





Managers are not often confronted with problems that are independent of each other, but with dynamic situations that consist of complex systems of changing problems that interact with each other. I call such situations messes.... Managers do not solve problems, they manage messes.

- Russell Ackoff

When we think in terms of systems, we see that a fundamental misconception is embedded in the popular term "side-effects"...This phrase means roughly "effects which I hadn't foreseen or don't want to think about"...Side-effects no more deserve the adjective "side" than does the "principal" effect. It is hard to think in terms of systems, and we eagerly warp our language to protect ourselves from the necessity of doing so.

-Garrett Hardin

#### **Complex Systems**

#### Systems are

- Made of many interacting, interdependent parts
- Greater than the sum of parts
- Based on feedback loops and cascading causal relationships

#### Systems thinkers are good at

- Observing relationships
- Understanding cause and effect
- Thinking from new perspectives
- Identifying leverage points to achieve objectives



#### Learning Objectives

- Apply systems thinking
- EPA's Toxic Release Inventory
- Principles of industrial ecology and chemical fate
- Navigate political, social and economic complexities of pollution management
- Integrate data analysis and decision making
- Build capacity for collaboration, conflict management, negotiation, teaming

#### Introduction to EPA's Toxics Release Inventory

Toxic Release Inventory (TRI)

- Created in 1987
- Emergency Planning and Community Right-to-Know Act (EPCRA) § 313
- Provide the public with information about releases of toxic chemicals in their communities

#### Details of the TRI

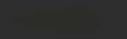
- 20,000 facilities reporting
- Specific industries
- 10+ employees
- 10,000/25,000lbs chemicals
- 600+ chemicals included
- Annual reporting cycle



Geographic distribution of TRI reporting facilities (EPA, 2014).

### Toxic Release!

- Teams and dossiers
- Team objectives, background and values
- Team selection
- Team member roles
- Role selection
- Decision tools
- Initial conditions
- Data tracking
- Communication with other teams
- Special action cards
- Measuring success
- Steps of play



### Teams and Objectives

#### Industry Team

• Maximize profits for shareholders

Community Advocacy Team

- Protect community members' public health
- Protect local environmental resources

#### Government Regulator Team

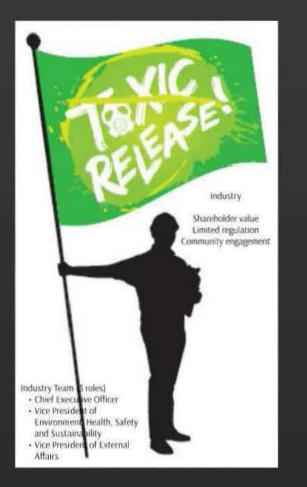
- Enforce the law
- Encourage compliance with regulations
- Minimize controversy and conflict

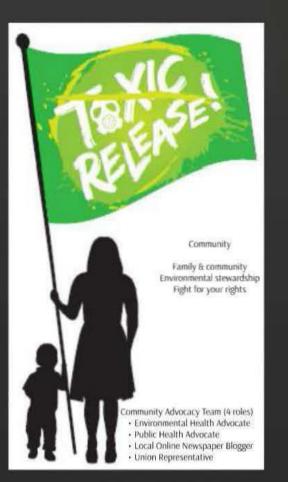
#### All Teams

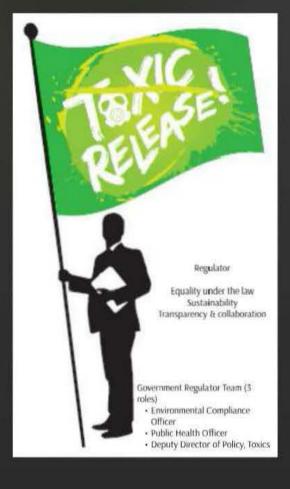
- Collectively reach 900 points by year 20
- Achieve a team score of 225 points by year 15



### Teams, Values and Roles







Industry

Shareholder value Limited regulation Community engagement

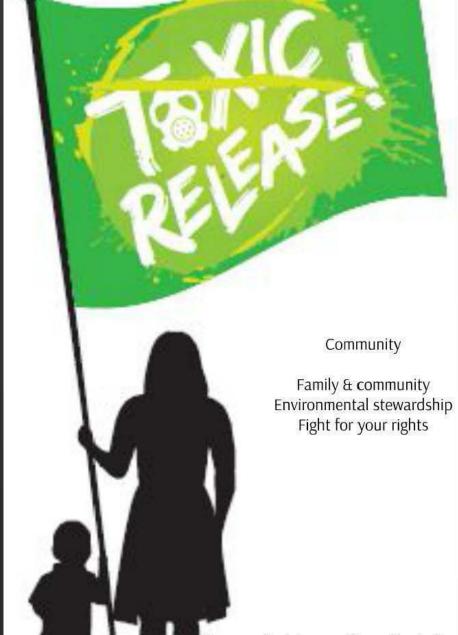
Industry Team (3 roles)

- Chief Executive Officer
- Vice President of Environment, Health, Safety and Sustainability
- Vice President of External Affairs



Industry

Shareholder value Limited regulation Community engagement



Community Advocacy Team (4 roles)

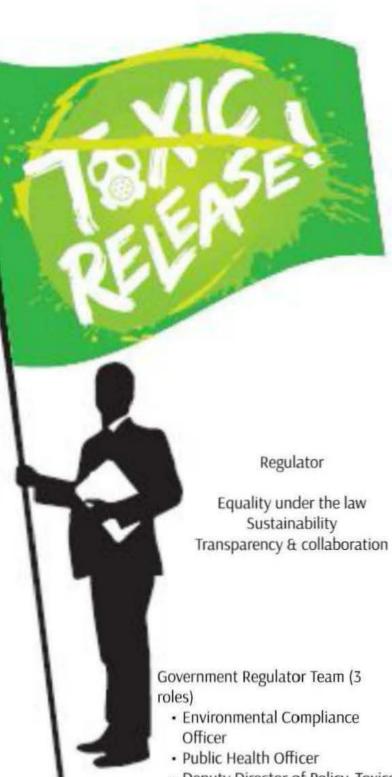
- Environmental Health Advocate
- Public Health Advocate
- Local Online Newspaper Blogger
- Union Representative



Community

Family & community vironmental stewardship Fight for your rights

dvocacy Team (4 roles) ental Health Advocate alth Advocate ine Newspaper Blogger presentative



Deputy Director of Policy, Toxics

#### Select Teams

When selecting teams, you may want to consider...

- Building capacities in new skills
- Your comfort zone
- Looking at problems through a new perspective
- Putting yourself in a new pair of shoes

industry Team		
Role	Most Relevant Information	Locus of Control
Chief Executive Officer (CEO)	Revenue, Expenses, Profit	Pace of operations (0-100); Sale Price (\$10,000-\$20,000)
Vice President of Environment, Health, Safety and Sustainability (VPEHSS)	Annual Chemical Releases	Pollution Prevention Technology (0-100)
VP of External Affairs (VPEA)	Community Media Viewers, Community Risk Perception	Refinery Marketing Campaign Ads (0-10)

Industry Team		
Role	Most Relevant Information	Locus of Control
Chief Executive Officer (CEO)	Revenue, Expenses, Profit	Pace of operations (0-100); Sale Price (\$10,000-\$20,000)
Vice President of Environment, Health, Safety and Sustainability (VPEHSS)	Annual Chemical Releases	Pollution Prevention Technology (0-100)
VP of External Affairs (VPEA)	Community Media Viewers, Community Risk Perception	Refinery Marketing Campaign Ads (0-10)

#### **Community Advocacy Team Most Relevant Information** Role Locus of Control **Public Health** Community Members Experiencing Acute and Community Risk Perception (0-Advocate (PHA) Chronic Illness 100) Freedom of Information Act Request (Pollutant data for one year) Protest! Community Acres of river Experiencing Eutrophication Community Risk Perception (0-Environmental 100) Advocate (EA) Freedom of Information Act Request (Pollutant data for one year) Protest! **Community Online** Media Profit, Media Viewers, Industry Newspaper Marketing Campaign, Community Risk Perception RS2100.com Profit, Acute and Chronic Illness, Local Strike! (1=factory operational; Local Union **Representative** (LU Environmental Health, Community Risk **O=Factory shutdown**) 401)

Community Advocacy Team		
Role	Most Relevant Information	Locus of Control
Public Health Advocate (PHA)	Community Members Experiencing Acute and Chronic Illness	Community Risk Perception (0- 100) Freedom of Information Act Request (Pollutant data for one year) Protest!
Community Environmental Advocate (EA)	Acres of river Experiencing Eutrophication	Community Risk Perception (0- 100) Freedom of Information Act Request (Pollutant data for one year) Protest!
Community Online Newspaper RS2100.com	Media Profit, Media Viewers, Industry Marketing Campaign, Community Risk Perception	
Local Union Representative (LU 401)	Profit, Acute and Chronic Illness, Local Environmental Health, Community Risk	Strike! (1=factory operational; 0=Factory shutdown)

Government Regulator Team		
Role	Most Relevant Information	Locus of Control
Public Health Officer (PHO)	Annual Chemical Releases	Warnings/Inspections (factory data for one year) Fines (\$0-\$300,000)
Environmental Compliance Officer (ECA)	Annual Chemical Releases	Warnings/Inspections (factory data for one year) Fines (\$0-\$300,000)
Deputy Director of Policy for Toxics (DDP)	Annual Chemical Releases, Pollution Prevention Technology,	Warnings/Inspections (factory data for one year)

#### ant member koles

Government Regulator Team		
Role	Most Relevant Information	Locus of Control
Public Health Officer (PHO)	Annual Chemical Releases	Warnings/Inspections (factory data for one year) Fines (\$0-\$300,000)
Environmental Compliance Officer (ECA)	Annual Chemical Releases	Warnings/Inspections (factory data for one year) Fines (\$0-\$300,000)
Deputy Director of Policy for Toxics (DDP)	Annual Chemical Releases, Pollution Prevention Technology,	Warnings/Inspections (factory data for one year)

### Assign Roles

When assigning roles, you may want to consider...

- Areas of expertise
- Individual interests
- Skills such as communication, persuasion, analytical thinking, problem solving

Select one team member for each duty:

- Team facilitator (manage participation)
- Reporter (oversee decision tool and filing of Special Action Cards)
- Outreach coordinator (manage interaction with other teams)
- Chief strategist (develop vision and strategy)

#### Decision Tools

- The decision making and feedback cycle represents one year (1 year = 1 round)
- Each team receives relevant information
- Each role responsible for recording and monitoring relevant information
- Teams are free to use any/all information to make decisions that support team objectives

### Decision Tools

- Teams collectively complete
  Decision Dashboard
- Members contribute their individual expertise/opinions
- Track outcome data
- Use outcome data to inform decisions









# COMMUNITY DECISION DASHBOARD



Check box if seeking a court injunction.

#### **ANNUAL REPORT**

Environmental Health Advocate

Acres of Bay Eutrophicated:

Annual Nitrates Released: (FIDA only)

**Online Blogger** 

**Online Blog Profit** 

Ad Revenue from Industry (000s):

**Blog Readership:** 

Annual Score:

Cumulative Score:

Notes:

Year

#### **Public Health Advocate**

Annual Acute Illness:

Cumulative Chronic Illness:

Annual Benzene Released: (FIDA only)

#### **Union Representative**

Industry Profit (000s):



### **ANNUAL REPORT**

Chief Executive Officer Revenue (000s): Expenses (000s): Profit (000s): VP External Affairs Blog Readership: Marketing Expenses (000s):

Community Risk Perception:

Annual Benzene Emissions:

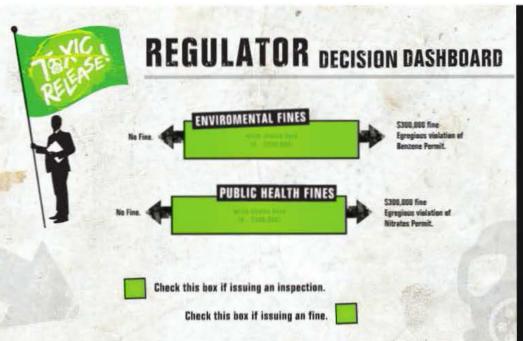
**VP Environmental Health, Safety, Sustainability** 

Annual Nitrate Emissions:

Fines:

Annual Score: Cumulative Score: Notes:

Year



#### **ANNUAL REPORT**

#### **Environmental Compliance Officer**

Annual Nitrates Emissions:

Acres of Bay Eutrophicated:

#### **Deputy Director of Policy**

P2 Technology Einspection only):

Industry Profit (Inspection only):

Industry Expenses (Inspection only):

#### Public Health Officer

Annual Benzene Emissions:

Annual Acute Illness:

CumulativeChronic Illness:

Annual Score: Cumulative Score: Notes:

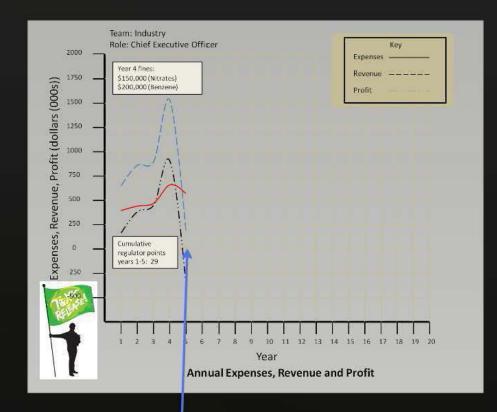
Year \_\_\_\_

### Submitting Decisions to the Game Facilitator

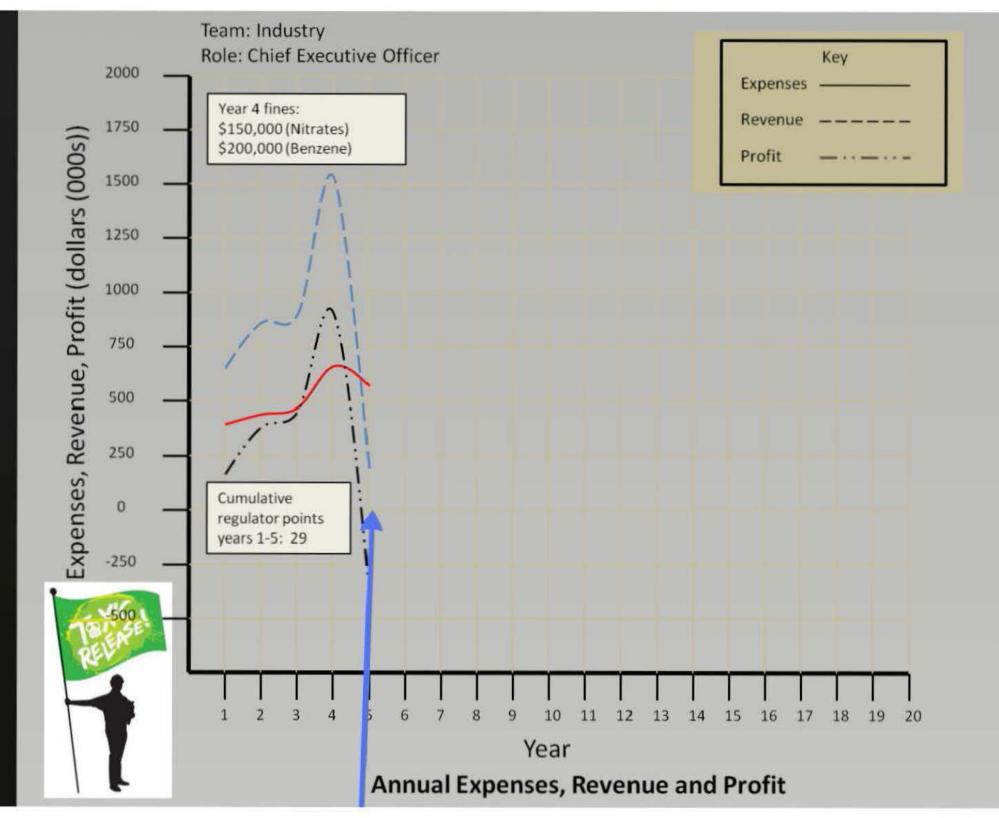
- The decision making and feedback cycle represents one year (1 year = 1 round)
- Record decisions on Decision Dashboard
- Give Decision Tools to Game Facilitator
- Game Master enters decisions into computer and records outcome on Decision Dashboard
- Game Master returns Decision Dashboard to teams

## Data Tracking

- Each team member tracks individual data
- Use data to inform decision making
- Double-checking, aggregating or combining data is encouraged



Track data starting in year 6



### Communication with other Teams

All players can interact, communicate, collaborate, broker agreements and negotiate freely and at any time.



# Special Action Cards

Team	Special Action Card	Issued to	Effect
Community	Freedom of Information Act Request (FOIA)(limit: 5)	Regulators	Community team receives detailed information about industry's toxic releases and fines for one year.
	Protest & Petitions (unlimited)	Industry & Regulators	Attempt to persuade industry and regulators to be more responsive to community concerns.
	Local Union Rep 401 Court Injunction (limit: 3)	Industry	Halts factory for one year.
Regulators	Warning & Inspection (limit: 5)	Industry	Regulators receive detailed information about factory operations and P2 Technology for one year.
	Fines (limit: 5)	Industry	Penalizes industry (increases expenses) for one year.
Industry	Marketing Campaign (unlimited)	Community and Regulators	Attempt to persuade others that industry is responsive to community needs and reducing toxic releases.

Team	Special Action Card	Issued to	Effect
Community	Freedom of Information Act Request (FOIA)(limit: 5)	Regulators	Community team receives detailed information about industry's toxic releases and fines for one year.
	Protest & Petitions (unlimited)	Industry & Regulators	Attempt to persuade industry and regulators to be more responsive to community concerns.
	Local Union Rep 401 Court Injunction (limit: 3)	Industry	Halts factory for one year.
Regulators	Warning & Inspection (limit: 5)	Industry	Regulators receive detailed information about factory operations and P2 Technology for one year.
	Fines (limit: 5)	Industry	Penalizes industry (increases expenses) for one year.
Industry	Marketing Campaign (unlimited)	Community and Regulators	Attempt to persuade others that industry is responsive to community needs and reducing toxic releases.

#### Measuring Success

**Industry Points** 

• Profit (max = 20pts/year)

**Community Points** 

 Acute and Cumulative Illness, and Eutrophication (max = 20pts/year)

**Regulator Points** 

Average of Industry and Community Points (max = 20pts/year)

Team Objective

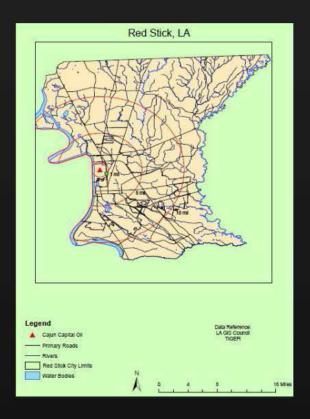
• Reach 300 points by year 20

### Initial Game Conditions

Refinery operating at 25% capacity due to a recent malfunction. Sale prices are extremely low to encourage customers to return from downtime associated with the malfunction.

Community Risk Perception at 20%, which reflects a heightened level of risk awareness among local residents.

Regulators have performed routine inspections over the past few years. They have noted that the refinery is operating near the limits of its environmental permits, but has not exceeded them recently. The refiner is required to report to the Toxic Release Inventory.



#### Steps of Play

- Teams receive information
- Individual roles record/monitor information
- Teams/members discuss and interact to make decisions
- Teams record decisions and give Decision Tools to Game Facilitator
- Teams file and discuss Special Action Cards
- Game Facilitator enters decisions into computer, records on Decision Tools and returns to teams

#### Discuss Strategy

- Develop an initial strategy for meeting objectives
- Available resources and areas of control
- Short-term goals
- Tactics for meeting goals
- Long term vision
- Potential collaborators

Developed by: Plattsburgh State Faculty and Students Dr. Curt Gervich Jake Eudene Chris Evans Jim Fonzone

With support from the Environmental Protection Agency and the SUNY Plattsburgh Campus Committee for Environmental Responsibility

#### Steps of Play

- Teams receive information
- Individual roles record/monitor information
- Teams/members discuss and interact to make decisions
- Teams record decisions and give Decision Tools to Game Facilitator
- Teams file and discuss Special Action Cards
- Game Facilitator enters decisions into computer, records on Decision Tools and returns to teams