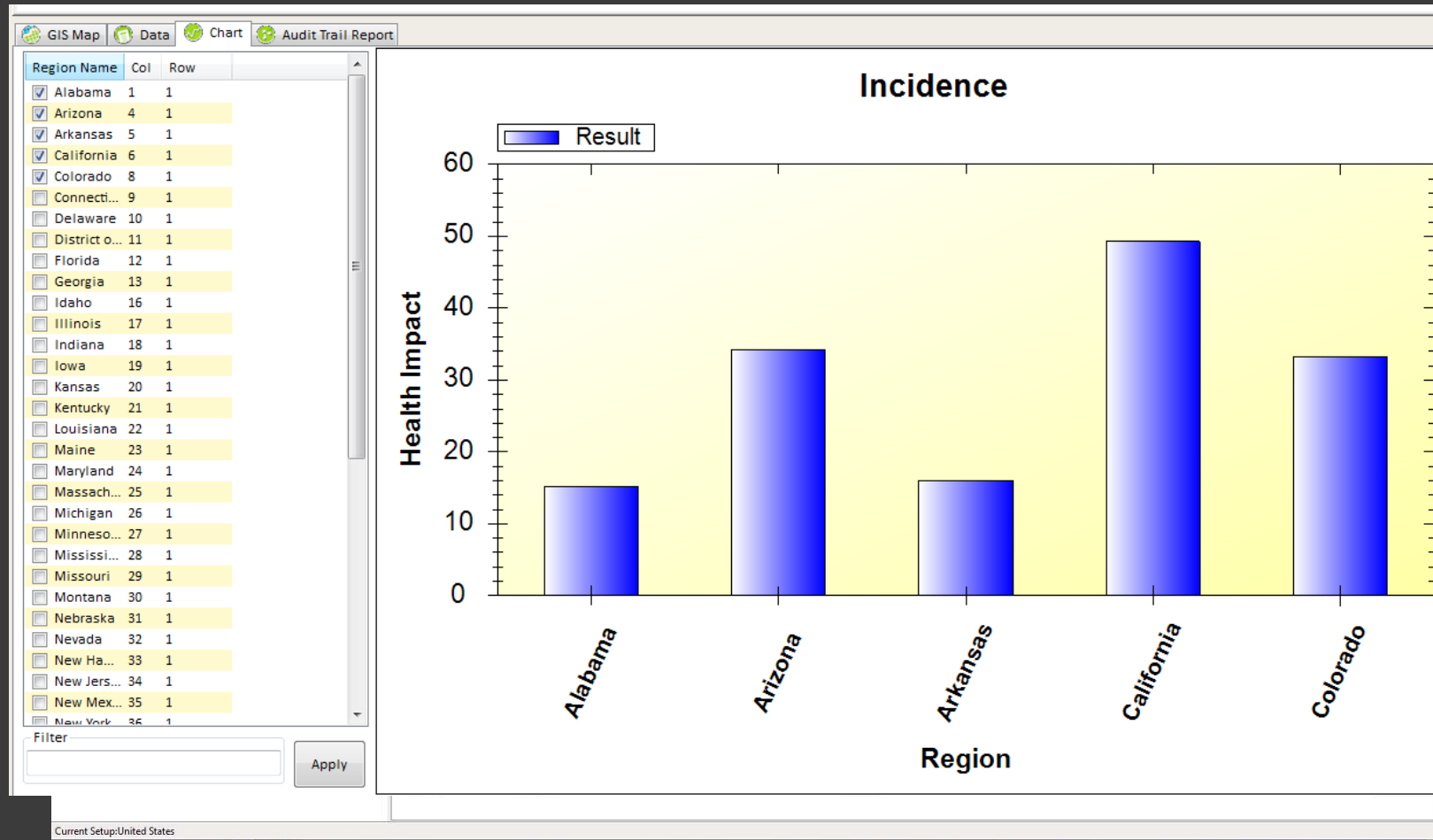
Pooling Window	Tree Nodes	Pooling Method	Endpoi...	Author	Qualifier	Location	Start Age	End Age	Year	Other	rid Type: CMAQ 12km Nation
Acute Myocardial	Acute Myocardial Infarction	Random Or Fixed Effects	Acute M...	Peters e...			0	99	0		
	Acute Myocardial Infarction		Acute M...	Peters et...			0	99	0	on	
	Zanobetti		Acute M...	Zanobe...	Age ran...	Greater ...	0	99	2006	EPA Sta... 1 EPA Sta... 1	

Tree Nodes

- Acute Myc
  - Peters
  - Sullive
  - Zanob
  - Pope
  - Zanobetti

Delete Selected [Condensed View] [Advanced] [Cancel] [Next]

# Step Four: Report Results





BenMAP-CE Platform

# Developing Modules



# Global Benefits Assessments

## Features

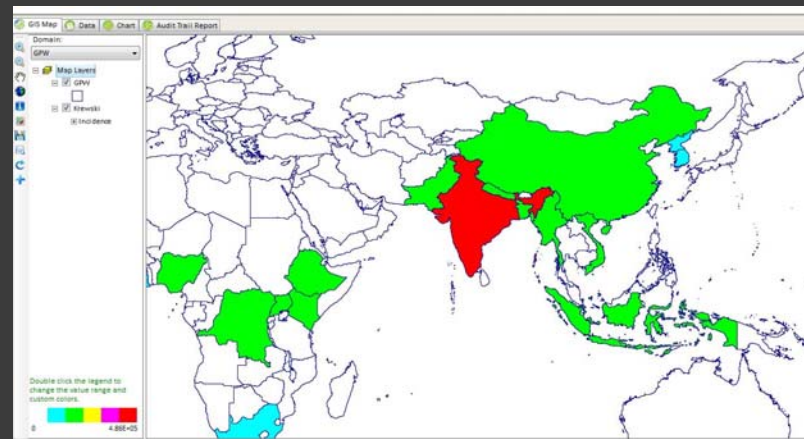
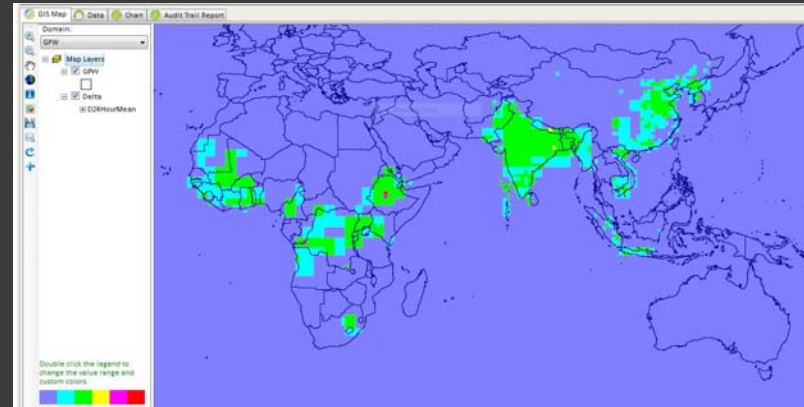
- Ability to perform benefits analyses globally
- Incorporates data from Global Burden of Disease project
- Perform hypothetical “what-if” benefits assessments in various countries

## Status

- Developing Global Burden of Disease data

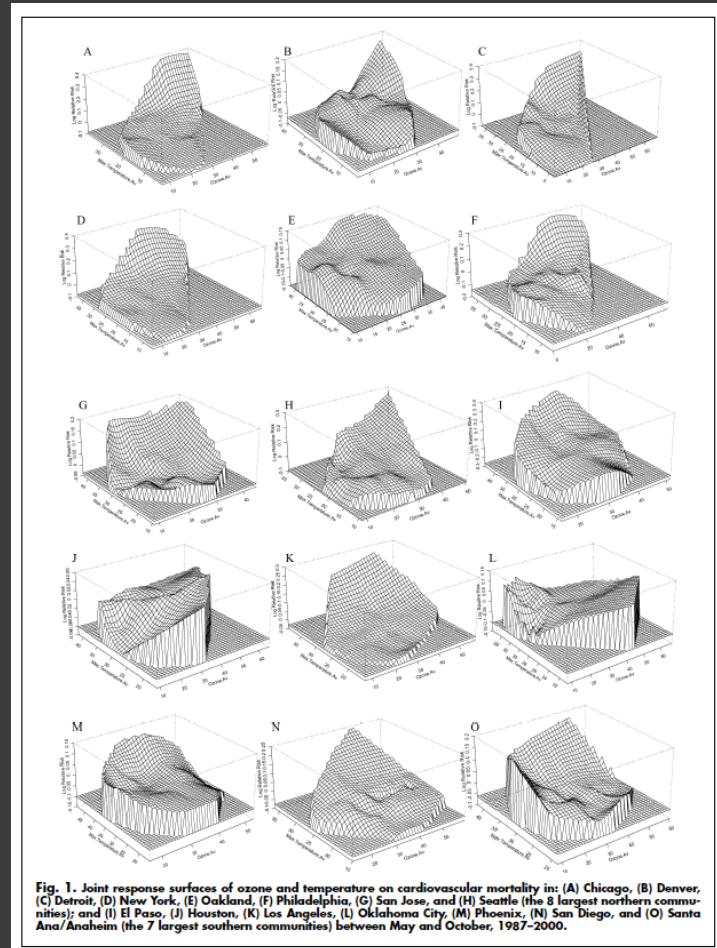
## Partners

- U.S. State Department
- Climate and Clean Air Coalition



# Climate Assessments

- ⊙ Features
  - Temperature-mortality/morbidity functions
  - Ability to estimate temperature-air pollution impacts
  - Population projections accounting for climate change
- ⊙ Status
  - Developed proof of concept (BenMAP Legacy v4.1)
- ⊙ Partners
  - U.S. EPA Office of Research and Development



From: Ren et al. 2009

# Life Table Assessment

## Features

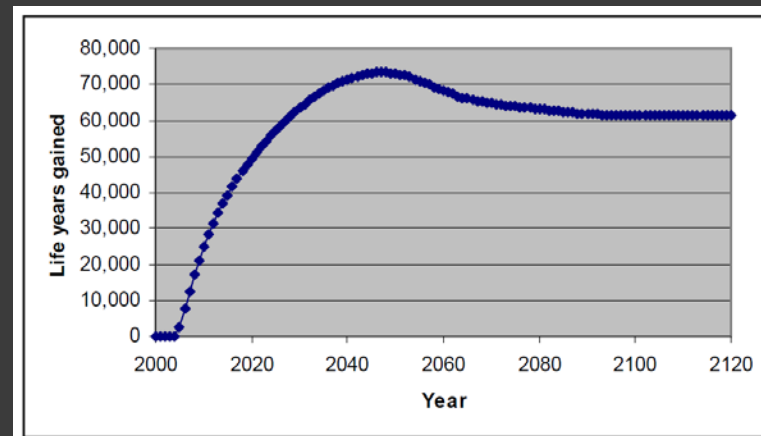
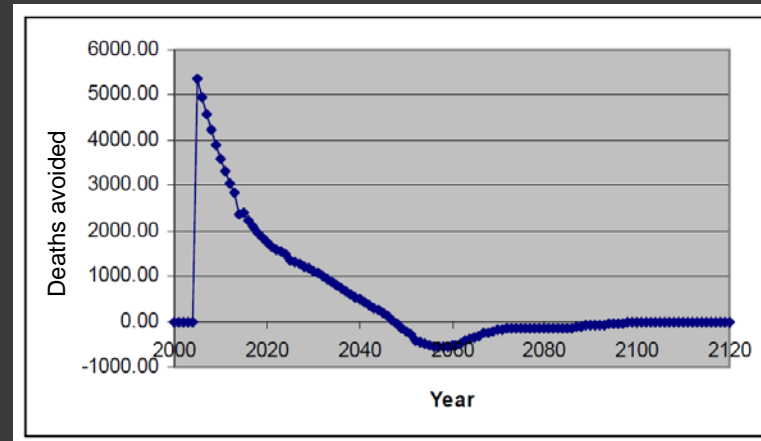
- Estimates risk over a multi-year period
- Provides a more accurate estimate of air pollution mortality risk over long time periods

## Status

- Exploring feasibility of incorporating the “PopSim” tool

## Partners

- Office of Policy Analysis and Review



Source: Miller & Hurley, 2006

# Distributional Assessments

## Features

- Identify and map populations by attribute: baseline health status, race, ethnicity, socio-economic status
- Estimate the change in the distribution of risk among these populations

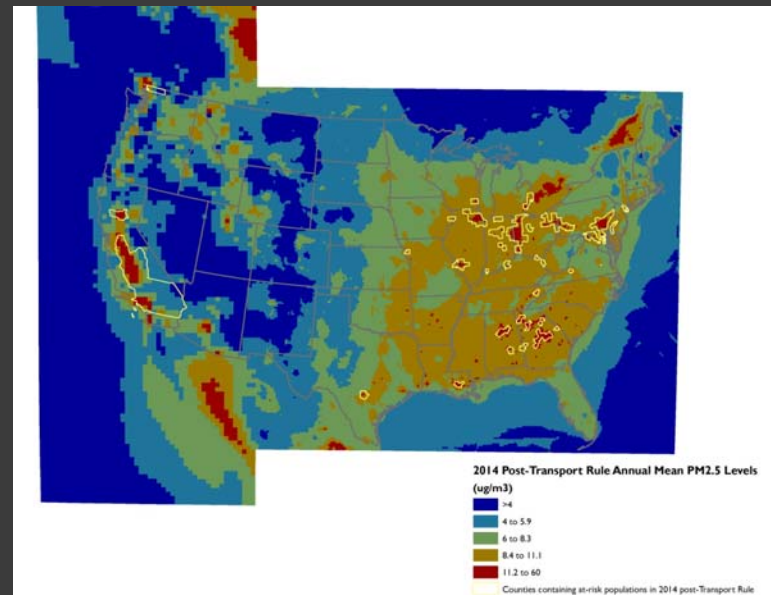
## Status and schedule

- Procuring more spatially resolved health and socioeconomic data

## Partners

- Office of Environmental Justice?

## *Distributional Assessment*





# Usability Improvements

## Features

- Data import wizard
- Additional mapping features
- User manual
- Online and instructor-led training

## Status

- Beginning Fall 2013


## Partners

- Climate and Clean Air Coalition
- Student intern support

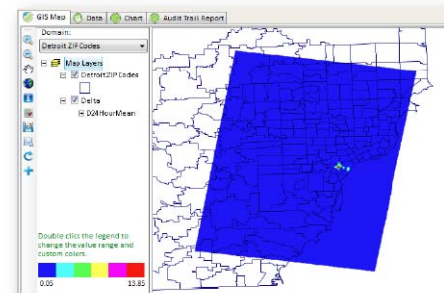
### Step 3: Create Air Quality Grids

BenMAP-CE estimates health impacts with user-supplied air quality data; the program is not an air quality model. BenMAP-CE provides three options for creating air quality grids: Model Direct, Monitor Direct, and Monitor Rollback. Here you will be inputting Detroit PM<sub>2.5</sub> air quality modeling data and using the Model Direct method to generate a baseline air quality grid and a control-scenario air quality grid.

Open *Detroit Baseline.csv* to view the format of modeling air quality data. Now close *Detroit Baseline.csv* and begin using it to create an air quality grid.

- Start BenMAP-CE. Click the drop-down menu next to the *File* menu (the default value is *United States*) and select *Detroit*. Verify that the *Current Setup* listed in the status bar at the bottom of the window now says *Detroit*.
- Double-click *Pollutant* to open the selection window. Click, hold and drag the PM<sub>2.5</sub> pollutant from the left-hand window to the right-hand window. Click *OK*.
- Next, Double-click *Baseline*. Note that the *Grid Type* is set to *Detroit 1km* and that *Model Data* is selected. Click *Next*.
- Click the open folder icon  and select the *Detroit Baseline.csv* file in your Quick Start Data Files. Click *OK*.
- In the *Save As* window give the baseline air quality grid a name: *Detroit Baseline*.
- Repeat the steps above, this time creating a control air quality grid using the *Detroit Control.csv* file and saving the new air quality grid with the name *Detroit Control*.
- Double-click *Air quality data (baseline-control)* and verify that the check box next to *Delta* is selected to view the difference between the baseline and control PM<sub>2.5</sub> levels in each grid cell in the BenMAP-CE GIS window.

Your screen should look something like this:



18

BenMAP-CE Demonstration

# Appendix

BenMAP-CE Status Demonstration

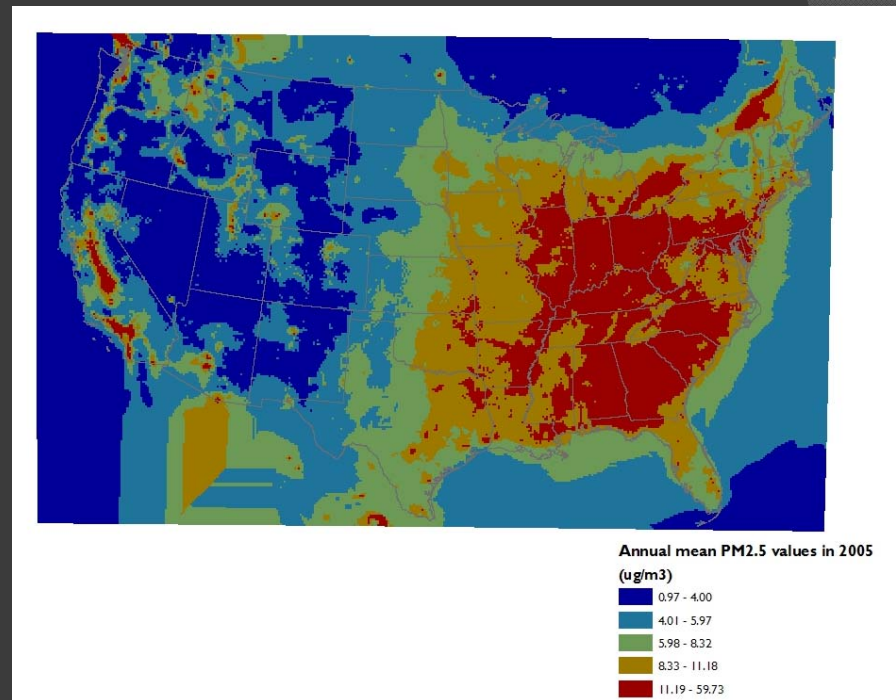
# Specifying the Air Quality Data



# Identifying Appropriate Air Quality Inputs

- The “right” air quality data depends on the policy question
  - Prospective analysis?
  - Retrospective analysis?
  - Local? Regional?
- BenMAP will accept:
  - Photochemical grid model data
  - User-provided or built-in monitoring data, which it interpolates to create a surface
  - “Rolled-back” monitoring data that simulates a concentration change.
- Air quality data must be at same time step as the epidemiological data (e.g. annual mean, 8hr max, etc.)
- In the future, “SMAT-CE” will manage all air quality data

$$\Delta Y = Y_0 (1 - e^{-\beta \Delta PM}) * Pop$$



2005 CMAQ-modeled PM<sub>2.5</sub> Levels

BenMAP-CE Status Demonstration

**Selecting Health Endpoints,  
Impact Functions,  
Population and Incidence  
Rates**

# PM<sub>2.5</sub> Causal Determinations in the Integrated Science Assessment

*Long term exposures*

Cancer, Mutagenicity,  
and Genotoxicity

Cardiovascular effects

Reproductive and  
developmental

Respiratory effects

Mortality



Central Nervous  
System

Respiratory effects

Cardiovascular effects

Mortality

*Short term exposures*

# What Health Endpoints do we Include in Our **Central** Benefits Estimate?

<i>Health Endpoint</i>	<i>PM<sub>2.5</sub></i>	<i>Ozone</i>
Premature mortality*	✓	✓
Nonfatal heart attacks	✓	
Hospital admissions	✓	✓
Asthma ER visits	✓	✓
Acute respiratory symptoms	✓	✓
Asthma attacks	✓	✓
Work loss days	✓	
School absence rates		✓

\*Long term PM<sub>2.5</sub>-related mortality and short-term O<sub>3</sub>-related mortality

# What Health Endpoints do we Include in Our Sensitivity Analyses?

<i>Health Endpoint</i>	<i>PM<sub>2.5</sub></i>	<i>Ozone</i>
Long- Term Premature mortality*		✓
Education-modified premature mortality	✓	
Ischemic and hemorrhagic stroke	✓	
Cardiovascular emergency department visits	✓	
Worker productivity		✓
Chronic bronchitis	✓	

\*Long term O<sub>3</sub>-related mortality



# Criteria for Selecting the Studies We Use to Quantify Air Pollution Risks

- ⊙ Minimum requirements:

- North American populations
- Non-overlapping endpoints/ICD codes
- Time-series, case-cross-over or cohort studies.

$$\Delta Y = Y_0 (1 - e^{-\beta \Delta PM}) * Pop$$

- ⊙ Prefer:

- US populations
- Population attributes similar to those affected by air pollution
- Sufficient study population size
- Multi-city studies
- Multi-pollutant models

- ⊙ Generally apply the best array of studies available

- Frequently “pool” across studies to generate a best estimate for each endpoint

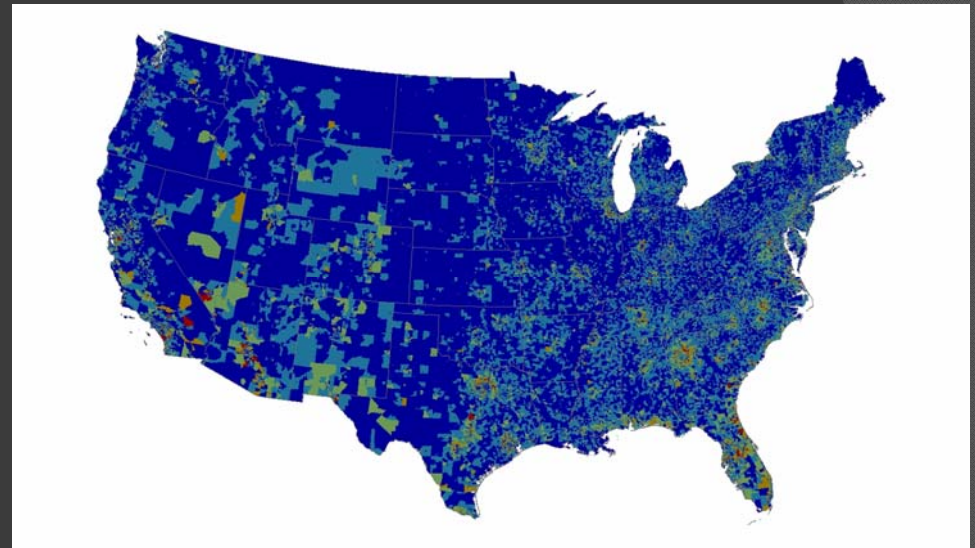
- ⊙ BenMAP CE contains over a hundred health impact functions\*

\*199 as of November 2012

# Selecting Population Data

$$\Delta Y = Y_0 (1 - e^{-\beta \Delta PM}) * \text{Pop}$$

- BenMAP population data stratified by 304 age/race/sex/ethnicity groups
  - Uses 2010 census data as baseline
  - Population aggregated up from the census block to resolution of the air quality modeling grid (e.g. 12km)
- Users can select population data projections to 2040
  - Woods & Poole economic forecasting model accounts for future changes in the size and distribution of the population
  - ORD “gravity model” predicts population growth in response to climate change scenarios
- Goal is to match the population characteristics of the epidemiological studies

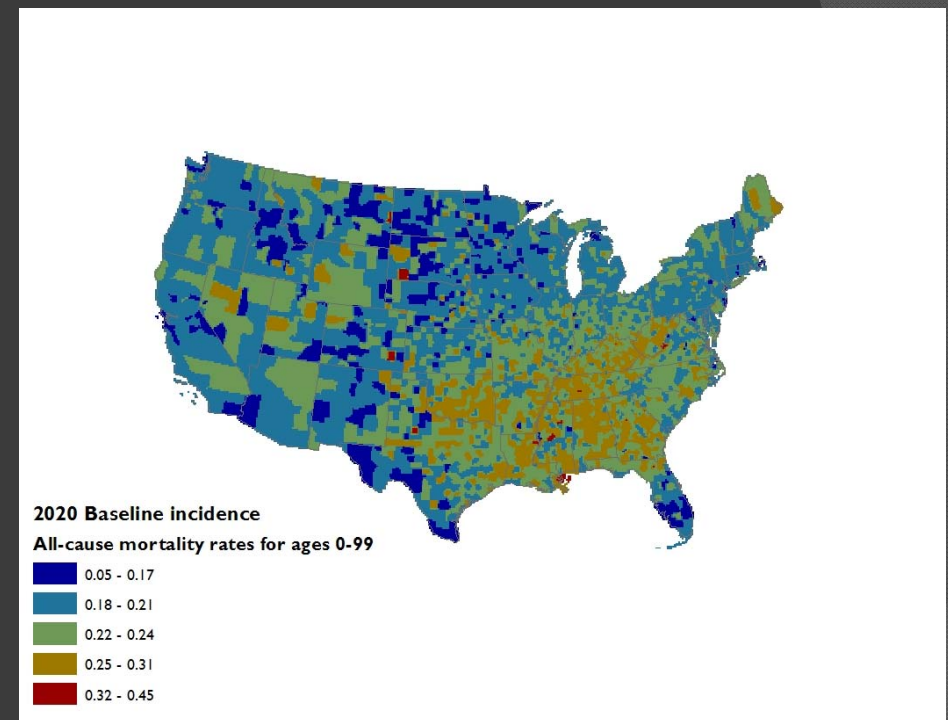


Total U.S. Population at Census Block Groups

# Selecting Incidence Rates

$$\Delta Y = Y_0 (1 - e^{-\beta \Delta PM}) * Pop$$

- BenMAP contains baseline incidence rates matched to each health impact function
- Mortality rates
  - Recent-year CDC-WONDER county-level cause specific rates by age
  - Projected through 2050 in 5-year increments using census forecast of national mortality rates
- Morbidity rates
  - Hospital and ED visits a mix of county, state and national level data
  - Other morbidity impacts (e.g. acute respiratory symptoms) are national-level
- National asthma prevalence provided by ALA



All-cause mortality rates for all ages projected to 2020

BenMAP-CE Status Demonstration

# **Pooling, Aggregating and Valuing the Results**

# What is Pooling?

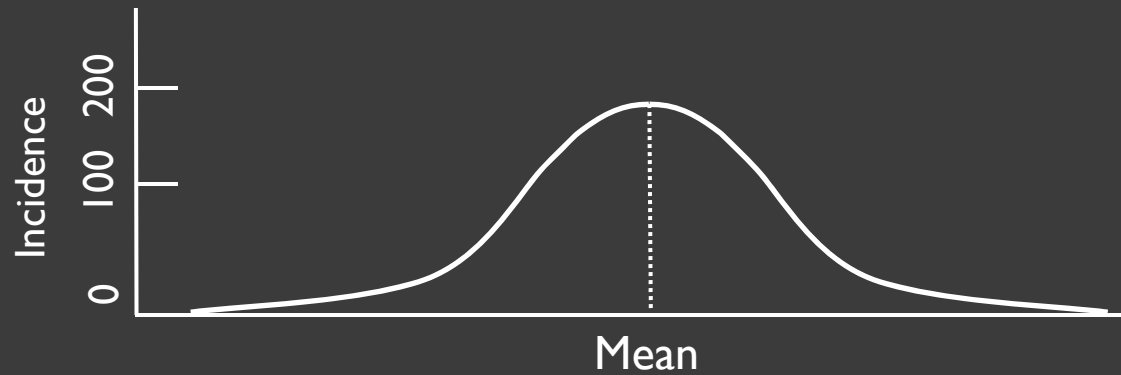
- ⦿ Pooling is a type of meta-analysis that:
  - Allows users to combine or aggregate study estimates
  - Can account for heterogeneity across studies
- ⦿ BenMAP offers several alternate options:
  - Addition
  - Subtraction\*
  - User-assigned weights
  - Random-Effects Model
  - Fixed-Effect Model\*

\*Rarely used approaches

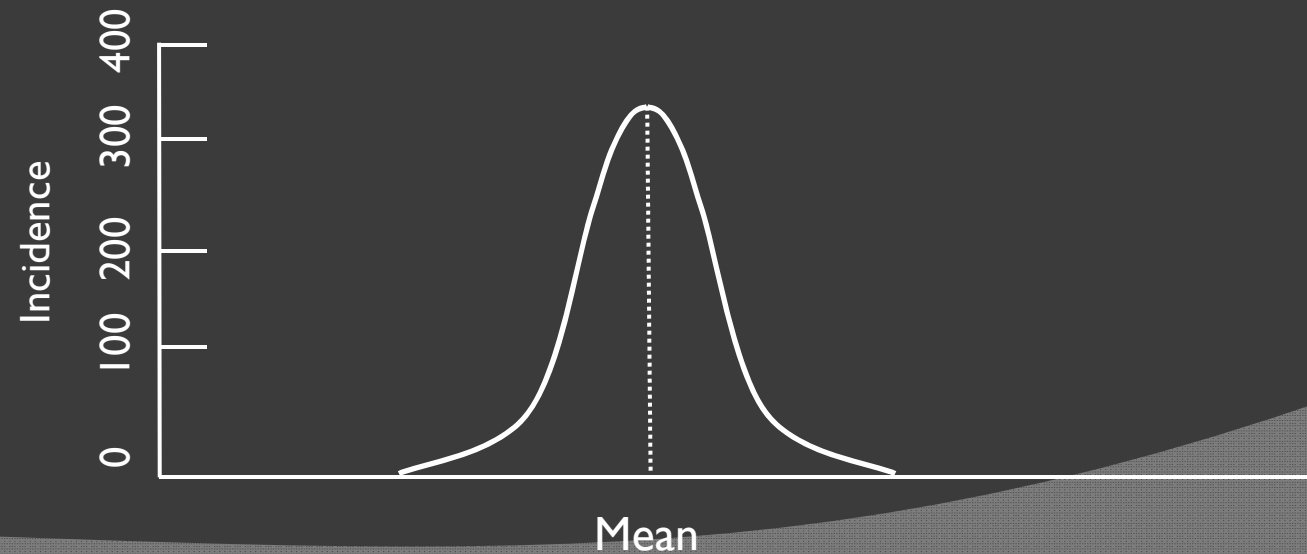


# Pooling: Addition

*Hospital visits for ischemic heart disease (ICD-9: 410-414)*



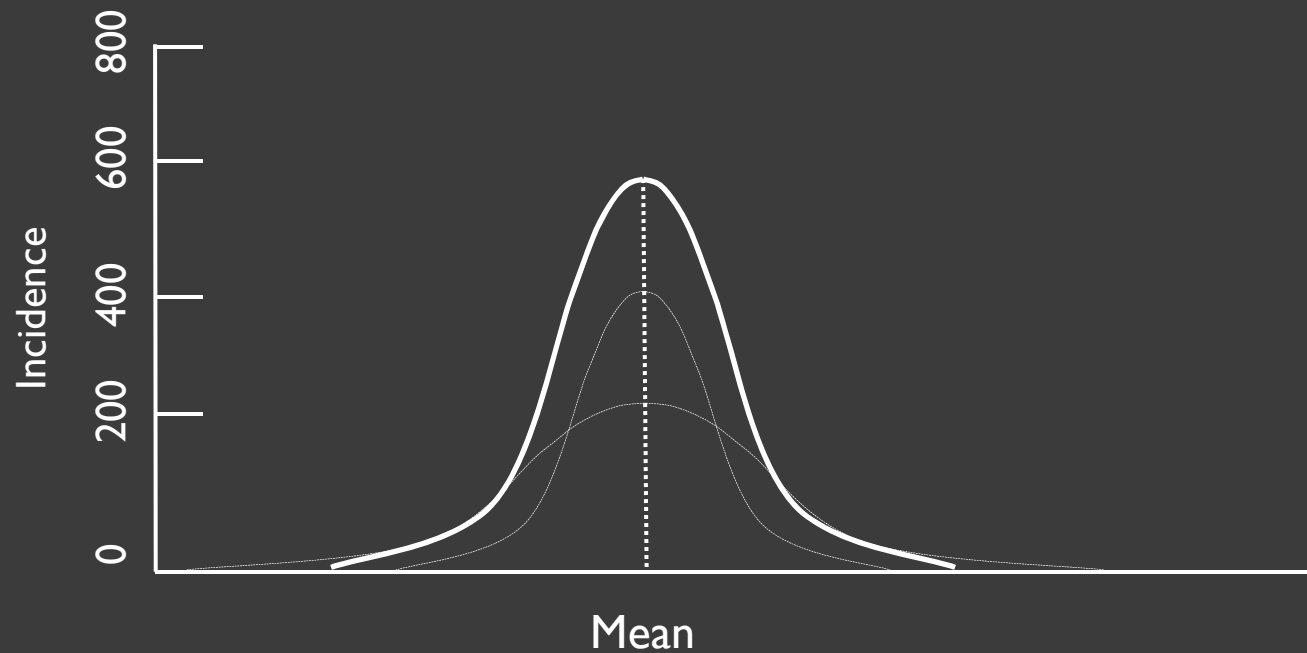
*Hospital visits for dysrhythmia (ICD-9: 427)*



Addition allows us to combine non-overlapping estimates of a common health endpoint

# Pooling: Addition

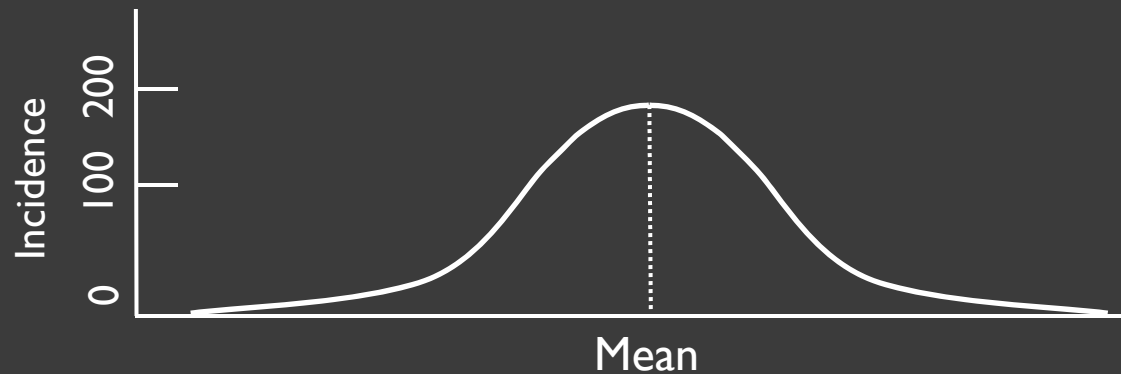
*Hospital visits for ischemic heart disease **and** dysrhythmia*



The sum of ischemic heart disease and dysrhythmia provides a better overall characterization of the effects of air pollution on cardiovascular outcomes than either endpoint alone.

# Pooling: Subtraction

*Hospital visits all cardiovascular outcomes (ICD-9: 390-459)*



*Hospital visits for all cardiovascular outcomes except stroke (ICD-9 390-440)*



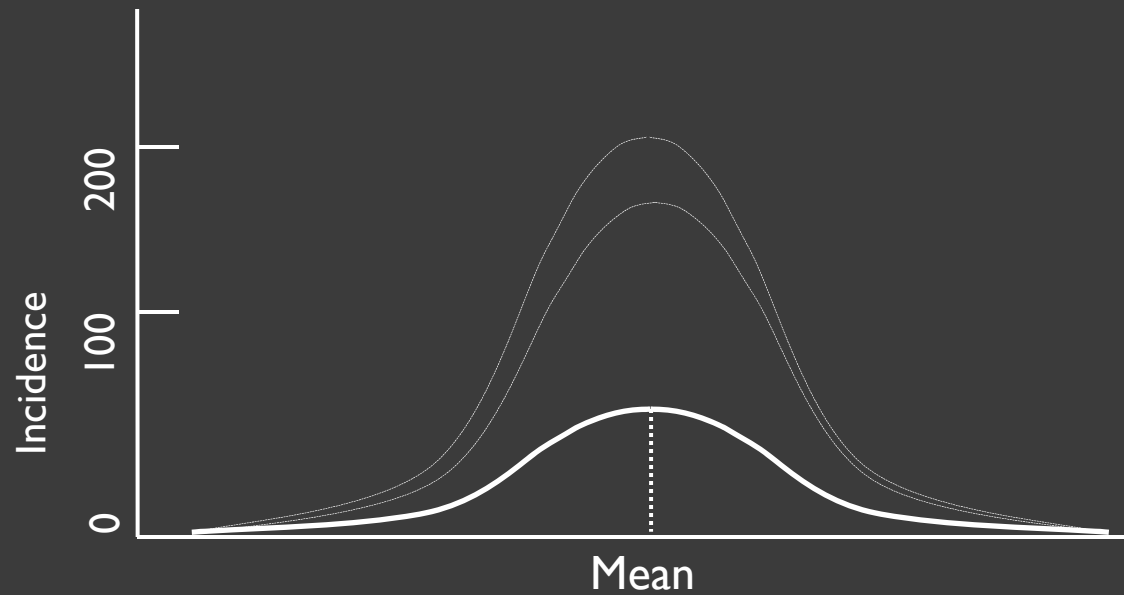
Subtraction allows us to “net out” the incidence of a health endpoint from two or more studies

In this example, the only difference between these two studies is that study one includes all cardiovascular outcomes, while study two excludes strokes

# Pooling: Subtraction

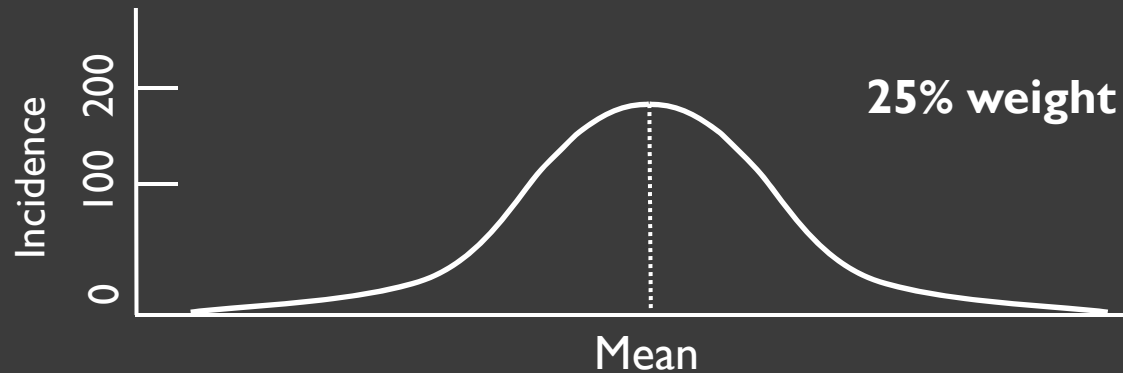
*Hospital visits for stroke (ICD-9: 440-449)*

Subtracting the results of study two from study one yields an estimate of stroke

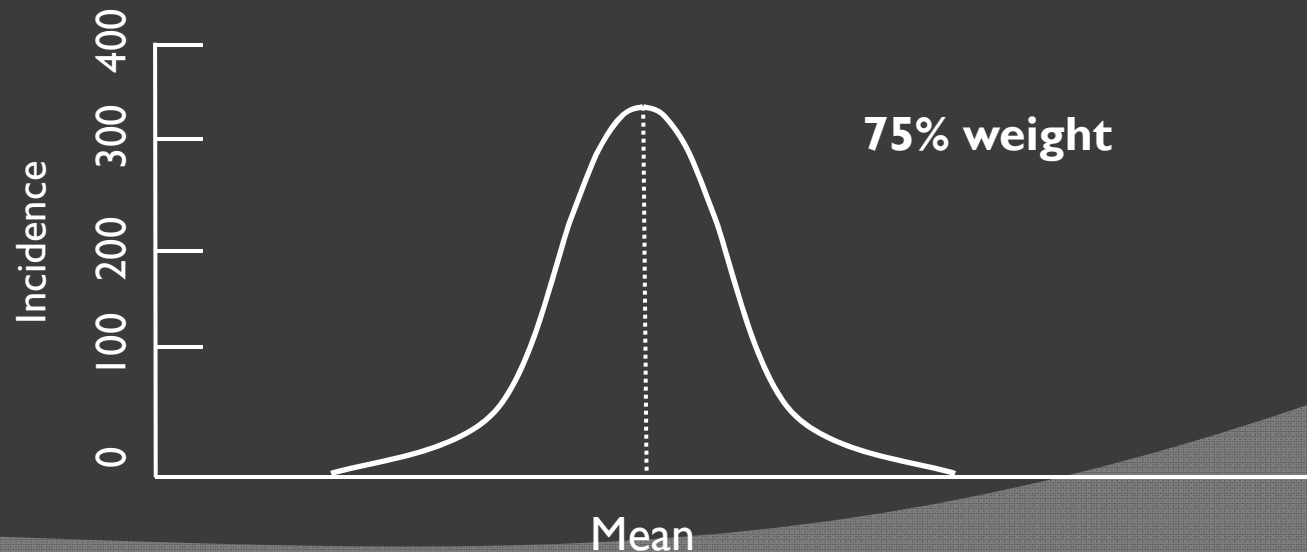


# Pooling: User-Assigned Weights

*Peng et al. 2009 Multi-City Study of Cardio Hospital Admissions*



*Bell et al. 2008 Multi-City Study of Cardio Hospital Admissions*



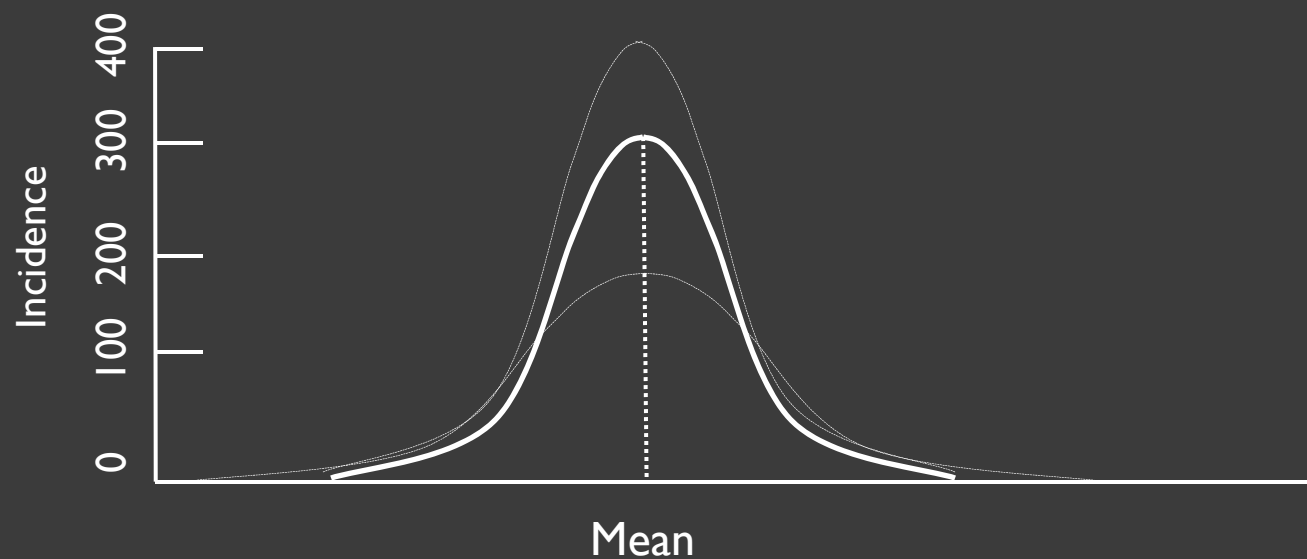
Some studies examine a common health endpoint and share a similar methodology, but may differ slightly in the populations examined

Users may wish to combine these study estimates together using equal weights



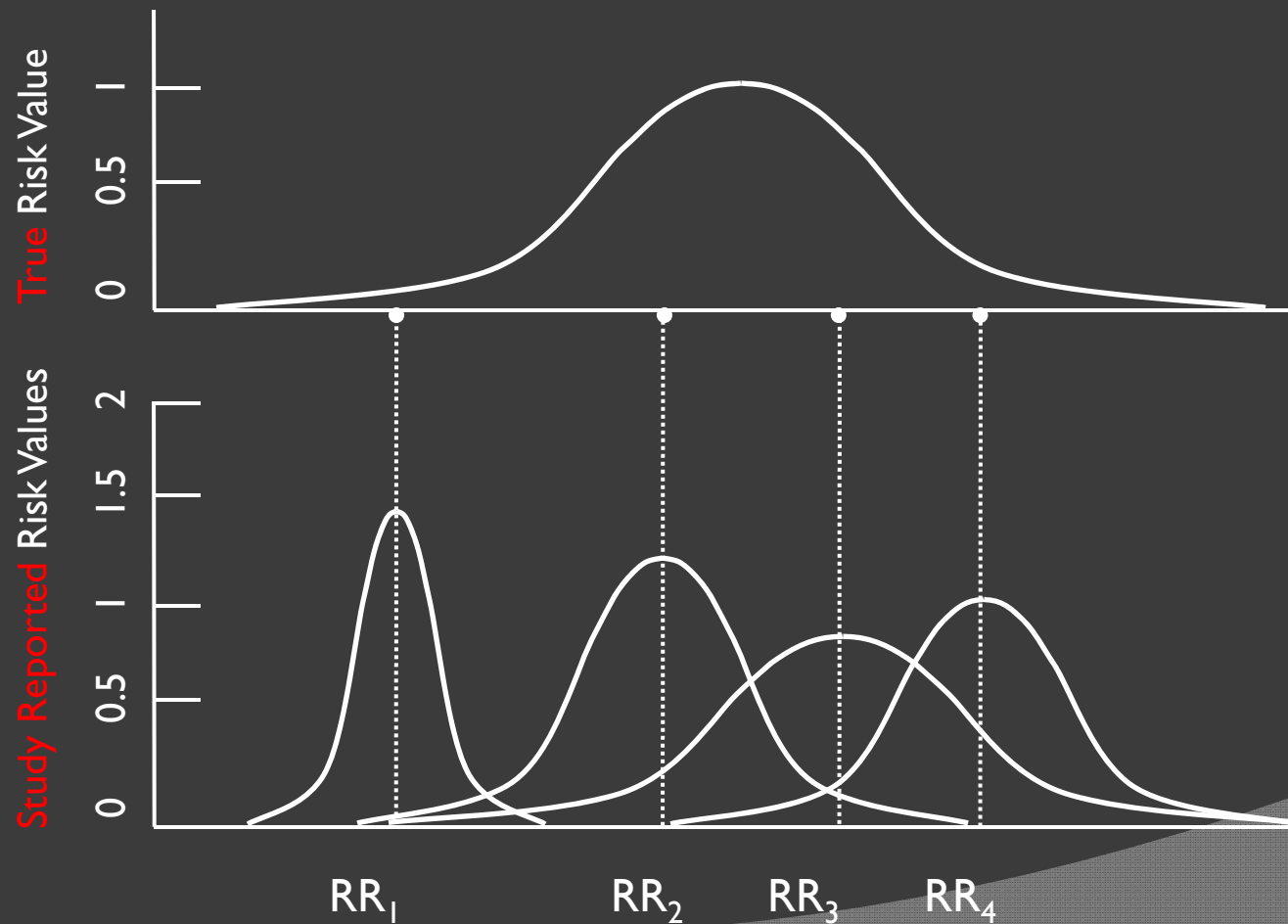
# Pooling: User-Assigned Weights

*Pooled estimate of Peng & Bell*



The pooled value reflects a weighted average of the two studies

# Pooling: Random-Effects



For the risks of a given health outcome there is a **true but unknown** distribution

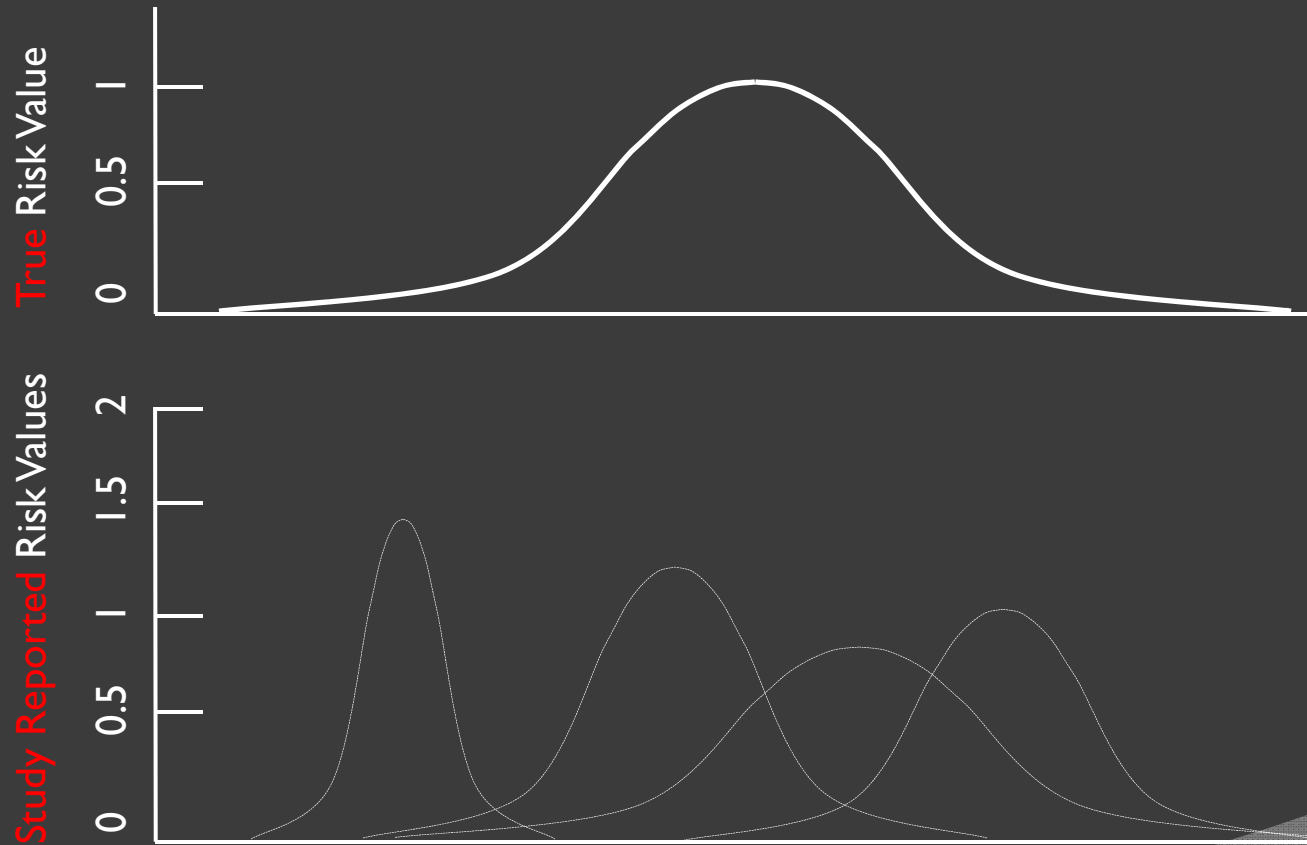
The individual studies in the literature report individual risk estimates from that distribution

Random effects pooling accounts for heterogeneity in the individual risk estimates to generate a single mean risk estimate

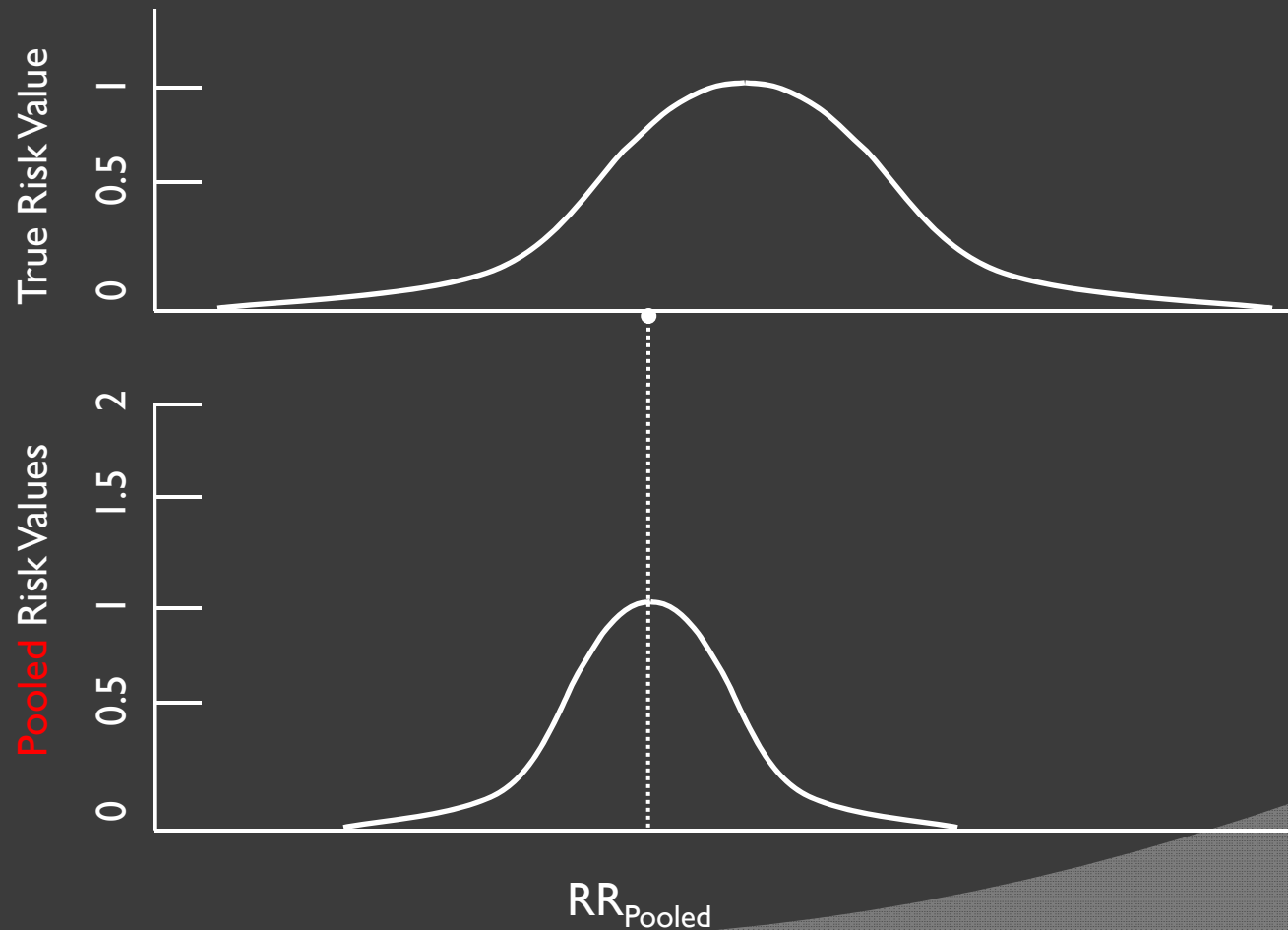
# Pooling: Random-Effects

The Random-Effects model assigns each study a weight based on two factors:

1. The spread of estimates reported by each study (i.e. the variance)
2. How much that spread of estimates differs from spread reported by the other studies



# Pooling: Random-Effects



The Random-Effects model assigns each study a weight based on two factors:

1. The spread of estimates reported by that study (i.e. the variance)
2. How much that spread of estimates differs from the other studies

Finally, the Random-Effects model calculates a weighted average of the studies

# Step Three: Assign a \$ Value

- ⦿ Cost of Illness (COI)
  - Medical expenses for treatment of illness
  - Captures the money savings to society of reducing a health effect
  - Ignores the value of reduced pain and suffering
  - Indexed to cost year
- ⦿ Willingness To Pay (WTP)
  - Lost wages, avoided pain and suffering, loss of satisfaction, loss of leisure time, etc.
  - Measures the complete value of avoiding a health outcomes
  - Indexed to cost year and adjusted for changes in personal income
- ⦿ OMB requires that we report monetized benefits at discount rates of 3% and 7%



# Adjusting Valuation Estimates for Cost Year and Income Growth

- Example 1: Cost of illness estimate for asthma hospital visit in 2008
  - Recent-year medical costs + recent-year lost wages

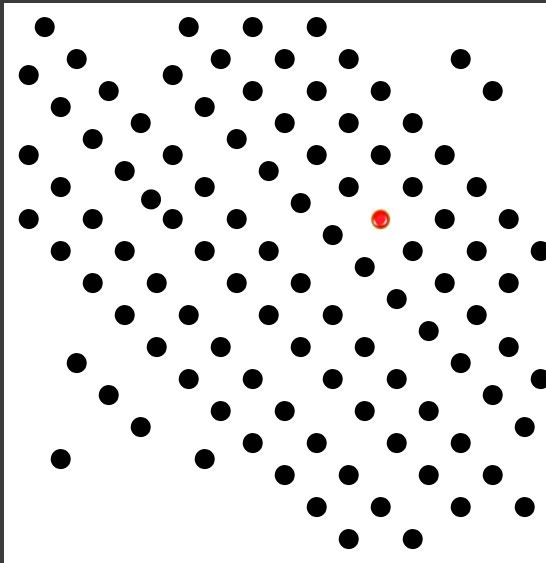
Charge			Cost
<i>Hospital charge</i>			
Room charge in 2000\$	Medical consumer price index to 2008\$		
\$12,000	20%		<b>\$14,400</b>
<hr style="border-top: 1px dashed black;"/>			
<i>Lost wages</i>			
Length of stay	Median daily wage in 2000\$	Wage index to 2008\$	
5 days	\$160	13%	<b>\$900</b>
			<b>Grand Total \$15,300</b>

# Adjusting Valuation Estimates for Cost Year and Income Growth

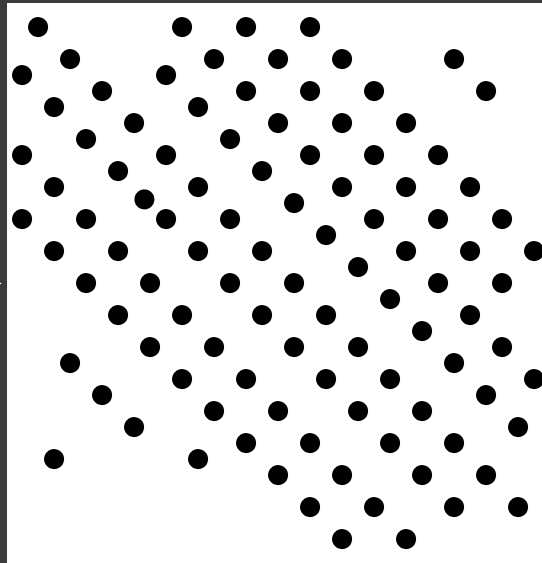
- Example 2: Willingness to pay to avoid asthma exacerbation in 2020
  - Willingness to Pay \* Adjustment for Future Income

Willingness to Pay in 2000\$	Income growth adjustment to 2020	Cost year adjustment to 2008\$
\$160	1.07	1.25
<b>Grand Total</b>		<b>\$214</b>

# Calculating the Value of a Statistical Life



In a population of 10,000, reducing pollution would avoid one premature death (i.e. reduce risk by 1 chance in 10,000)



Each of 10,000 are willing to pay \$500 to reduce risk of death by 1 chance in 10,000

$$\$500 \cdot 10,000 = \$5m$$

VSL is then WTP multiplied by the inverse of the risk reduction