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**INTERIM ACUTE EXPOSURE GUIDELINE LEVELS  
(AEGLs)**

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**1,4-DIOXANE**

5

**(CAS Reg. No. 123-91-1)**

6

**for**

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**NAS/COT Subcommittee for AEGLs**

8

**February 2005**

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PREFACE

11 Under the authority of the Federal Advisory Committee Act (FACA) P. L. 92-463 of 1972, the  
12 National Advisory Committee for Acute Exposure Guideline Levels for Hazardous Substances (NAC/AEGL  
13 Committee) has been established to identify, review and interpret relevant toxicologic and other scientific data  
14 and develop AEGLs for high priority, acutely toxic chemicals.

15 AEGLs represent threshold exposure limits for the general public and are applicable to emergency  
16 exposure periods ranging from 10 minutes to 8 hours. AEGL-2 and AEGL-3 levels, and AEGL-1 levels as  
17 appropriate, will be developed for each of five exposure periods (10 and 30 minutes, 1 hour, 4 hours, and 8  
18 hours) and will be distinguished by varying degrees of severity of toxic effects. It is believed that the  
19 recommended exposure levels are applicable to the general population including infants and children, and  
20 other individuals who may be sensitive or susceptible. The three AEGLs have been defined as follows:

21 AEGL-1 is the airborne concentration (expressed as ppm or mg/m<sup>3</sup>) of a substance above which it  
22 is predicted that the general population, including susceptible individuals, could experience notable  
23 discomfort, irritation, or certain asymptomatic, non-sensory effects. However, the effects are not disabling  
24 and are transient and reversible upon cessation of exposure.

25 AEGL-2 is the airborne concentration (expressed as ppm or mg/m<sup>3</sup>) of a substance above which it  
26 is predicted that the general population, including susceptible individuals, could experience irreversible or  
27 other serious, long-lasting adverse health effects, or an impaired ability to escape.

28 AEGL-3 is the airborne concentration (expressed as ppm or mg/m<sup>3</sup>) of a substance above which it  
29 is predicted that the general population, including susceptible individuals, could experience life-threatening  
30 health effects or death.

31 Airborne concentrations below the AEGL-1 represent exposure levels that could produce mild and  
32 progressively increasing odor, taste, and sensory irritation, or certain asymptomatic, non-sensory effects. With  
33 increasing airborne concentrations above each AEGL level, there is a progressive increase in the likelihood  
34 of occurrence and the severity of effects described for each corresponding AEGL level. Although the AEGL  
35 values represent threshold levels for the general public, including sensitive subpopulations, it is recognized  
36 that certain individuals, subject to unique or idiosyncratic responses, could experience the effects described  
37 at concentrations below the corresponding AEGL level.

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## EXECUTIVE SUMMARY

123 1,4-Dioxane is a colorless combustible liquid with a characteristic unpleasant odor. Hellman and  
124 Small (1974) reported an odor detection threshold of 1.8 ppm and an odor recognition threshold of 5.7  
125 ppm. Several studies reported that the initial strong odor diminished rapidly during exposure. In a  
126 toxicokinetic study on humans, exposure to 50 ppm for 6 h led to eye irritation (Young et al., 1977). In  
127 other experimental studies, exposure to 300 ppm for 15 min led to irritation of eyes, nose and throat; after  
128 exposure for an unspecified exposure time, irritation was quite distinct at 1400 ppm and at 2800 ppm  
129 subjects complained of very strong initial irritation and slight pressure in the chest (Wirth and Klimmer,  
130 1936). Yant et al. (1930) reported eye irritation, resulting in blinking, squinting and lacrimation, a burning  
131 sensation in nose and throat and slight vertigo in subjects exposed to 5500 ppm dioxane for 1 minute;  
132 1600 ppm for 10 minutes resulted in an immediate slight burning of the eyes accompanied by lacrimation  
133 and nasal irritation. A few lethal cases have been reported after repeated occupational exposure to  
134 unknown dioxane concentrations. Initial signs and symptoms comprised nausea and vomiting, described  
135 as "stomach trouble" by the workers, followed after 2-3 days by oliguria and anuria. About 3-7 days after  
136 the first symptoms, coma developed, followed by death. Microscopic examinations revealed centrilobular  
137 liver necrosis, almost symmetrical necrosis of the outer renal cortex and hemorrhages around the  
138 glomeruli. Studies on exposed workers did not reveal evidence of genotoxic or carcinogenic effects of  
139 dioxane.

140 Acute toxic effects in animals are mainly central nervous system depression, kidney and liver  
141 damage as well as irritation effects. At lethal concentrations, narcosis has been observed in rats and  
142 guinea pigs. Pozzani et al. (1959) reported a 4-hour  $LC_{50}$  for dioxane of 14,300 ppm in rats. A similar  
143  $LC_{50}$  value of 12,800 ppm for 4 hours was reported by Pilipyuk et al. (1977). Rats exposed for 2x1.5  
144 hours per day at 5000 ppm died after 3-5 consecutive exposure days (Fairley et al., 1934). Necropsy  
145 findings included evidence of serious kidney and liver damage, such as patchy cell degeneration of the  
146 cortical tubules, inter- and intratubular hemorrhages and liver cell degeneration varying from cloudy  
147 swelling to large areas of complete necrosis. A 2-hour  $LC_{50}$  value of 18,000 ppm in mice has been  
148 reported (Pilipyuk et al., 1977). Goldberg et al. (1964) studied the effect of dioxane on avoidance  
149 behavior (conditioned response) and on escape behavior (unconditioned response) of rats using a pole  
150 climbing test. After the training period, rats were exposed 4 hours/day, 5 days/week for 2 weeks.  
151 Behavior measurements were performed after every exposure. At 6000 ppm, 6/8 rats showed a delay of  
152 the conditioned response behavior after the 1<sup>st</sup> exposure, while in the subsequent exposures between 3 and  
153 8 of a total of 8 rats were affected. Effects on the escape response were not observed. Drew et al. (1978)  
154 reported significantly increased serum activities of liver enzymes (ornithine carbamyl transferase,  
155 aspartate aminotransferase and alanine aminotransferase) in rats after a single 4-hour exposure at 1000 or  
156 2000 ppm dioxane. Frantik et al. (1994) studied the inhibition of propagation and maintenance of the  
157 electrically evoked seizure discharge in rats and mice. Of six different time characteristics recorded, the  
158 duration of tonic extension of hindlimbs in rats and the velocity of tonic extension in mice were the most  
159 sensitive and reproducible response measures. The authors suggested the  $EC_{10}$  as the effect threshold,  
160 which was 1200 ppm for 4 hours in rats and 580 ppm for 2 hours in mice. No indication of teratogenic or  
161 fetotoxic effects was found in rats after dosing at up to 517 mg/kg/d by gavage on gestational days 6-15.  
162 Dioxane did not induce gene mutations in *Salmonella typhimurium*. It did not induce TK gene mutations  
163 in mouse lymphoma L5178 tk<sup>+</sup> cells or HGPRT gene mutations or chromosomal aberrations in Chinese  
164 hamster ovary cells. However, it did induce a slight increase in sister chromatid exchange in the absence  
165 of metabolic activation and caused morphological transformation of BALB/c 3T3 mouse cells. Oral

166 administration of high doses to rats caused DNA strand breaks and micronuclei formation in liver cells.  
167 No induction of unscheduled DNA synthesis was observed in rat hepatocytes at up to 2 % dioxane in  
168 drinking water. Of six bone-marrow micronucleus tests, five were negative, while one was positive. When  
169 administered orally at 0.5 % or higher in drinking water (corresponding to about 500 mg/kg/day), dioxane  
170 produced malignant tumors of the nasal cavity and liver in rats and tumors of the liver and gallbladder in  
171 guinea pigs. It was also active as a promotor in a two-stage skin carcinogenesis study in mice. A lifetime  
172 bioassay exposing rats at 111 ppm for 7 hours/day, 5 days/week found no evidence for carcinogenic  
173 effects.

174 For the derivation of AEGL-1 values, irritation was considered the most relevant endpoint. As  
175 key study, the pharmacokinetic study of Young et al. (1977) was chosen, because this was the only  
176 adequately reported and analytically controlled study available for this endpoint. Four healthy men  
177 reported eye irritation at 50 ppm throughout the 6-hour exposure period. Since this was a pharmacokinetic  
178 study, no emphasis was put on reporting of symptoms and the authors did not define the severity level of  
179 the eye irritation. The irritation was nevertheless considered to be below the notable discomfort level as  
180 described in the AEGL-1 definition because the authors (Young et al., 1977) considered 50 ppm as an  
181 adequate workplace standard and a level of 50 ppm has been used as a workplace standard in the past. A  
182 total uncertainty factor of 3 was used because for local effects, the toxicokinetic differences do not vary  
183 considerably within and between species. Since the study by Young et al. (1977) reported eye irritation  
184 throughout the whole exposure period of 6 hours and did not report an increase of the effect with time, the  
185 same exposure concentration was applied to all time points. Using a constant value for the AEGL-1 is  
186 also supported by the observation of Yant et al. (1930) who reported no eye irritation, squinting and  
187 lacrimation in Guinea pigs exposed to 1000 ppm for up to 6 hours, while at 2000 ppm or higher these  
188 symptoms were observed within 8 minutes or less.

189 A level of distinct odor awareness (LOA) for 1,4-dioxane of 1.7 ppm was derived on the basis of  
190 the odor detection threshold from the study of Hellman and Small (1974). The LOA represents the  
191 concentration above which it is predicted that more than half of the exposed population will experience at  
192 least a distinct odor intensity, about 10 % of the population will experience a strong odor intensity. The  
193 LOA should help chemical emergency responders in assessing the public awareness of the exposure due  
194 to odor perception.

195 For the AEGL-2, two independent derivations based on central nervous system effects and liver  
196 effects were elaborated. The two approaches led to identical AEGL-2 values and were mutually  
197 supportive. With regard to central nervous system effects, Goldberg et al. (1964) reported that exposure at  
198 6000 ppm for 4 hours affected the performance of rats in an conditioned response test (pole climbing in  
199 response to buzzer to avoid electrical shock), but did not affect the escape response to an electrical shock.  
200 This observation was made after one as well as after repeated exposures. The exposure level of 6000 ppm  
201 for 4 hours was considered a NOEL for central nervous system depression. Higher concentrations caused  
202 narcosis in mice (8300 pm for 3.5 hours; Wirth and Klimmer, 1936) and guinea pigs (30,000 ppm for 1-2  
203 hours; Yant et al., 1930). A total uncertainty factor of 30 was used. The interspecies factor was reduced to  
204 3 because the toxicodynamic differences between species were considered limited for CNS depression  
205 and because application of the default factor would have lowered the AEGL-2 values to a level that  
206 humans are known to tolerate without adverse effects (Young et al., 1977). An intraspecies factor of 10  
207 was applied. The other exposure duration-specific values were derived by time scaling according to the  
208 dose-response regression equation  $C^n * t = k$ , using the default of  $n=3$  for shorter exposure periods and

209 n=1 for longer exposure periods, due to the lack of suitable experimental data for deriving the  
210 concentration exponent. Time extrapolation was continued to the 10-minute period because even at  
211 considerably higher concentrations of 1600 ppm for 10 minutes (Yant et al., 1930) volunteers did not  
212 experience more severe effects than moderate eye, nose and throat irritation.

213 With regard to liver effects, the study by Drew et al. (1978) reported increased the serum  
214 activities of liver enzymes after a single exposure of rats at 2000 ppm for 4 hours. While the reported 2-3-  
215 fold increase in liver enzymes was considered a weak, reversible liver damage because chemicals, viruses  
216 or tumors can easily increase aminotransferase levels by 10- to 100-fold in rats and humans, lethal liver  
217 and kidney damage occurred in rats after exposure at 5000 ppm for 2x1.5 hours/day after at few days  
218 from (Fairley et al., 1934). Therefore, the level of 2000 ppm for 4 hours was considered an adequate basis  
219 for AEGL-2 derivation. A total uncertainty factor of 10 was used. An interspecies uncertainty factor of 1  
220 was applied because metabolism in humans and rats is very similar, involving the same metabolic steps  
221 and intermediate metabolites and because application of a total uncertainty factor of 30 would reduce the  
222 AEGL-2 level to an exposure concentration of 17 ppm for 8 hours and 33 ppm for 4 hours, which humans  
223 are known to tolerate without adverse effect (pharmacokinetic study exposing subjects to 50 ppm for 6  
224 hours; Young et al., 1977). An intraspecies factor of 10 was applied. The other exposure duration-specific  
225 values were derived by time scaling according to the dose-response regression equation  $C^n * t = k$ , using  
226 the default of n=3 for shorter exposure periods and n=1 for longer exposure periods, due to the lack of  
227 suitable experimental data for deriving the concentration exponent. Time extrapolation was continued to  
228 the 10-minute period because even at considerably higher concentrations of 1600 ppm for 10 minutes  
229 (Yant et al., 1930) exposed subjects did not experience more severe effects than moderate eye, nose and  
230 throat irritation.

231 The AEGL-3 was based on a 4-hour  $LC_{50}$  for dioxane of 14,300 ppm in rats (Pozzani et al., 1959)  
232 because this was the only acute inhalation study described in sufficient detail. This study was supported  
233 by the study of Pilipyuk et al. (1977), which was reported in insufficient detail to serve as key study. For  
234 extrapolation from the  $LC_{50}$  value to the threshold for lethality, a divisor of 3 was used. This divisor was  
235 considered adequate because available data indicated a very steep dose-response curve for lethality after  
236 inhalation exposure (Pilipyuk et al., 1977; Yant, 1930). A total uncertainty factor of 10 was used. An  
237 interspecies uncertainty factor of 1 was applied because metabolism in humans and rats is very similar,  
238 involving the same metabolic steps and intermediate metabolites and because a higher uncertainty factor  
239 would have resulted in AEGL-3 values of 480 ppm for 10 and 30 minutes, which contrasts with the  
240 observation that exposure of human subjects to 1600 ppm for 10 minutes (Yant et al., 1930) resulted in  
241 moderate irritation, but not in more severe effects. An intraspecies factor of 10 was applied. The other  
242 exposure duration-specific values were derived by time scaling according to the dose-response regression  
243 equation  $C^n * t = k$ , using the default of n=3 for shorter exposure periods and n=1 for longer exposure  
244 periods, due to the lack of suitable experimental data for deriving the concentration exponent. For the  
245 10-minute AEGL-3 the 30-minute value was applied because the derivation of AEGL values was based  
246 on a long experimental exposure period and no supporting studies using short exposure periods were  
247 available for characterizing the concentration-time-response relationship.

248 The calculated values are listed in the table below.

249 

<b>SUMMARY TABLE OF PROPOSED AEGL VALUES FOR 1,4-DIOXANE <sup>a</sup></b>
---------------------------------------------------------------------------



	Classification	10-Minute	30-Minute	1-Hour	4-Hour	8-Hour	Endpoint (Reference)
251 252	AEGL-1 (Nondisabling)	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	irritative effects in humans (Young et al., 1977)
253 254	AEGL-2 (Disabling)	580ppm (2100 mg/m <sup>3</sup> )	400 ppm (1400 mg/m <sup>3</sup> )	320 ppm (1200 mg/m <sup>3</sup> )	200 ppm (720 mg/m <sup>3</sup> )	100 ppm (360 mg/m <sup>3</sup> )	central nervous system effects in rats (no narcosis) (Goldberg et al., 1964); liver enzyme increase in rats (no severe necrosis) (Drew et al., 1978)
255 256	AEGL-3 (Lethal)	950 ppm (3400 mg/m <sup>3</sup> )	950 ppm (3400 mg/m <sup>3</sup> )	760 ppm (2700 mg/m <sup>3</sup> )	480 ppm (1700 mg/m <sup>3</sup> )	240 ppm (860 mg/m <sup>3</sup> )	extrapolated NOEL for acute lethality in rats (Pozzani et al., 1959; Pilipyuk et al., 1977)

257 <sup>a</sup> Cutaneous absorption may occur; direct skin contact with the liquid should be avoided.

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287 **1. INTRODUCTION**

288 1,4-Dioxane is a colorless combustible liquid with a characteristic unpleasent odor (NIOSH,  
289 1977).

290 There are three types of production processes for dioxane: 1) the most important synthesis is by  
291 acid-catalyzed conversion of diethylene glycol (or other ethylene glycols) by ring closure in a closed  
292 system; 2) catalyzed cyclo-dimerization of ethylene oxide on acid ion exchange resins via oligo-ethylene  
293 sulphonates; 3) ring closure of 2-chloro-2'-hydroxyethyl ether through heating with 20 % sodium  
294 hydroxide (ECB, 1999). The technical grade product is >99.9 % pure, but may contain bis(2-chloroethyl)-  
295 ether as an impurity (DeRosa et al., 1996). ECB (1999) states as impurities water (<=0.1 %), 2-methyl-  
296 1,3-dioxane (<=0.1 %), 2-ethyl-1,3-dioxane (<=0.03 %) and hydrogen peroxide (<=0.001 %); 2,6-tert.-  
297 butyl-p-cresol is found as a stabilizing additive).

298 The world-wide production capacity in 1995 was estimated at 8000-10000 metric tons with a  
299 production volume in Europe of 2000-2500 metric tons per year (for 1997) (ECB, 1999) and in the US of  
300 about 7500 metric tons per year (for 1977) (NIOSH, 1977).

301 Dioxane has a great variety of applications. Because of its physical-chemical properties it is used  
302 mainly as a processing solvent (waxes, fat, lacquers, varnishes, cleaning and detergent preparation,  
303 pharmaceuticals, pesticides, adhesives, cosmetics, cellulose derivatives, magnetic tape). It is also used as  
304 extraction medium for animals and vegetable oils and as a laboratory chemical (ECB, 1999).

305 Chemical and physical properties of 1,4-dioxane are listed in Table 1.

306

TABLE 1: CHEMICAL AND PHYSICAL DATA		
Parameter	Value	Reference
Molecular formula	C <sub>4</sub> H <sub>8</sub> O <sub>2</sub>	IARC, 1999
Molecular weight	88.11	IARC, 1999
CAS Registry Number	123-91-1	IARC, 1999
Synonyms	diethylene-1,4-dioxide; 1,4-dioxacyclohexane; diethylene ether; tetrahydro-p-dioxane	ECB, 1999
Physical state	liquid	IARC, 1999
Color	colorless	IARC, 1999
Density	1.034 g/cm <sup>3</sup>	ECB, 1999
Vapor pressure	40 hPa at 20 °C	ECB, 1999
Vapor density	3.0 (relative to air = 1)	NICNAS, 1998
Melting point	11.8 °C	IARC, 1999

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318	Boiling point	101.1 °C	IARC, 1999
319	Solubility	miscible in water and most organic solvents	IARC, 1999
320	Explosive limits in air	upper, 22 %(v/v); lower, 2 %(v/v)	IARC, 1999
321	Conversion factors	1 ppm = 3.6 mg/m <sup>3</sup> 1 mg/m <sup>3</sup> = 0.278 ppm	ECB, 1999

## 322 2. HUMAN TOXICITY DATA

### 323 2.1. Acute Lethality

324 A few case reports on delayed lethal effects in humans after inhalation exposure at the workplace  
325 are available. No fatalities have been reported after oral or dermal contact with 1,4-dioxane. The health  
326 effects of dioxane on humans are summarized in Table 2.

#### 327 2.2.1. Case Studies

328 Barber (1934), reported on the death of 5 men, aged 29-38, exposed to dioxane in an artificial silk  
329 plant in England (further described by Henry, 1933). The exposures occurred in an experimental plant  
330 where two similar machines were used to treat cellulose acetate yarn with dioxane. After process  
331 installation in 1932, the process in one of the two machines was altered in October 1933. The vessel  
332 containing dioxane was enclosed without exhaust ventilation. Therefore, workers were exposed to  
333 concentrated dioxane vapor when the enclosure was opened for manipulation of the yarn. Dioxane  
334 concentrations were not reported. The exposures probably involved inhalation and dermal contact.  
335 According to Barber (1934), 16 men were definitely exposed to dioxane, and 8 or 9 of these had worked  
336 on the machine where the process was altered. Seven of these became ill between the 5<sup>th</sup> and 19<sup>th</sup> of  
337 November, and 5 men died between the 11<sup>th</sup> and 25<sup>th</sup> of November. Signs and symptoms of poisoning  
338 comprised nausea and vomiting, described as "stomach trouble" by the workers, followed after 2-3 days  
339 by oliguria and anuria; no signs of jaundice were observed. Leukocytosis was present in all cases. About  
340 3-7 days after the first symptoms, coma developed, followed by death. Pathological findings included  
341 enlarged pale livers, swollen hemorrhagic kidneys, and edematous lungs and brains. Microscopic  
342 examinations revealed centrilobular liver necrosis, almost symmetrical necrosis of the outer renal cortex  
343 and hemorrhages around the glomeruli. Nothing was reported about the two workers who survived.

344 Johnstone (1959) reported the case of a worker who had placed an open container of dioxane  
345 between his feet with no ventilation while using the solvent during working hours to manually remove  
346 glue from a table top and also for cleaning his hands (i.e. additional dermal exposure occurred). Later  
347 measurements of the atmosphere showed a dioxane concentrations between 208 and 650 ppm. After 6  
348 days of work, the man (aged 21) became hospitalized with severe epigastric pain. The patient developed  
349 oliguria, became comatose on the 6<sup>th</sup> day and died one day later. Upon postmortem examination, the liver  
350 showed uniformly severe centrilobular necrosis and the kidneys showed cortex necrosis with extensive  
351 interstitial hemorrhage. The exposure from the additional dermal contact with dioxane was not estimated  
352 quantitatively.

## 353 2.2. Nonlethal Toxicity

354 Several experimental studies were performed regarding odor perception and irritative effects as  
355 well as toxicokinetic properties of dioxane. Two reports investigated possible effects of occupational  
356 exposure to dioxane. The health effects of dioxane on humans are summarized in Table 2.

### 357 2.2.1. Experimental Studies

358 Young et al. (1977) performed a pharmacokinetic study on humans. Four healthy male subjects,  
359 40-49 years old (smoking status not reported), were exposed for 6 hours at 50 ppm. In the dynamic  
360 chamber (26.7 m<sup>3</sup>) an airflow of 3.7-4.2 m<sup>3</sup>/min was maintained throughout the exposure. Dioxane vapor  
361 was generated by pumping dioxane with a syringe pump into a glass vaporization flask heated to 90-100  
362 °C. A nitrogen flow of 5 l/min was conducted through the flask to sweep the dioxane vapor into the  
363 chamber airstream. A circulating fan was used inside the chamber to achieve uniform distribution.  
364 Analytical monitoring of the dioxane concentration in the chamber was done using a Wilks Miran 1  
365 infrared analyzer. The subjects received an extensive physical examination including chest X-ray,  
366 electrocardiogram, respiratory function tests, conventional blood chemistry determinations and urinalysis  
367 prior to the study. Following exposure, all tests, except for the radiograph, were repeated at 24 hours and  
368 at 2 weeks. Samples of blood and urine collected during and after the exposure were analyzed for dioxane  
369 and its metabolite, 2-hydroxy-ethoxyacetic acid, by gas chromatography and mass spectrometry. Eye  
370 irritation was a frequent complaint throughout the exposure. The perception of odor diminished with time;  
371 two of the subjects could not perceive the odor after 4 and 5 hours in the chamber, while the other two  
372 subjects could still detect the odor at the end of the exposure period. Results relating to pharmacokinetics  
373 are summarized in Section 4.1. Liver enzyme measurements were not performed after the exposure.

374 Silverman et al. (1946) studied the sensory response to industrial solvent vapors including  
375 dioxane. An average number of 12 subjects of both sexes were exposed for 15 minutes, the exact number  
376 of subjects exposed to dioxane was not given. The subjects were aware of the exposure, no control  
377 exposure to air was performed. A motion picture was shown to the subjects to divert their attention from  
378 the exposure. Air-vapor concentrations were produced in a dynamic exposure chamber by continuously  
379 adding a known quantity of air saturated with dioxane to the measured flow of air being continuously  
380 forced into the chamber. The subjects were exposed to 200 or 300 ppm technical grade dioxane. The  
381 majority of subjects exposed to dioxane at 300 ppm reported irritation to eyes, nose and throat, although  
382 they did not find the odor objectionable. The authors concluded that "... sensory tests show 200 ppm to be  
383 the highest concentration acceptable" for an 8-hour exposure; however, they did not state whether or not  
384 the exposed subjects experienced irritative effects at 200 ppm. No further details or experimental results  
385 were reported.

386 Yant et al. (1930) exposed 5 volunteers for 1 minute at 5500 ppm dioxane vapor. The subjects  
387 reported irritation to the eyes, resulting in blinking, squinting and lacrimation, and a burning sensation in  
388 nose and throat. Three of the subjects noticed a slight vertigo which disappeared quickly after ending the  
389 exposure. When the same subjects were exposed at 1600 ppm for 10 minutes, they noted an immediate  
390 slight burning of the eyes accompanied by lacrimation, slight irritation of the nose and throat and an  
391 alcohol-like odor, which decreased in intensity with continued exposure. Lacrimation and nasal irritation  
392 persisted throughout the test. No vertigo was noted. One person complained of an "upset stomach" after  
393 exposure. The specifications of the exposure chamber, the purity of dioxane and the methods of

394 generating and measuring the dioxane atmospheres were not reported.

395 Wirth and Klimmer (1936) exposed 5 subjects (probably the authors themselves and institute  
396 coworkers) to dioxane concentrations of 0.7, 1.4, 2.8, 5.6, 8.4, 280, 1400 or 2800 ppm in a glass and  
397 stoneware exposure chamber for unspecified durations. The lower concentrations (up to 8.4 ppm) were  
398 generated by evaporating the calculated amount of dioxane from a filter paper with the aid of a fan. The  
399 higher concentrations were obtained by dispersing dioxane using a compressed-air sprayer. Slight mucous  
400 membrane irritation was reported at 280 ppm. At 1400 ppm, the irritation was quite distinct with slight  
401 stinging in the nose and scratchiness and dryness in the throat. At 2800 ppm, irritation was initially very  
402 strong and complaints of slight pressure in the chest were expressed. The subjects became accustomed to  
403 the irritation and odor after a few minutes, but continued to experience an unpleasant, metallic, bitter  
404 taste.

405 Fairley et al. (1934) exposed groups of 4 and 6 subjects in an exposure chamber at 1000 ppm for  
406 5 minutes or 2000 ppm for 3 minutes, respectively. The concentrations were obtained by vaporizing a 1:4  
407 dioxane-water mixture in a 10-m<sup>3</sup> chamber. At 1000 ppm, a rather sickly odor was detected immediately.  
408 The subjects observed a sensation of warmth in the throat and chest, which rapidly faded. One subject  
409 experienced a sense of constriction in the throat. At 2000 ppm, the initial strong ethereal or spirituous  
410 odor appeared to diminish rapidly during exposure. No lacrimation or desire to cough were noted.

411 The American Industrial Hygiene Association evaluated odor threshold studies and reported a  
412 range of 0.8-172 ppm with a geometric mean of 12 ppm for the odor detection threshold and a range of  
413 1.8-278 ppm with a geometric mean of 22 ppm for the odor recognition threshold (AIHA, 1989). In a  
414 review article, Amoores and Hautala (1983) reported a geometric mean odor detection threshold of 24 ppm  
415 using odor thresholds reported in the literature, but "omitting extreme points and duplicate quotations".

416 Hellman and Small (1974) reported the absolute (detection) and recognition thresholds of 101  
417 petrochemicals, determined using a trained odor panel in the Union Carbide Technical Center, South  
418 Charleston, WV. An odor fountain was placed about 14 inches below the vent pipe which carried the  
419 odorous stream out of the exposure chamber. Details of the procedure used are not reported. The odor  
420 detection threshold was 1.8 ppm. At this concentration "50 % of the odor panel observed an odor in the  
421 working fountain". The odor recognition threshold was the concentration at which 50 % "of the odor  
422 panel defined the odor as being representative of the odorant being studied". The odor recognition  
423 threshold was 5.7 ppm.

424 May (1966) reported an odor detection threshold of 170 ppm and a recognition threshold of 270  
425 ppm. In this experiment, a panel of 8 men and 8 women sniffed graded dilutions of dioxane from wide-  
426 mouth flasks.

427 Wirth and Klimmer (1936), using exposure of 5 subjects (probably the authors themselves and  
428 institute coworkers) to different dioxane concentrations in an exposure chamber, reported thresholds of  
429 2.8 ppm for recognition and 5.6 ppm for detection.

### 430 **2.2.2. Occupational Exposure**

431 Thiess et al. (1976) published a study of 74 workers (aged 32-62) with a cumulative potential

432 exposure of 1840 man-years and an average duration of 25 years with estimated dioxane exposure  
433 concentrations of 0.006-13.3 ppm. Hematological and clinical chemistry parameters were analyzed in 24  
434 current workers. Six of these workers had evidence of liver toxicity, as determined by increased serum  
435 aminotransferase levels (aspartate aminotransferase and alanine aminotransferase). All six workers who  
436 had elevated aminotransferase levels were known to have consumed about 80 g of alcohol daily for  
437 several years. When five of these men reduced their alcohol consumption, their aminotransferase levels  
438 returned to normal. Company medical records were evaluated for another 23 previously dioxane-exposed  
439 workers; this group was medically examined and chest radiography and blood analyses were performed.  
440 Six persons showed elevated aminotransferase levels. All of these had an usual daily ethanol consumption  
441 of more than 80 g. Medical records of 27 retired workers were evaluated and showed no higher incidences  
442 of liver or kidney diseases. Statistical epidemiological analyses did not reveal differences between  
443 observed and expected death rates and cancer incidences.

444 Another occupational study (Buffler et al., 1978) of 165 workers exposed for at least one month  
445 during a 21-year interval to dioxane at average concentrations ranging between 0.1 and 17 ppm and  
446 typical maximum concentrations ranging between 1.5 and 32 ppm also found no differences between  
447 observed and expected incidences of cancer. Part of the workers were also exposed to vinyl chloride or  
448 other, chlorinated solvents.

449 NIOSH (1977) cited written communications of two representatives (cited by NIOSH as C.U.  
450 Dernehl in 1976 and R.E. Peele in 1977) from another manufacturer: air samples were taken during 1974  
451 and 1975 in both production and drum filling facilities. Air samples of 50 ml were directly injected into a  
452 gas chromatograph. Sampling in the breathing zone showed an average concentration of 11.36 ppm  
453 (range 0.05-51 ppm, n=30). During the 42 years of dioxane production in the plant, about 80 workers  
454 were thought to have been potentially exposed to dioxane. In 1976, 42 persons, who were identified as  
455 having worked in the dioxane unit at some time or other, were given complete physical examinations,  
456 chest X-rays, electrocardiograms and a series of liver profile tests. It was reported that abnormalities were  
457 not found in any of the 42 employees. Cancer surveillance which had begun about 20 years ago, revealed  
458 four deaths from malignancy (one each of colon cancer, lymphosarcoma, lung carcinoma and  
459 glioblastoma) in the dioxane-exposed workers.

460

**TABLE 2: SUMMARY OF EFFECTS IN HUMANS AFTER INHALATION OF DIOXANE**

461

462

Concentration (ppm)	Exposure Time	Study type and effects	Reference
unknown	workshift, several days	case report on 5 men a man who became ill with nausea and epigastric pain, developed oliguria and after a few days became comatose and died	Barber (1934)
5500	1 min	5 subjects; reported irritation to eyes, resulting in blinking, squinting and lacrimation, and a burning sensation in nose and throat; 3/5 subjects reported slight vertigo.	Yant et al. (1930)
2800	not specified	5 subjects; very strong initial irritation, slight pressure in the chest	Wirth and Klimmer (1936)
2000	3 min	4-6 subjects; initial strong ethereal odor, no lacrimation or cough were noted	Fairley et al. (1934)
1600	10 min	5 subjects; immediate burning of the eyes with lacrimation, slight nose and throat irritation, alcohol-like odor	Yant et al. (1930)
1400	not specified	5 subjects; distinct irritation with slight stinging in the nose and scratchiness and dryness in the throat	Wirth and Klimmer (1936)
1000	5 min	4-6 subjects; sickly odor detected immediately, warm sensation in the throat and chest, which faded rapidly; one subject experienced constriction in the throat	Fairley et al. (1934)
208-650	workshift/d, 6 d	case report of a man who was hospitalized with epigastric pain, developed oliguria, became comatose after 6 d and died one day later	Johnstone (1959)
300	15 min	12 subjects; irritation to eyes, nose and throat	Silverman et al. (1946)
280	not specified	5 subjects; slight mucous membrane irritation	Wirth and Klimmer (1936)
200	15 min	12 subjects; report does not state presence or absence of symptoms; authors concluded that 200 ppm was highest acceptable concentration	Silverman et al. (1946)
50	6 h	pharmacokinetic study on 4 men, eye irritation, odor perception, which diminished with time	Young et al. (1977)
22	not stated	odor recognition threshold	AIHA (1989)
12	not stated	odor detection threshold	AIHA (1989)

476



477 **2.3. Developmental/Reproductive Toxicity**

478 No studies documenting developmental or reproductive effects of 1,4-dioxane in humans were  
479 identified (ECB, 1999; Medline and Toxline databases searched in 2/2002; ATSDR, 2004).

480 **2.4. Genotoxicity**

481 In lymphocytes obtained from 6 workers employed in dioxane production and exposed to  
482 unspecified concentrations for 6-15 years, no increase in chromosomal aberrations was found relative to  
483 that observed in an equal number of controls (Thiess et al., 1996) (see Section 2.2.2). No other studies  
484 documenting genotoxic effects of dioxane in humans were identified (IARC, 1999).

485 **2.5. Carcinogenicity**

486 In the cross sectional study by Thiess et al. (1976) (see Section 2.2.2) no evidence of liver or  
487 kidney damage or higher incidence of cancer deaths than in the general population were observed in  
488 group of 74 workers. In the study by Buffler et al. (1978) (see Section 2.2.2) no significant difference in  
489 observed deaths from overall cancer in 165 employees compared to the expected numbers were found.

490 **2.6. Summary**

491 Volunteer studies reported odor detection thresholds between 1.8 and 170 ppm and odor  
492 recognition thresholds between 5.6 and 270 ppm (Wirth and Klimmer, 1936; May, 1966; Hellman and  
493 Small, 1974). AIHA (1983) reported a geometric mean odor detection threshold of 12 ppm and a  
494 geometric mean odor recognition threshold of 22 ppm. Several studies reported that the initial strong  
495 ethereal odor diminished rapidly during exposure (Fairley et al., 1934; Yant et al., 1930; Young et al.,  
496 1977).

497 Volunteers reported eye irritation during exposure at 50 ppm for 6 hours in toxicokinetic study  
498 (Young et al., 1977). Subjects exposed at 300 ppm for 15 minutes experienced irritation to eyes, nose and  
499 throat; they did not find the odor objectionable (Silverman et al., 1946). Wirth and Klimmer (1936)  
500 reported that exposure to 280 ppm (time period not specified) led to a slight mucous membrane irritation  
501 in exposed subjects, at 1400 ppm the irritation was quite distinct and at 2800 ppm subjects complained of  
502 very strong initial irritation and slight pressure in the chest. Eye irritation, resulting in blinking, squinting  
503 and lacrimation, and burning sensation in nose and throat developed in subjects exposed at 5500 ppm for  
504 1 minute (Yant et al., 1930). Three of the subjects noticed a slight vertigo which disappeared quickly after  
505 leaving the exposure. Immediate slight burning of the eyes accompanied by lacrimation and nasal  
506 irritation resulted from exposure at 1600 ppm for 10 minutes. Fairley et al. (1934) reported that subjects  
507 exposed at 2000 ppm for 3 minutes experienced an initial strong odor, which diminished rapidly, but no  
508 strong irritation effects, such as lacrimation or cough.

509 Barber (1934) described 5 fatalities after repeated exposure to unknown concentrations of  
510 dioxane at the workplace. Exposure probably also comprised dermal contact. The men experienced  
511 nausea and vomiting, described as "stomach trouble", followed after 2-3 days by oliguria and anuria.

512 About 3-7 days after the first symptoms, coma developed, followed by death. Microscopic examinations  
513 revealed centrilobular liver necrosis, almost symmetrical necrosis of the outer renal cortex and  
514 hemorrhages around the glomeruli. Johnstone (1959) reported a similar case of a man who worked near to  
515 an open container of dioxane (additional dermal exposure occurred). After 6 days on work, the man  
516 became hospitalized with severe epigastric pain; he developed oliguria, became comatose on the 6<sup>th</sup> day  
517 and died one day later. Later measurements of the atmosphere showed a dioxane concentrations between  
518 208 and 650 ppm; no quantitative estimation of the dermal exposure was performed.

519 Case control studies did not reveal evidence of genotoxic or carcinogenic effects of dioxane  
520 (Thiess et al., 1996; Buffler et al., 1978; IARC, 1999).

### 521 3. ANIMAL TOXICITY DATA

#### 522 3.1. Acute Lethality

523 Acute inhalation toxicity tests were performed in rats, mice, Guinea pigs, rabbits and cats.  
524 However, no LC<sub>50</sub> study complying with today's standards is available. The lethality data are summarized  
525 in Table 6.

##### 526 3.1.1. Rats

527 Pozzani et al. (1959) determined the LC<sub>50</sub> values for 24 chemical solvents and a total of 51 binary  
528 to quaternary mixtures of these solvents in female Carworth Farms-Nelson rats. Exposure time was either  
529 4 or 8 hours. Dioxane or other solvents and mixtures were delivered by a motor-driven syringe into a  
530 heated Pyrex evaporator through which an appropriate amount of air was metered. The resultant vapors  
531 were conducted into a 9-liter desiccator which served as inhalation chamber for groups of 6 rats. The LC<sub>50</sub>  
532 values were calculated by the method of moving averages. The 4-hour LC<sub>50</sub> for dioxane was 14,300 ppm  
533 (51.3 mg/l). The number of different dioxane concentrations used was not stated. No clinical or necropsy  
534 observations were reported.

535 BASF AG (1980) exposed groups of male and female Sprague-Dawley rats for 1 hour (12 rats), 3  
536 hours (12 rats) or 7 hours (18 rats) at saturated dioxane vapor at 20 °C (estimated concentration 40,000  
537 ppm). The postexposure observation period was 14 d. Lethality was observed in 0/12, 6/12 and 4/18 rats,  
538 respectively. During exposure, animals showed escape behavior, eye and nose irritation, dyspnea,  
539 unsteady gait, apathy and narcosis. At necropsy, acute heart dilatation, hemorrhagic erosions of the  
540 stomach mucosa and acute lung dilatation were observed. No alterations were found in animals surviving  
541 until day 14. In a similar test (BASF AG, 1973) rats were exposed for 1 hour (12 rats), 3 hours (6 rats) or  
542 4 hours (6 rats) at saturated dioxane vapor at 20 °C. Mortality was observed in 0/12, 6/6 and 6/6 animals,  
543 respectively. The authors did not discuss the somewhat inconsistent findings from the two studies.

544 Pilipyuk et al. (1977) reported the following values for an 4-hour inhalation exposure of white  
545 rats: LC<sub>16</sub> = 11,100 ppm, LC<sub>50</sub> = 12,800 ppm and LC<sub>84</sub> = 14,500 ppm. No experimental details were  
546 described.

#### 547 *Studies with repeated inhalation exposure*

548 Fairley et al. (1934) exposed guinea pigs, rats, mice and rabbits at 1000, 2000, 5000 or 10,000

549 ppm dioxane. Animals were exposed twice daily for 1.5 hours (total 3 hours/day) on 5 days per week and  
550 one time for 1.5 hours at the 6<sup>th</sup> day; no exposure was performed on the 7<sup>th</sup> day. The total exposure time  
551 was not clearly stated by the authors: at the highest exposure concentration, all animals died during the  
552 first 3 days; for 5000 and 2000 ppm, the longest exposure period was about 3 weeks; for 1000 ppm  
553 animals were exposed for up to about 6 weeks. Exposure was done in a 1-m<sup>3</sup> static chamber. The dioxane  
554 concentration was obtained by vaporizing the calculated quantity of a 1:4 dioxane-water mixture. The  
555 authors did not mention whether the chamber air was mixed and did not perform analytical  
556 measurements. The 1000-ppm vapor was obtained by heating the mixture; for the other concentrations,  
557 the mixture was sprayed into the chamber. The mean temperature of the chamber was maintained at 27 °C  
558 to prevent condensation. At 10,000 ppm, all animals noticed the presence of something unusual at once,  
559 and rapidly displayed evidence of slight lacrimation. In all cases breathing was slightly distressed and this  
560 was more marked in the rats compared to other species. On opening the chamber after the first 1.5-hour  
561 exposure, all animals seemed drowsy, but recovered rapidly. At the two lowest concentrations, authors  
562 noted signs of slight discomfort in the animals; rabbits took up their characteristic defense attitude, but  
563 this and other symptoms tended to lessen in the latter part of the several exposures.

564 In experiments with rats, 1/3 rats died after 2 exposures for 1.5 hours on the same day at 10,000  
565 ppm; the other two rats died after the 2<sup>nd</sup> exposure day. At 5000 ppm, rats died after several exposure  
566 days. At 10,000 ppm, rats died of pulmonary lesions, which varied from an acute vascular congestion to  
567 an advanced infiltration of red blood cells. Evidence of serious kidney damage included patchy cell  
568 degeneration of the cortical tubules, vascular congestion and inter- and intratubular hemorrhages. The  
569 liver showed cell degeneration that varied from cloudy swelling to large areas of complete necrosis. At  
570 lower exposure concentrations, no lung damage from dioxane exposure was found and the main necropsy  
571 findings consisted of kidney and liver lesions.

#### 572 *Studies with non-inhalation exposure*

573 Pozzani et al. (1959) determined the oral LD<sub>50</sub> values for 24 chemical solvents and a total of 51  
574 binary to quaternary mixtures of these solvents in female Carworth Farms-Nelson rats. Chemicals were  
575 applied undiluted by gavage to groups of 6 rats. The number of different dioxane concentrations used was  
576 not stated. The LD<sub>50</sub> for dioxane was 6370 mg/kg (6.16 ml/kg). No clinical or necropsy observations  
577 were reported.

578 Other authors reported oral LD<sub>50</sub> values in rats of about 5170 mg/kg (30 % aqueous solution;  
579 BASF, 1973), 5345 mg/kg (not stated if administered pure or as solution; Laug et al., 1939), about 6200  
580 mg/kg (not stated if administered pure or as solution; Nelson, 1951), 6500 mg/kg (not stated if  
581 administered pure or as solution; BASF, 1958) and 7339 mg/kg (aqueous solution of unstated  
582 concentration; Smyth et al., 1939). Argus et al. (1973) reported a LD<sub>50</sub> of 5.60±0.06 ml/kg (5790±62  
583 mg/kg) in Sprague-Dawley rats after intraperitoneal injection of phenol in saline.

#### 584 *Studies with repeated non-inhalation exposure*

585 David (1964) exposed 50 white rats of an unspecified strain to drinking water containing 5 %  
586 dioxane for 1-10 days (corresponding to about 4150 mg/kg/d). Thirty five rats died during exposure. No  
587 details were reported on which days animals died; no necropsy was performed. Microscopic examination  
588 of kidneys from rats sacrificed after 3 days showed swollen epithelial cells in the proximal section of the  
589 nephron. Vesicular degeneration of tubular epithelium was first observed at day 5 and became more  
590 severe at day 7 or later. An accumulation of intracellular hyaline droplets was observed by electron

591 microscopy. Subsequent changes were noted in the tubular epithelium followed by degeneration and  
592 ultimately resulting in necrosis.

### 593 3.1.2. Mice

594 Wirth and Klimmer (1936) exposed mice of an unspecified, white strain to two grades of dioxane  
595 by inhalation. One grade was a very pure product that contained 99.8 % dioxane with 0.2 % water and  
596 was completely free of aldehydes and other impurities. The other, a technical dioxane grade of 96.4 %  
597 purity, contained 1.5 % aldehyde and acetal, 2.1 % water and trace amounts of alcohol and acids.  
598 Experiments were carried out in static 32-liter anesthesia flasks with both grades at concentrations  
599 ranging from 1400 ppm for about 8.3 hours to 39,000 ppm for approx. 1 h. Eye irritation was observed at  
600 all concentrations. Concentrations, exposure time and effects are summarized in Table 3. No difference  
601 between the two grades of dioxane was found. There was a considerable interindividual variation in the  
602 time until death.

603 **TABLE 3: EFFECTS IN MICE AFTER ACUTE INHALATION EXPOSURE,**  
604 **adopted from Wirth and Klimmer (1936)**

Concentration (ppm)	Exposure duration (min) to a) pure / b) technical dioxane	Number of animals exposed to pure/technical dioxane	Exposure time (min) until onset of symptom for pure / technical dioxane			Time until death after end of exposure (h)
			loss of equilibrium	prostration	narcosis	
39000	55	2	21, 25	32, 40	55, 55	6.5, 67 20, 51
	56	2	26, 29	39, 41	56, n.o. <sup>1</sup>	
28000	100	2	45, 48	55, 85	n.o., n.o.	9.25, n.o. 100, n.o.
	100	2	52, 53	60, 65	100, n.o.	
25000	94	2	47, 47	66, 66	n.o., n.o.	15, 17 8, 15
	95	2	45, 45	55, 65	85, 95	
17000	115	2	45, 53	68, 70	115, 115	3.3, 7.3 192, 192
	115	2	53, 53	80, 85	n.o., n.o.	
12500	155	2	60, 75	90, 110	150, n.o.	49, 49 26, 48
	158	2	83, 84	138, 138	153, n.o.	
8300	212	1	90	110	135	0.2 43
	212	1	120	117	153	
2800	575	2	405, 420	n.o., n.o.	n.o., n.o.	n.o., n.o. n.d.
	578	2	420, 420	540, 540	n.o., n.o.	
2800	480	2	295, 295	n.o., n.o.	n.o., n.o.	n.o., n.o. n.o., n.o.
	n.d. <sup>2</sup>	n.d.	n.d.	n.d.	n.d.	
2100	480	2	360, 420	445, n.o.	n.o., n.o.	0.3, n.o. 21.5, n.o.
	480	2	420, 455	n.o., n.o.	n.o., n.o.	

Concentration (ppm)	Exposure duration (min) to a) pure / b) technical dioxane	Number of animals exposed to pure/ technical dioxane	Exposure time (min) until onset of symptom for pure / technical dioxane			Time until death after end of exposure (h)
			loss of equilibrium	prostration	narcosis	
1400	500	2	n.o., n.o.	n.o., n.o.	n.o., n.o.	n.o., n.o.
	500	2	n.o., n.o.	n.o., n.o.	n.o., n.o.	n.o., n.o.

616

617 <sup>1</sup> n.o., not observed618 <sup>2</sup> n.d., not done

619 Pilipyuk et al. (1977) reported the following values for a 2-hour inhalation exposure of white  
620 mice: LC<sub>16</sub> = 17,000 ppm, LC<sub>50</sub> = 18,000 ppm and LC<sub>84</sub> = 19,300 ppm. No experimental details were  
621 described.

622 Izmerov et al. (1982) reported an LC<sub>50</sub> of 10,109 ppm for 2 hours in mice. No experimental  
623 details were reported.

#### 624 *Studies with repeated inhalation exposure*

625 In the study by Fairley et al. (1934) (described in Section 3.1.1) 3/3 mice died after 2 exposures  
626 for 1.5 hours on the same day at 10,000 ppm. At 5000 ppm, 1/3 mice died after the first exposure day and  
627 the other animals died after several exposures. At 10,000 ppm there appeared to be some degree of lung  
628 edema. Evidence of serious kidney damage included patchy cell degeneration of the cortical tubules,  
629 vascular congestion and inter- and intratubular hemorrhages. The liver showed cell degeneration that  
630 varied from cloudy swelling to large areas of complete necrosis. At lower exposure concentrations, no  
631 lung damage from dioxane exposure was found and the main necropsy findings consisted of kidney and  
632 liver lesions.

#### 633 *Studies with non-inhalation exposure*

634 Laug et al. (1939) reported an oral LD<sub>50</sub> of 5850 mg/kg in mice.

### 635 3.1.3. Guinea Pigs

636 Yant et al. (1930) exposed an unspecified number of guinea pigs to dioxane concentrations of  
637 1000, 2000, 3000, 10,000 or 30,000 ppm and observed the duration of exposure in minutes to up to a  
638 maximum of 8 hours required to produce nasal irritation, eye irritation, retching movements, changes in  
639 respiration and narcosis. The composition of the dioxane-air mixture was calculated from the quantity of  
640 liquid dioxane vaporized and the air volume in or flowing through the exposure chamber. The chamber  
641 concentration was checked by sorption of the vapor from a measured volume by activated charcoal and  
642 determination of the weight gain (authors made no statement how measured concentrations compared to  
643 target values). Animals exposed at 30,000 ppm for 3 hours developed a state of marked narcosis during  
644 exposure and died within 2 days. No narcosis was seen after exposure at 10,000 ppm or lower for up to 8  
645 hours. Congestion of the lungs, hyperemia of the surface of the brain and paleness of the liver were seen

646 in guinea pigs that were killed immediately after the exposure at 30,000 ppm for 30 minutes. Nonlethal  
647 effects are summarized in Section 3.2.3.

648 ***Studies with repeated inhalation exposure***

649 Lethal effects reported in the study by Fairley et al. (1934) (described in Section 3.1.1) are  
650 summarized in Table 4. Necropsy of the kidneys showed cortical lesions ranging from patchy swelling to  
651 complete necrosis as the dioxane concentration increased. Hemorrhages and vascular congestion were  
652 also observed. At 10,000 ppm, the lungs showed pulmonary lesions that varied from an acute vascular  
653 congestion to an advanced infiltration of red blood cells and these pulmonary lesions were the cause of  
654 death in these animals. The livers showed changes ranging from vascular congestion to cellular  
655 degeneration as the concentration increased. At lower exposure concentrations, no lung damage from  
656 dioxane exposure was found and the main necropsy findings consisted of kidney and liver lesions.

657 **TABLE 4: EFFECTS AFTER REPEATED INHALATION EXPOSURE OF RATS, MICE, GUINEA**  
658 **PIGS AND RABBITS, adopted from Fairley et al. (1934)**

659 660	Concentration (ppm)	Species; total number of animals	Individual total exposure hours	Effect or procedure
661	10,000	guinea pig; 6	3, 3, 3, 4.5, 4.5, 7.5	died
662	10,000	rat; 3	3, 4.5, 7.5	died
663	10,000	mouse; 3	3, 3, 3	died
664	5000	guinea pig; 6	7.5, 21, 43.5, 94.5, 94.5, 94.5	first two animals removed due to pregnancy (outcome was stillbirth); only one animal died on exposure day 15
665	5000	rat; 3	9, 13.5, 15	died
666	5000	mouse; 3	3, 22.5, 51	died
667	5000	rabbit; 4	16.5, 49.5, 49.5, 49.5	were killed at termination (no explanation for earlier killing time)
668	2000	guinea pig; 4	48, 102, 102, 102	were killed at termination (no explanation for earlier killing time)
669	2000	rat; 6	48, 102, 102, 102, 102, 102	were killed at termination (no explanation for earlier killing times)
670	2000	mouse; 5	102, 102, 102, 102, 102	were killed at termination
671	2000	rabbit; 4	45, 69, 99, 99	the 2 <sup>nd</sup> animal died; others were killed (no explanation for earlier killing times)
672	1000	guinea pig; 3	106.5, 147, 202.5	were killed at termination (no explanation for earlier killing times)

Concentration (ppm)	Species; total number of animals	Individual total exposure hours	Effect or procedure
1000	rat; 3	78, 147, 202.5	were killed at termination (no explanation for earlier killing times)
1000	mouse; 4	12, 106.5, 147, 202.5	were killed at termination (no explanation for earlier killing times)
1000	rabbit; 2	144, 196.5	were killed at termination (no explanation for earlier killing time)

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***Studies with non-inhalation exposure***

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Oral LD<sub>50</sub> values of 4000 mg/kg (not stated if administered pure or as solution; Laug et al., 1939) and 3256 mg/kg (aqueous solution of unstated concentration; Smyth et al., 1941) have been reported.

679

**3.1.4. Rabbits**

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***Studies with repeated inhalation exposure***

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In the study by Fairley et al. (1934) (described in Section 3.1.1), no deaths occurred after several exposures at 5000 ppm for 2x1.5 hours/day. No rabbits were exposed at 10,000 ppm. After killing, animals exposed at 5000 ppm showed serious kidney damage with patchy cell degeneration of the cortical tubules, vascular congestion and inter- and intratubular hemorrhages. The liver showed cell degeneration that varied from cloudy swelling to large areas of complete necrosis. At 2000 or 1000 ppm, the main necropsy findings consisted of kidney and liver lesions.

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***Studies with non-inhalation exposure***

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Oral LD<sub>50</sub> values of about 2100 mg/kg (not stated if administered pure or as solution; Nelson, 1951) and 6500 mg/kg (not stated if administered pure or as solution; Knoefel, 1935) have been reported. De Navasquez (1935) reported minimal lethal doses of 2100 mg/kg for the oral route (groups of 5 rabbits, 1:10 dilution in water, gavage application) and 1600 mg/kg for the intravenous route (groups of 5 rabbits, 1:4 dilution in water).

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**3.1.5. Other Species**

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Wirth and Klimmer (1936) exposed groups of 2 cats at 1200 ppm for 430 minutes, 1800 ppm for 258 minutes, 2400 ppm for 240 minutes or 3100 ppm for 182 minutes using two grades of dioxane (see Section 3.1.2). Marked irritation with salivation and lacrimation was observed at all concentrations. Concentrations, exposure time and effects are summarized in Table 5. Necropsy findings were fatty livers and inflamed respiratory organs and lung edema; no kidney lesions were reported.

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The authors also exposed three male cats at an average of 1400 ppm for about 6.5 hours/day for 14 d. From the 4<sup>th</sup> day to the end of the experiment, the cats seemed sleepy during exposure. Retching and vomiting were observed occasionally. None of the animals died.

702  
703**TABLE 5: EFFECTS IN CATS AFTER SINGLE INHALATION EXPOSURE,  
adopted from Wirth and Klimmer (1936)**704  
705

Concentration (ppm)	Exposure duration (min) to a) pure / b) technical dioxane	Number of animals (sex) exposed	Exposure time (min) until onset of symptom for pure / technical dioxane		Lethality after end of exposure (h)
			loss of equilibrium	prostration	
3100	a) 182 b) 180	a) 2 (m) b) 2 (m, f)	a) 74, 94 b) 55, 70	a) 105, 125 b) 180, 180	a) n.o. <sup>1</sup> , 0.03 b) 35, 8
2400	a) 240 b) 245	a) 2 (m f) b) 2 (f)	a) 173, 165 b) 125, 150	a) 215, 215 b) 245, 240	a) 50, 39 b) 96, 96
1800	a) 258 b) 258	a) 2 (f) b) 2 (m)	a) 150, 150 b) 180, 200	a) 250, n.o. b) n.o., 240	a) 96, 120 b) 120, 120
1200	a) 430 b) 430	a) 2 (f) b) 2 (m)	a) 270, 270 b) n.o., n.o.	a) n.o., n.o. b) n.o., n.o.	a) 96, 240 b) n.o., n.o.

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710

<sup>1</sup> n.o., not observed711  
712

Gross (1943) reported that 21/28 animals (mice, rats, guinea pigs and rabbits) died from an 8-hour exposure at 4000-11,000 ppm and 4/10 animals died after exposure at 37,500 ppm for 3 hours.

713

**TABLE 6: SUMMARY OF ACUTE LETHAL INHALATION DATA IN LABORATORY ANIMALS**

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Species	Concentration (ppm)	Exposure Time	Effect	Reference
rat	saturated vapor (estimated 40,000)	7 h	death in 4/18 animals	BASF AG (1980)
rat	saturated vapor (estimated 40,000)	4 h	death in 6/6 animals	BASF AG (1973)
rat	saturated vapor (estimated 40,000)	3 h	death in 6/6 animals	BASF AG (1973)
rat	saturated vapor (estimated 40,000)	3 h	death in 6/12 animals	BASF AG (1980)
rat	saturated vapor (estimated 40,000)	1 h	no deaths in 12 animals	BASF AG (1980)



	Species	Concentration (ppm)	Exposure Time	Effect	Reference
720	rat	saturated vapor (estimated 40,000)	1 h	no deaths in 12 animals	BASF AG (1973)
721	rat	14,300	4 h	LC <sub>50</sub>	Pozzani et al. (1959)
722	rat	12,800	4 h	LC <sub>50</sub>	Pilipyuk et al. (1977)
723	rat	10,000	2 * 1.5 h /d (same day)	death of 1/3 rats on first day, other animals died on subsequent exposures	Fairley et al. (1934)
724	rat	5000	2 * 1.5 h /d (same day)	no deaths on first day, but all animals died on subsequent exposures	Fairley et al. (1934)
725	mouse	39,000	1 h	4/4 animals died	Wirth and Klimmer (1936)
726	mouse	28,000	1 h	2/4 animals died	Wirth and Klimmer (1936)
727	mouse	25,000	1 h	4/4 animals died	Wirth and Klimmer (1936)
728	mouse	18,000	2 h	LC <sub>50</sub>	Pilipyuk et al. (1977)
729	mouse	17,000	1 h	4/4 animals died	Wirth and Klimmer (1936)
730	mouse	12,500	1 h	4/4 animals died	Wirth and Klimmer (1936)
731	mouse	10,109	2 h	LC <sub>50</sub>	Izmerov et al. (1982)
732	mouse	10,000	2 * 1.5 h /d (same day)	death of 3/3 animals on first exposure day	Fairley et al. (1934)
733	mouse	8300	1 h	2/2 animals died	Wirth and Klimmer (1936)
734	mouse	5000	2 * 1.5 h/d (same day)	death of 1/3 animals on first day, other animals died on subsequent exposures	Fairley et al. (1934)
735	mouse	2800	1 h	no deaths in 6 animals	Wirth and Klimmer (1936)
736	guinea pig	30,000	3 h	death of exposed animals (number not stated)	Yant et al. (1930)

Species	Concentration (ppm)	Exposure Time	Effect	Reference
737 guinea pig	10,000	2 * 1.5 h /d (same day)	no deaths on first day, but death of 6/6 animals on subsequent exposures	Fairley et al. (1934)
738 cat	3100	182 min	4/4 animals died	Wirth and Klimmer (1936)
739 cat	2400	245 min	4/4 animals died	Wirth and Klimmer (1936)
740 cat	1800	258 min	4/4 animals died	Wirth and Klimmer (1936)
741 cat	1200	430 min	2/4 animals died	Wirth and Klimmer (1936)

742

### 743 3.2. Nonlethal Toxicity

744 Experimental data are available for effects of inhalation exposure to dioxane on the central and  
 745 peripheral nervous system, on liver cytotoxicity and on irritative effects. These data are summarized in  
 746 Table 8.

#### 747 3.2.1. Rats

748 Goldberg et al. (1964) (experimental system described in Goldberg et al., 1962) studied the effect  
 749 of dioxane exposure on conditioned pole-climbing avoidance response to a buzzer and an unconditioned  
 750 escape response to a buzzer and an electrical shock. Behavioral experiments were performed in a 1x1x2  
 751 foot plastic chamber with a stainless steel grid floor. A wooden pole with a rough surface is attached to  
 752 the top of the chamber and serves as a safety or escape area. During the training phase which started at  
 753 30-40 days of age, female Carworth Farms Elias rats were placed in the chamber for 15 seconds with no  
 754 stimulus. A series of shocks (100 V pulses of 20 ms, 10 pulses/s) was delivered to the floor for 30  
 755 seconds concurrent with the activation of a buzzer. After several exposures to these associated stimuli, the  
 756 rats learned that the pole is the safety area. If a rat successfully climbed the pole, the stimuli were  
 757 immediately terminated. When the animal consistently manifests the proper escape, the stimuli are  
 758 dissociated and the rat climbs the pole in response to the buzzer alone (conditioned stimulus). An  
 759 avoidance-escape conditioned response is considered to have developed. The response to the shock and  
 760 the buzzer is considered an unconditioned response. After many more exposures to the situation, the rats  
 761 learned to climb the pole when it was first accessible, in the absence of the above stimuli. Positive  
 762 response during the environmental adjustment period is considered to be a secondary conditioned  
 763 response. Rats were trained to respond consistently to the above procedures and develop a secondary  
 764 conditioned response of less than 12 seconds, with conditioned response and unconditioned response of  
 765 less than 2 seconds. With suitable training, about 90 % of all animals manifest these requirements.  
 766 Trained rats were randomized and divided into groups.

767 The testing procedure comprised the following: the rat was placed in the testing chamber for 15  
768 seconds. When the animal climbed the pole (secondary conditioned response), it was placed back on the  
769 grid and the buzzer was activated. An additional successful climb (conditioned response) was followed by  
770 again placing the animal on the floor, this time the unconditioned stimuli (buzzer and shock) were used  
771 and response time measured. Effect measurement was done on a quantal basis, i.e., the percentage of rats  
772 which showed an inhibition of the conditioned response. The authors considered an effect of dioxane to  
773 be evident by abolishment of the secondary conditioned response and an abolishment or prolongation of  
774 the conditioned response and/or unconditioned response time of greater than 6 seconds, with 15 seconds  
775 as the maximum period during which each stimulus was applied. Testing for the unconditioned response  
776 (electrical shock) was only done if an animal manifested a blockage or significant prolongation of the  
777 conditioned response.

778 Eight to 10 rats were used in both control and experimental groups with different chemicals,  
779 including dioxane at 1500, 3000 or 6000 ppm. Rats were exposed 4 hours/day, 5 days/week for 2 weeks.  
780 Rats were exposed in a dynamic 200-l exposure chamber at an airflow of approximately 95 l/min. Vapors  
781 were generated by flowing the dioxane, pumped by a motor-driven syringe assembly, down a vertical,  
782 electrically-heated, spiral Pyrex tube connected to the air inlet of the chamber. Air flows were adjusted so  
783 that the actual vapor concentrations as determined with a Zeiss interferometer were within  $\pm 10\%$  of the  
784 nominal concentrations.

785 Responses were determined on days 1, 2, 3, 4, 5 and 10 before, during and 2 hours after removal  
786 from exposure. At 1500 ppm, only one rat was affected and its responses were not consistent from day to  
787 day. At 3000 ppm, the avoidance reaction (conditioned response) was delayed in 2/8 rats after the first  
788 and in 2-3/8 rats after the subsequent exposures. At 6000 ppm, about 6/8 rats showed a delay of the  
789 avoidance response (conditioned response) after the 1<sup>st</sup> exposure, and 3-8/8 rats were affected in the  
790 subsequent exposures. No effects were found on escape response (unconditioned stimulus) after the first  
791 exposure; an effect was found in 3/8 animals after the 2<sup>nd</sup> exposure to 6000 ppm, but not in the subsequent  
792 exposures (for any of the exposure conditions). Results on the secondary conditioned response were not  
793 reported. At the end of the two weeks, growth rate was significantly reduced in the 6000-ppm group  
794 compared to controls.

795 Drew et al. (1978) exposed male CD1 rats for 4 hours to 1000 or 2000 ppm dioxane or other  
796 organic solvents. The serum enzymes aspartate aminotransferase (glutamate oxalacetate transaminase),  
797 alanine aminotransferase (glutamate pyruvate transaminase), glucose-6-phosphatase and ornithine  
798 carbamyl transferase were measured prior to exposure, immediately after exposure and 24 and 48 hours  
799 after exposure. No effect on glucose-6-phosphatase was found. The activities of ornithine carbamyl  
800 transferase and aspartate aminotransferase were dose-dependently increased (about 2-3-fold) at 24 and 48  
801 hours; the activity of alanine aminotransferase was about 2-fold increased at 2000 ppm for 24 or 48 hours  
802 while it was only marginally increased at 1000 ppm.

803 Frantik et al. (1994) studied the inhibition of propagation and maintenance of the electrically  
804 evoked seizure discharge in rats and mice. Effect-air concentration regressions were determined for 48  
805 common solvents using 4-hour exposures in Wistar rats. The exact exposure concentrations were not  
806 stated. Dynamic 80-liter glass chambers were used for exposure. The authors stated that 16 rats, 4  
807 controls exposed to ambient air and 4 in each concentration group were exposed and measured in one trial  
808 and that at least two such trials were performed with each compound. A short electrical impulse was

809 applied through ear electrodes. Of six different time characteristics recorded, the duration of tonic  
 810 extension of hindlimbs in rats and the velocity of tonic extension in mice were the most sensitive and  
 811 reproducible response measures. The median of individual control values was subtracted from the values  
 812 observed after exposure. Group means of differences were corrected for the difference in the  
 813 simultaneously tested control group and converted to relative values, i.e., to percentage of the arbitrary  
 814 maximum values, which for rats were 8 seconds and for mice 0.5 per second. All data were processed  
 815 using linear regression analysis. The estimate of concentration in air evoking 37 % of the maximum  
 816 possible effect (shortening of the duration of tonic extension of hindlimbs) was 1860 ppm (one-sided 90  
 817 % confidence interval 200 ppm). The slope of the regression was 0.041 %/ppm. The authors suggested  
 818 the EC<sub>10</sub> as a threshold because the lowest effect level which could be proven statistically in most solvents  
 819 was about 10 %. For dioxane, the EC<sub>10</sub> can be calculated as:

$$820 \quad EC_{10, \text{rat}, 4\text{h}} = 1860 \text{ ppm} - 27 \% / 0.041 \% / \text{ppm} = 1200 \text{ ppm}$$

### 821 3.2.2. Mice

822 Frantik et al. (1994) studied the inhibition of propagation and maintenance of the electrically  
 823 evoked seizure discharge in rats and H-strain mice (see Section 3.2.1 for description). Effect-air  
 824 concentration regressions were determined for 48 common solvents using 2-hour exposures in mice. The  
 825 exact exposure concentrations were not stated. The authors stated that 32 mice, 8 controls exposed to  
 826 ambient air and 8 in each concentration group were exposed and measured in one trial and that at least  
 827 two such trials were performed with each compound. A short electrical impulse was applied through ear  
 828 electrodes. The estimate of concentration in air evoking 30 % of the maximum possible effect (reduction  
 829 of the velocity of tonic extension in the hindlimbs was the most sensitive effect) in mice was 2400ppm  
 830 (one-sided 90 % confidence intervall 420 ppm). The slope of the regression was 0.011 %/ppm. The  
 831 authors suggested the EC<sub>10</sub> as a threshold because the lowest effect level which could be proven  
 832 statistically in most solvents was about 10 %. For dioxane, the EC<sub>10</sub> can be calculated as:

$$833 \quad EC_{10, \text{mouse}, 2\text{h}} = 2400 \text{ ppm} - 20 \% / 0.011 \% / \text{ppm} = 580 \text{ ppm}$$

### 834 3.2.3. Guinea pigs

835 Yant et al. (1930) (see study description in Section 3.1.3) exposed an unspecified number of  
 836 guinea pigs at 1000, 2000, 3000, 10,000 or 30,000 ppm and observed the duration of exposure in minutes  
 837 to up to a maximum of 8 hours required to produce nasal irritation, eye irritation, retching movements,  
 838 changes in respiration and narcosis. The results are summarized in Table 7.

839

TABLE 7: NONLETHAL EFFECTS IN GUINEA PIGS FROM THE STUDY OF YANT et al. (1930)					
Type of symptom	Exposure time (min) until onset of symptoms at different concentrations				
	30,000 ppm	10,000 ppm	3000 ppm	2000 ppm	1000 ppm
Nasal irritation, scratching at nose	immediate onset, intensity increased with increasing concentration				

840

841

	Type of symptom	Exposure time (min) until onset of symptoms at different concentrations				
		30,000 ppm	10,000 ppm	3000 ppm	2000 ppm	1000 ppm
842	Eye irritation, squinting, lacrimation	immediate onset, intensity increased with increasing concentration		8 min	5 min	no symptoms (480 min)
843	Retching movements or marked expiratory effort, spasmodic contraction of abdominal wall, head lifted, mouth open	2-10	19-27	not observed until 480		
844						
845						
846	Dyspnea	45-116 min	no symptoms (480 min)			
847	Shallow, rapid respiration	75-123 min	no symptoms (480 min)			
848	Gasping respiration	116 min	no symptoms (480 min)			
849	Shallow, slow respiration	508-540 min	no symptoms (480 min)			
850	Narcosis - fall to sides, remain quiet	87-141 min	no symptoms (480 min)			

	Species	Concentration (ppm)	Exposure Time	Effect	Reference
851					
852					
853	rat	6000	4 h/d, 5 d/w, 2 w	6/8 rats showed an inhibition of a conditioned response after the first exposure; an effect on the unconditioned escape response was only found after the second exposure; growth rate was significantly reduced after 2 w	Goldberg et al., 1964
854	rat	3000	4 h/d, 5 d/w, 2 w	2/8 rats showed an inhibition of a conditioned response after the first exposure; no effect on unconditioned escape response and growth rate	Goldberg et al., 1964
855	rat	2000	4 h	increased serum activity of ornithine carbamyl transferase, aspartate aminotransferase and alanine aminotransferase at 24 and 48 h	Drew et al., 1978
856	rat	1500	4 h/d, 5 d/w, 2 w	no inhibition of a conditioned response after the first exposure	Goldberg et al., 1964
857	rat	1200	4 h	threshold for shortening of the duration of tonic extension of hindlimbs	Frantik et al., 1994
858	rat	1000	4 h	increased serum activity of ornithine carbamyl transferase and aspartate aminotransferase at 24 and 48 h	Drew et al., 1978

	Species	Concentration (ppm)	Exposure Time	Effect	Reference
859	mouse	580	2 h	threshold for reduction of the velocity of tonic extension in the hindlimbs	Frantik et al., 1994
860 861	Guinea pig	30,000	variable	immediate nasal irritation, nose scratching, eye irritation, squinting, lacrimation; respiratory distress after 2-10 min; dyspnea after 45-116 min; narcosis after 87-141 min; gasping respiration after 116 min; shallow, slow respiration after 508-540 min	Yant et al., 1930
862 863	Guinea pig	10,000	variable	immediate nasal irritation, nose scratching, eye irritation, squinting, lacrimation; respiratory distress after 19-27 min; no additional effects	Yant et al., 1930
864 865	Guinea pig	3000	variable	immediate nasal irritation, nose scratching; eye irritation, squinting, lacrimation after 8 min; no other effects	Yant et al., 1930
866 867	Guinea pig	2000	variable	immediate nasal irritation, nose scratching; eye irritation, squinting, lacrimation after 5 min; no other effects	Yant et al., 1930
868 869	Guinea pig	1000	variable	immediate nasal irritation, nose scratching; no eye irritation; no other effects	Yant et al., 1930

### 870 3.3. Developmental/Reproductive Toxicity

871 No studies documenting developmental or reproductive effects of 1,4-dioxane after inhalation  
872 exposure were identified (ECB, 1999; Medline and Toxline databases searched in 2/2002; ATSDR,  
873 2004).

#### 874 *Studies with non-inhalation exposure*

875 Giavini et al. (1985) exposed groups of 17-20 pregnant Sprague-Dawley rats by gavage to 0,  
876 0.25, 0.5 or 1.0 ml dioxane/kg b.w. in water during gestational days 6-15 (corresponding to 0.26, 0.52 and  
877 1.03 mg/kg/day). The animals were killed on gestational day 21. At the highest dose, females showed a  
878 slightly smaller weight gain during treatment, which continued during the rest of gestation. Food  
879 consumption in these females was decreased during treatment. The average weight of live fetuses at the  
880 highest dose was significantly less than controls. Number of implantations and number of fetuses alive  
881 was slightly decreased and preimplantation loss was slightly increased at 1.03 mg/kg/d. At this dose also  
882 a delay of sternum ossification was found. There was no indication for teratogenicity. The NOEL for  
883 maternal and embryotoxicity was established at 0.52 mg/kg/day.

### 884 3.4. Genotoxicity

885 A large number of genotoxicity tests have been done and these are reviewed in ATSDR, 2004;  
886 ECB (1999), IARC (1999), DeRosa et al. (1996), ECETOC (1983) and NIOSH (1977). All mutation tests

887 carried out in *Salmonella typhimurium* were negative both with and without metabolic activation (Morita  
888 and Hayashi, 1998; Nestmann et al., 1984; Haworth et al., 1983; Stott et al., 1981; BASF, 1979a; 1979b;  
889 1979c). A HGPRT gene mutation assay in Chinese hamster ovary (CHO) cells (BASF, 1991) as well as a  
890 TK gene mutation assay in mouse lymphoma L5178 tk<sup>±</sup> cells (Morita and Hayashi, 1998) gave negative  
891 results with and without metabolic activation. Also negative results were observed in a test for  
892 chromosomal aberrations in CHO cells both with and without metabolic activation (Morita and Hayashi,  
893 1998; Galloway et al., 1987) and an in vitro micronucleus assay in CHO cells (Morita and Hayashi,  
894 1998). Tests for sister chromatid exchanges in CHO cells were positive without metabolic activation but  
895 negative with metabolic activation in one study (Galloway et al., 1987) and negative with and without  
896 activation in another study (Morita and Hayashi, 1998). Dioxane was negative in an UDS test using  
897 primary isolated rat hepatocytes (Goldsworthy et al., 1991). A cell transformation test with Balb 3T3 cells  
898 without metabolic activation was positive (Sheu et al., 1988).

899 Several in vivo micronucleus tests were performed. In C57BL/6 mice, oral administration of  
900 dioxane resulted in both positive (Mirkova, 1994) and negative (Tinwell and Ashby, 1994) results in bone  
901 marrow cells. Negative results in bone marrow cells were obtained after oral administration in BALB/c  
902 (Mirkova, 1994) and CBA (Tinwell and Ashby, 1994) mice as well as after intraperitoneal injection in  
903 B6C3F<sub>1</sub> mice (McFee et al., 1994). Negative results were also reported for peripheral blood reticulocytes  
904 after oral administration or intraperitoneal injection in CD-1 mice (Morita and Hayashi, 1998; Morita,  
905 1994). However, statistically significant dose-dependent increases in micronucleated hepatocyte  
906 frequency was observed in male CD-1 mouse liver after single oral treatment at 2000 mg/kg or more  
907 (Morita and Hayashi, 1998).

908 In a study by Goldsworthy et al. (1991) neither a single 1000 mg/kg administration nor treatment  
909 with 1 % dioxane in drinking water for 2 weeks or with 2 % for 1 week resulted in unscheduled DNA  
910 synthesis in primary rat hepatocytes. Negative results for unscheduled DNA synthesis were also found in  
911 rat nasal respiratory epithelial cells after treatment with 1 % in drinking water for 8 days or after the same  
912 treatment plus an additional gavage dose of up to 1000 mg/kg. Kitchin and Brown (1990; 1994) reported  
913 that dioxane induced significant single strand breaks in rat liver DNA in the alkaline elution test after a  
914 gavage dose of 2550 mg/kg, but not at 840 mg/kg. Sina et al. (1983) reported DNA single strand breaks in  
915 an alkaline elution test in vitro when rat hepatocytes were exposed at cytotoxic dioxane concentrations  
916 (Sina et al., 1983).

### 917 3.5. Carcinogenicity

#### 918 *Studies with repeated inhalation exposure*

919 Torkelson et al. (1974) exposed 288 male and 288 female Wistar rats at 111 ppm dioxane for 7  
920 hours/day, 5 days/week for a total of 2 years. Control groups of 192 rats/sex were used. Dioxane  
921 concentration in the exposure chamber was measured by infrared spectrometric analysis. The authors  
922 stated that no adverse effects were noted with respect to appearance, eye and nasal irritation, respiratory  
923 distress, demeanor, growth, mortality, hematological and clinical chemistry studies, organ weights or  
924 gross and microscopic pathological examination. Upon gross and microscopic examination, no dioxane  
925 characteristic nasal and liver tumors, as observed after oral administration, were seen. It is however not  
926 clear from the text whether or not the nasal cavity was adequately examined. The incidence of tumors  
927 observed in other organs and tissues appeared to be unrelated to exposure. The only difference from the  
928 controls was an increase in lymphoreticular cell sarcomas in males (18 % (37/206) vs. 12 % (18/150)) and

929 in mammary gland adenomas in females (13 % (29/271) vs. 8 % (11/139)), which were not statistically  
930 significant.

931 ***Studies with non-inhalation exposure***

932 Kociba et al. (1974) exposed groups of 60 male and 60 female Sherman rats to drinking water  
933 containing 0, 0.01, 0.1 or 1 % dioxane for 716 days. The corresponding body doses for males/females  
934 were 0, 9.6/19, 94/148 and 1015/1599 mg/kg/day. The high dose group showed reduced body weights  
935 throughout the study and increased mortality during the first 4 months. Tumor incidences, combined for  
936 both sexes, were 1/106, 0/110, 1/106 and 10/66, respectively, for hepatocellular carcinomas and 0/106,  
937 0/110, 0/106 and 3/66 for nasal carcinomas. The increased incidences in the high dose group were  
938 statistically significant compared to the control group.

939 NCI (1978) administered 0, 0.5 or 1.0 % dioxane in drinking water to groups of 35 male and 35  
940 female Osborne-Mendel rats (corresponding body doses for males/females were 0, 240/350 and 530/640  
941 mg/kg/day) and to groups of 50 male and 50 female B6C3F<sub>1</sub> mice (corresponding body doses for  
942 males/females were 0, 720/380 and 830/860 mg/kg/day) for 110 weeks (rats) or 90 weeks (mice). In rats,  
943 squamous cell carcinomas in the nasal turbinates occurred in a dose-related fashion at incidences of 0/33  
944 controls, 12/33 low-dose and 16/34 high-dose males and 0/34, 10/35 and 8/35 females, respectively. The  
945 incidences of hepatocellular adenomas were significantly increased in female rats, with incidences of  
946 0/31, 10/33 and 11/32, respectively. In mice, hepatocellular carcinomas were observed at incidences of  
947 2/49 control males, 18/50 low-dose males and 24/47 high-dose males and in 0/50, 12/48 and 29/37  
948 females, respectively. The incidences of hepatocellular carcinomas or adenomas for rats were 8/49, 19/50  
949 and 28/47, respectively, in males and 0/50, 21/48 and 35/37, respectively, in females. The incidences  
950 were statistically significant for dose-related trend and for direct comparison with controls.

951 In the JBRC (1998) study, groups of Fischer 344/DuCrj rats (50/sex/dose level) received  
952 1,4-dioxane in the drinking water at levels of 200, 1,000, or 5,000 ppm for 2 years (0, 16, 81, and 398  
953 mg/kg/day for males; 0, 21, 103, and 514 mg/kg/day for females). Survival was significantly decreased in  
954 the high-dose groups due to liver and nasal tumors. Twenty-two of 50 high-dose male rats survived  
955 compared to 40/50 in controls; 24/50 of high-dose females survived compared to 38/50 in controls. In  
956 high-dose males (398 mg/kg/day), the incidence of nasal cavity tumors was 7/50 (p<0.01) compared to  
957 none in the other groups; in high-dose females (514 mg/kg/day), the incidence was 8/50 (p<0.01)  
958 compared to none in the other groups. The nasal tumors included squamous cell carcinomas, sarcomas,  
959 rhabdomyosarcoma, and esthesioneuroepithelioma. The incidence of combined hepatocellular adenoma or  
960 carcinoma in males was 0/50, 2/50, 4/49, and 33/50 (p<0.01) in the control, low-, mid-, and high-dose  
961 male rats; the corresponding incidences in females were 1/50, 0/50, 5/50, and 40/50 (p<0.01). High-dose  
962 males also had an increased incidence of mesothelioma of the peritoneum (28/50 compared to 2/50 in  
963 controls). High-dose females had an increased incidence of mammary gland adenomas (16/50 compared  
964 to 6/50 in controls). In the same study groups of Crj:BDF<sub>1</sub> mice (50/sex/dose level) received 1,4-dioxane  
965 in the drinking water at levels of 500, 2,000, or 8,000 ppm for 2 years (0, 66, 251, and 768 mg/kg/day for  
966 males; 0, 77, 323, and 1,066 mg/kg/day for females). Early mortality occurred in female mice, and this  
967 was attributed to liver tumors. Survival rates at 104 weeks in females were 29/50, 29/50/ 17/50, and 5/50  
968 in control, low-, mid-, and high-dose groups, respectively. A significant and dose-related increase in the  
969 incidence of liver adenomas and carcinomas of the liver was found in female mice. The incidences of  
970 combined adenomas and carcinomas in control, low-, mid-, and high-dose females were 4/50, 34/50,  
971 41/40, and 46/50 (p<0.01 for all treated groups). High-dose males (768 mg/kg/day) also showed a



972 significant increased incidence of hepatocellular carcinomas; the combined incidences of adenomas and  
973 carcinomas, as the dose increased, were 21/50 (controls), 31/50, 37/50, and 39/50 ( $p < 0.01$ ). There were  
974 no nasal cavity tumors in male or female mice.

975 Several other studies reporting liver tumors in rats and guinea pigs, nasal cavity tumors in rats  
976 and gall bladder tumors in guinea pigs after oral administration have been reviewed in Stickney et al.  
977 (2003), ECB (1999), IARC (1999), DeRosa et al. (1996), ECETOC (1983) and NIOSH (1977).

978 Perone et al. (1976) treated C3H/HeJ Agouti mice by topical applications of 0.05 ml of various  
979 grades of dioxane 3 times/week for 78 weeks. Compared with ethanol-treated controls, no evidence of  
980 increased hepatic or skin tumors was found.

981 In two studies, dioxane showed tumor promoting activity. Increased number of skin, lung and  
982 kidney tumors were found in Swiss-Webster mice after topical treatment with 50  $\mu\text{g}$   
983 dimethylbenzanthracene as an initiator followed by 0.2 ml dioxane in acetone for 3 times/week for 60  
984 weeks (King et al., 1973). In another tumor promotion study (Lundberg et al., 1987), increased number of  
985 liver foci was observed in Sprague-Dawley rats that had received 30 mg/kg diethylnitrosoamine by  
986 intraperitoneal injection one day after partial hepatectomy, followed by administration of 100 or 1000 mg  
987 dioxane/kg/day, 5 days/week for 7 weeks.

### 988 3.6. Summary

989 Acute toxic effects in animals are mainly central nervous system depression, kidney and liver  
990 damage, peripheral nervous system effects as well as irritative effects. At lethal concentrations, narcosis  
991 has been observed in rats (BASF AG, 1980) and guinea pigs (Yant et al., 1930). Pozzani et al. (1959)  
992 reported a 4-hour  $\text{LC}_{50}$  for dioxane of 14,300 ppm in rats. A similar  $\text{LC}_{50}$  value of 12,800 ppm for 4 hours  
993 was reported by Pilipyuk et al. (1977). For exposure to saturated dioxane atmosphere (estimated  
994 concentration 40,000 ppm), BASF AG (1973; 1980) reported no deaths of rats for a 1-hour exposure,  
995 while in the two experiments 100 % and 50 %, respectively, of the animals died after 3 hours of exposure.  
996 At necropsy, acute heart dilatation, hemorrhagic erosions of the stomach mucosa and acute lung dilatation  
997 were observed. Fairley et al. (1934) reported death of 1/3 rats after a single exposure day comprising two  
998 1.5-hour exposures to 10,000 ppm. At 5000 ppm rats died after 3-5 consecutive exposure days. For mice,  
999 2-hour  $\text{LC}_{50}$  values of 18,000 ppm (Pilipyuk et al., 1977) and 10,109 ppm (Izmerov et al., 1982) have  
1000 been reported.

1001 Goldberg et al. (1964) studied the effect of dioxane on avoidance-escape behavior of rats. Rats  
1002 were exposed 4 hours/day, 5 days/week for 2 weeks. At 6000 ppm, about 6/8 rats showed a delay of the  
1003 avoidance response already after the 1<sup>st</sup> exposure, and 3-8 of 8 rats were affected in the subsequent  
1004 exposures. No effects were found on escape response; an effect on escape response was only found in 3/8  
1005 animals after the 3<sup>rd</sup> exposure to 6000 ppm. Drew et al. (1978) reported 2-3-fold increased serum  
1006 activities of liver enzymes (ornithine carbamyl transferase, aspartate aminotransferase and alanine  
1007 aminotransferase) in rats after a single 4-hour exposure to 1000 or 2000 ppm dioxane. Frantik et al.  
1008 (1994) studied the inhibition of propagation and maintenance of the electrically evoked seizure discharge  
1009 in rats and mice. Of six different time characteristics recorded, the duration of tonic extension of  
1010 hindlimbs in rats and the velocity of tonic extension in mice were the most sensitive and reproducible

1011 response measures. The authors suggested the EC<sub>10</sub> as the effect threshold, which was 1200 ppm for 4  
1012 hours in rats and 580 ppm for 2 hours in mice.

1013 Giavini et al. (1985) found no indication of teratogenic or fetotoxic effects in rats dosed with up  
1014 to 517 mg/kg/day by gavage on gestational days 6-15.

1015 Dioxane did not induce gene mutations in *Salmonella typhimurium* (Nestmann et al., 1984;  
1016 Haworth et al., 1983; Stott et al., 1981; BASF, 1979a; 1979b; 1979c). In Chinese hamster ovary cells, it  
1017 did not induce HGPRT gene mutations or chromosomal aberrations, although it did induce a slight  
1018 increase in sister chromatid exchange in the absence of metabolic activation (BASF, 1991; Galloway et  
1019 al., 1987). It has been reported to cause morphological transformation of BALB/c 3T3 mouse cells (Sheu  
1020 et al., 1988). Oral administration of high doses to rats caused DNA strand breaks in liver cells (Kitchin  
1021 and Brown, 1990; 1994). No induction of unscheduled DNA synthesis was observed in rat hepatocytes at  
1022 up to 2 % dioxane in drinking water (Goldsworthy et al., 1991). Of six studies on the induction of bone-  
1023 marrow micronuclei, five were negative (Tinwell and Ashby, 1994; Morita, 1994; Mirkova, 1994; McFee  
1024 et al., 1994), while one was positive (Mirkova, 1994).

1025 When administered orally, dioxane produced malignant tumors of the nasal cavity and liver in  
1026 rats, liver tumors in mice, and tumors of the liver and gallbladder in guinea pigs (Kociba et al., 1974;  
1027 NCI, 1978; DeRosa et al., 1996; JBRC, 1998; ECB, 1999; IARC, 1999). It was also active as a promotor  
1028 in a two-stage skin carcinogenesis study in mice (King et al., 1973). A lifetime bioassay exposing rats at  
1029 111 ppm for 7 hours/day, 5 days/week found no evidence for carcinogenic effects (Torkelson et al.,  
1030 1974).

#### 1031 **4. SPECIAL CONSIDERATIONS**

##### 1032 **4.1. Metabolism and Disposition**

1033 In a pharmacokinetic study (Young et al., 1977), four male volunteers were exposed to 50 ppm  
1034 dioxane vapor for 6 hours (see study description in Section 2.2.1). The concentration of dioxane in the  
1035 plasma reached 1 mg/l at 1 hour, 4.5 mg/l at 1.5 hours, 9 mg/l at 2 hours and 10 mg/l at 3 hours, after  
1036 which a plateau was reached during the rest of the exposure period. The plasma concentration of the  
1037 metabolite 2-hydroxyethoxyacetic acid was about 2.5 mg/l at 5 hours, 4 mg/l at 6 hours and peaked at 8  
1038 mg/l at about 7 hours, i.e. one hour after termination of exposure. Of the total dioxane dose, >99% was  
1039 excreted in the urine as 2-hydroxyethoxyacetic acid. The half-life for elimination of dioxane from the  
1040 plasma was 59 ± 7 minutes. The calculated total absorbed dose was 5.4 mg/kg. The data indicated a first-  
1041 order, one-compartment model that did not become saturated at 50 ppm.

1042 Assuming a body weight of 70 kg for man and an inhalation rate of 20 m<sup>3</sup>/d (WHO, 1999), the  
1043 total inhaled amount of dioxane during the 6-hour exposure can be calculated as:

1044  $50 \text{ ppm} * 3.6 \text{ mg/m}^3 / \text{ppm} * 20 \text{ m}^3 * 6 \text{ h}/24 \text{ h} * 1/70 \text{ kg} = 12.9 \text{ mg/kg}$

1045 Thus, the lung retention was about:  $5.4 \text{ mg/kg} / 12.9 \text{ mg/kg} = 43 \%$

1046 Although exhalation of dioxane was not determined in this experiment, an estimation for the lung  
1047 retention can be obtained from this data because experiments in rats indicated that a significant  
1048 elimination of dioxane by exhalation occurred only at much higher doses (Young et al., 1978a; 1978b).

1049 After head-only exposure of 4 male Sprague-Dawley rats at 50 ppm for 6 hours, an absorbed dose  
1050 of 71.9 mg/kg was estimated, based on the amounts of dioxane and 2-hydroxyethoxyacetic acid excreted  
1051 in the urine over 48 hours (Young et al., 1978a; 1978b). Over 99.9 % of the total excreted amount was 2-  
1052 hydroxyethoxyacetic. The concentration of dioxane in the plasma decreased in a first-order kinetic  
1053 fashion from 7.3 mg/l at the end of exposure to nondetectable levels at 11 hours (5 hours after exposure);  
1054 the half-life was one hour.

1055 Rhesus monkeys receiving radiolabelled dioxane in either methanol or a skin lotion onto the  
1056 unoccluded, clipped ventral skin of the forearm for 24 hours, showed a dermal penetration of 2.3 % of the  
1057 applied dose in methanol and 3.4 % of the applied dose in lotion, as determined from the urinary  
1058 excretion of radioactivity over five days (Marzulli et al., 1981).

1059 Dermal penetration was determined in diffusion cell studies on human skin (Bronaugh, 1982): up  
1060 to 3.2 % of applied dioxane (dissolved in a cosmetic lotion) was absorbed under occlusion for 3.5 hours,  
1061 whereas only 0.3 % absorption occurred under non-occluded conditions; the authors concluded the  
1062 difference to be most likely accounted for by the high volatility of dioxane.

1063 Young et al. (1978a; 1978b) administered radioactive labelled dioxane in water by gavage to rats  
1064 at single doses of 10, 100 or 1000 mg/kg or administered multiple doses of 10 or 1000 mg/kg/day for 17  
1065 days. Data on the excretion of radioactivity in the urine and of <sup>14</sup>C-dioxane and <sup>14</sup>CO<sub>2</sub> in the expired air  
1066 indicated that after a single oral dose, gastrointestinal absorption was virtually complete within 24 hours  
1067 of dosing with 10 mg/kg and within 72 hours of dosing with 100 or 1000 mg/kg. After a single oral dose,  
1068 99 % of the 10-mg/kg dose was excreted over 24 hours, and 86 % of the 100-mg/kg dose and 76 % of the  
1069 1000-mg/kg dose were excreted over 72 hours. The percentage of expired dioxane was 0.43 % of the 10-  
1070 mg/kg dose, 5 % of the 100 mg/kg dose and 25 % of the 1000-mg/kg dose. Excretion of carbon dioxide in  
1071 the air (2-3 %) or of radioactivity in the feces (0.95-2 %) collected over 24 hours was not dose-dependent.  
1072 Virtually complete gastrointestinal absorption of dioxane also occurred after repeated dosing. In urine  
1073 collected over 480 hours, 99 % and 82 % of the 10- and 1000-mg/kg doses, respectively, were excreted.  
1074 In the expired air, the percentage of the dose excreted as dioxane was 1 % at 10 mg/kg/d and 8.9 % at  
1075 1000 mg/kg/d; the percentage of the dose expired as carbon dioxide was 4 % and 7 %, respectively. After  
1076 intravenous injection with 3, 10, 30, 100 or 1000 mg/kg, elimination from plasma was linear with a half-  
1077 life of 1.1 hours at the low doses of 3 and 10 mg/kg. At higher doses, elimination from plasma became  
1078 progressively slower and biphasic with increasing dose. Metabolic clearances decreased from 2.82 ml/min  
1079 at 10 mg/kg to 0.17 mg/min at 1000 mg/kg, indicating saturation of metabolic oxidation of dioxane.

1080 The major metabolite of 1,4-dioxane is 2-hydroxyethoxyacetic acid both in humans (Young et al.,  
1081 1977) and rats (Young et al., 1978a; 1978b). However, a controversy exists whether dioxane is  
1082 metabolized directly to 2-hydroxyethoxyacetic acid, which can cyclize to the 1,4-dioxane-2-one (Braun  
1083 and Young, 1977), or whether dioxane is metabolized to 1,4-dioxane-2-one, which is readily converted to  
1084 2-hydroxyethoxyacetic acid (Woo et al., 1977, 1978). The uncertainty is the result of the fact that the two  
1085 candidate chemical structures can readily interconvert under the chemical conditions used in the analysis:  
1086 at low pH, 2-hydroxyethoxyacetic acid is detected as the major metabolite, while at high pH, 2-  
1087 hydroxyethoxyacetic acid will be converted to 1,4-dioxane-2-one, which is then identified as the major  
1088 metabolite (ECB, 1999).

1089 In male Sprague-Dawley rats that received 3000 mg/kg <sup>14</sup>C-dioxane by intraperitoneal injection,  
1090 the urinary secretion of 1,4-dioxane-2-one was about 300 mg metabolite/kg over 24 hours. Pretreatment  
1091 of rats with phenobarbital or the polychlorinated biphenyl Aroclor 1254, but not methylcholanthrene,  
1092 prior to dioxane injection significantly increased amounts of the urinary metabolite excreted. In contrast,  
1093 cytochrome P-450 inhibitor 2,4-dichloro-6-phenylphenoxyethylamine decreased the metabolite excretion,  
1094 suggesting that the metabolism of dioxane is mediated by cytochrome P-450 enzymes (Woo et al., 1977;  
1095 1978). In unpublished studies, Young and Nolan (Young et al., 1978b) have shown that dioxane can  
1096 induce its own metabolism after repeated oral doses of 1000 mg/kg, but not of 10 mg/kg. In these  
1097 experiments the high dose led to an increased liver/body weight ratio and to an increased activity in vitro  
1098 of liver aniline hydroxylase and aminopyrine N-demethylase, suggesting that cytochrome P450 2E1  
1099 catalyzes an oxidation step in the dioxane metabolic pathway. In line with an induction of metabolism is  
1100 the observation that repeated daily administration of 1000 mg/kg resulted in a marked decrease of  
1101 excretion of dioxane in the expired air (from 25.25 to 8.86 %) and an increase of excretion as <sup>14</sup>CO<sub>2</sub> (from  
1102 2.39 to 6.95 %) (Young et al., 1978a; 1978b).

#### 1103 **4.2. Mechanism of Toxicity**

1104 Death of laboratory animals after acute inhalation was probably due to the narcotic effect of  
1105 dioxane (BASF AG, 1980) as well as to acute vascular congestion and lung hemorrhage (Fairley et al.,  
1106 1934). When death occurred after repeated inhalation exposure, the cause of death was kidney and liver  
1107 damage in rats, mice, Guinea pigs and rabbits (Fairley et al., 1934; David, 1964). In reported human  
1108 fatalities, which occurred after repeated inhalation exposure at the workplace, death was also caused  
1109 primarily by liver and kidney necrosis (Barber, 1934; Johnstone 1959).

1110 With regard to its carcinogenic effects, the mode of action of dioxane is not yet clear. Several  
1111 experiments investigated hepatocyte cell proliferation:

1112 Goldsworthy et al. (1991) investigated the hepatic and nasal epithelial labelling index 24 or 48  
1113 hours after a single gavage dose of 1000 mg/kg or a 2-week administration of 1 % dioxane in the drinking  
1114 water (corresponding to about 1000 mg/kg/day) in male Fisher-344 rats. The percentage of cells in S-  
1115 phase was determined by administration of <sup>3</sup>H-thymidine (single injection or osmotic pump) and  
1116 subsequent quantitative histoaudiography. In the liver, there was a twofold increase in the labelling index  
1117 after 2 weeks of exposure. No such effect was seen after the single dose.

1118 Stott et al. (1981) administered dioxane in drinking water at approximately 1000 mg/kg/day for  
1119 11 weeks to male Sprague-Dawley rats, a dose at which some increase in liver weight was found.  
1120 Hepatocytes were isolated by collagenase perfusion and labeled in vitro with <sup>3</sup>H-thymidine. Labelling was  
1121 increased at 1000 mg/kg/day, but not at 10 mg/kg/day. With the same in vitro labelling technique, it was  
1122 shown that a 1-3 day exposure to 2 % dioxane in drinking water (corresponding to about 2000  
1123 mg/kg/day) caused no increases in S-phases, whereas after 8 days and longer exposure a pronounced  
1124 increase in S-phase was visible.

1125 Miyagawa et al. (1999) found an increased replicative DNA synthesis in male Fisher-344 rats  
1126 after oral gavage doses of 1000, 1500 or 2000 mg/kg 24 hours, but not 48 hours, after administration  
1127 using in vitro labelling with <sup>3</sup>H-thymidine after collagenase liver perfusion. In liver specimens prepared  
1128 after the 1000, 1500 or 2000 mg/kg treatments no histopathological changes were found.

1129 On the one hand side, several authors discuss liver cytotoxicity of dioxane at high concentrations  
1130 as the most likely mechanism of dioxane carcinogenicity (Stickney et al. 2003; ECB, 1999; BUA, 1992;  
1131 1993). The cytotoxic effects and organ damage via increased cell turnover may pave the way for liver  
1132 carcinogenesis. Since dioxane (and 1,4-dioxane-2-ol) has a protein-denaturing effect, one would expect  
1133 cytostatic as well as proliferating effects, the latter being due to replacement of necrotic cells (AGS,  
1134 2001). The non-linear toxicokinetics of dioxane in rats could be in line with this explanation. Saturation  
1135 of oxidation of dioxane to 2-hydroxyethoxyacetic acid and 1,4-dioxane-2-one at doses between 10 and  
1136 1000 mg/kg (Young et al. 1978a; 1978b) could result in the accumulation of dioxane and possibly of its  
1137 metabolites, such as 1,4-dioxane-2-one and 2-hydroxyethoxy-acetaldehyde, and the induction of  
1138 cytotoxic effects. Increased hepatocyte cell proliferation has been reported in rats after a single oral dose  
1139 of 1000 mg/kg or higher (Miyagawa et al., 1999), while in other studies (Stott et al., 1981; Goldsworthy  
1140 et al., 1991) repeated oral doses of 2000 mg/kg were necessary to induce increases in hepatocyte  
1141 proliferation. Consistent with this effect level, inhalation exposure of rats at 1000 ppm for 4 hours,  
1142 corresponding to a body dose of about 630 mg/kg, resulted in increased serum activities of liver enzymes  
1143 (Drew et al., 1978).

1144 On the other hand side, a genotoxic mechanism cannot be excluded at high doses, at which  
1145 accumulation of dioxane and its metabolites can occur: increased micronuclei formation in rat hepatocytes  
1146 was found after a single oral dose of 2000 mg/kg (Morita and Hayashi, 1998); an increased rate of DNA  
1147 strand breaks was found in rats after a single oral dose of 2550 mg/kg, but not at 840 mg/kg (Kitchin and  
1148 Brown, 1990; 1994); moreover, dioxane induced sister chromatid exchanges in CHO cells (Galloway et  
1149 al., 1987) and transformation of Balb 3T3 cells (Sheu et al., 1988) in vitro.

1150 The occurrence of nasal tumors in the drinking water studies cannot be explained easily, because  
1151 no nasal tumors were found in rats exposed to dioxane vapor for 2 years (Torkelson et al., 1974).  
1152 Goldsworthy et al. (1991) considered it possible that the manner in which the water was given in the  
1153 cancer study resulted in the animals having inhaled or sniffed the dioxane-containing water into their  
1154 nasal passages and that sniffing would result in deposition of the inspired material along the dorsal meatus  
1155 where the tumors were observed. Reitz et al. (1990) mentioned experiments in which rats were given a  
1156 dye in the drinking water. Upon examination, significant amounts of dye were present in the turbinates,  
1157 demonstrating that large amounts of inspired water may be deposited in the nose. It was hypothesized that  
1158 the nasal lesions are probably irrelevant to man because the nasal tumors in rats were probably a result of  
1159 repeated direct contact of the nasal mucosa with dioxane-containing drinking water (Reitz et al. 1990;  
1160 Stickney et al., 2003).

#### 1161 **4.3. Other Relevant Information**

##### 1162 **4.3.1. Pharmacokinetic Modelling**

1163 Reitz et al. (1990) developed a physiologically-based pharmacokinetic model to describe tissue  
1164 levels of dioxane and its metabolites in rats, mice and humans, in order to relate human exposure levels to  
1165 the positive oral carcinogenicity studies and the negative inhalation carcinogenicity study. The model was  
1166 formulated to contain six distinct tissue compartments: lung, fat, liver, venous blood, slowly perfused  
1167 tissues and rapidly perfused tissues. Metabolism was described as a saturable process using Michaelis-  
1168 Menten kinetics. The model was formulated for four different routes of administration: inhalation,  
1169 intravenous injection, bolus gavage and consumption via drinking water. The model predictions were  
1170 compared to the data of Young et al. (1977; 1978a; 1978b).

1171 Once the model had been developed, two dose surrogates were calculated:

1172 1) average area under the liver dioxane concentration time curve per day (AUC-liver): drinking  
1173 water exposures associated with development of liver tumors in rats (0.5-1.0 % dioxane; NCI, 1978;  
1174 Kociba et al., 1974) were predicted to give high AUC-liver values of 17,900-64,200 mg\*h/l. Similarly,  
1175 predictions of AUC-liver values for mice at dose levels associated with liver tumor formation (0.5-1.0 %  
1176 dioxane; NCI, 1978) gave results of 15,200-43,400 mg\*h/l. No observed effect levels for liver tumors of  
1177 0.1 % dioxane in drinking water (Kociba et al., 1974) and 111 ppm dioxane in air (Torkelson et al., 1974)  
1178 corresponded to AUC-liver values of 257 and 109 mg\*h/l, respectively. The predicted AUC-liver value  
1179 for humans at a continuous exposure concentration of 10 ppm dioxane in air was 7.36 mg\*h/l.

1180 2) average area under the metabolite (2-hydroxyethoxyacetic acid) concentration time curve for  
1181 the whole body per day (AUC-metabolite): drinking water exposures associated with development of  
1182 liver tumors in rats and mice (0.5-1.0 % dioxane; NCI, 1978; Kociba et al., 1974) were predicted to AUC-  
1183 metabolite values of approximately 1500 mg\*h/l. No observed effect levels for liver tumors of 0.1 %  
1184 dioxane in drinking water (Kociba et al., 1974) and 111 ppm dioxane in air (Torkelson et al., 1974)  
1185 corresponded to AUC-metabolite values of 470 and 197 mg\*h/l, respectively. The predicted AUC-  
1186 metabolite value for humans at a continuous exposure concentration of 10 ppm dioxane in air was 13.5  
1187 mg\*h/l. The authors pointed at the much smaller ratio of AUC-metabolite values for effect and no-effect  
1188 levels compared with the ratio for AUC-liver. The AUC-metabolite values were almost identical for the  
1189 0.5 and 1.0 % dioxane exposure groups in rats and mice. While the liver tumor frequency in female rats  
1190 was similar at the two dose levels, the liver tumor frequencies were higher after 1 % dioxane exposures  
1191 in both, male and female mice (NCI, 1974).

#### 1192 **4.3.2. Interspecies Variability**

1193 Lethal concentrations were comparable in rats, mice and Guinea pigs. Only one study in cats was  
1194 available, which suggested a somewhat higher susceptibility. The concentrations at which half of the  
1195 animals died after a single exposure were:

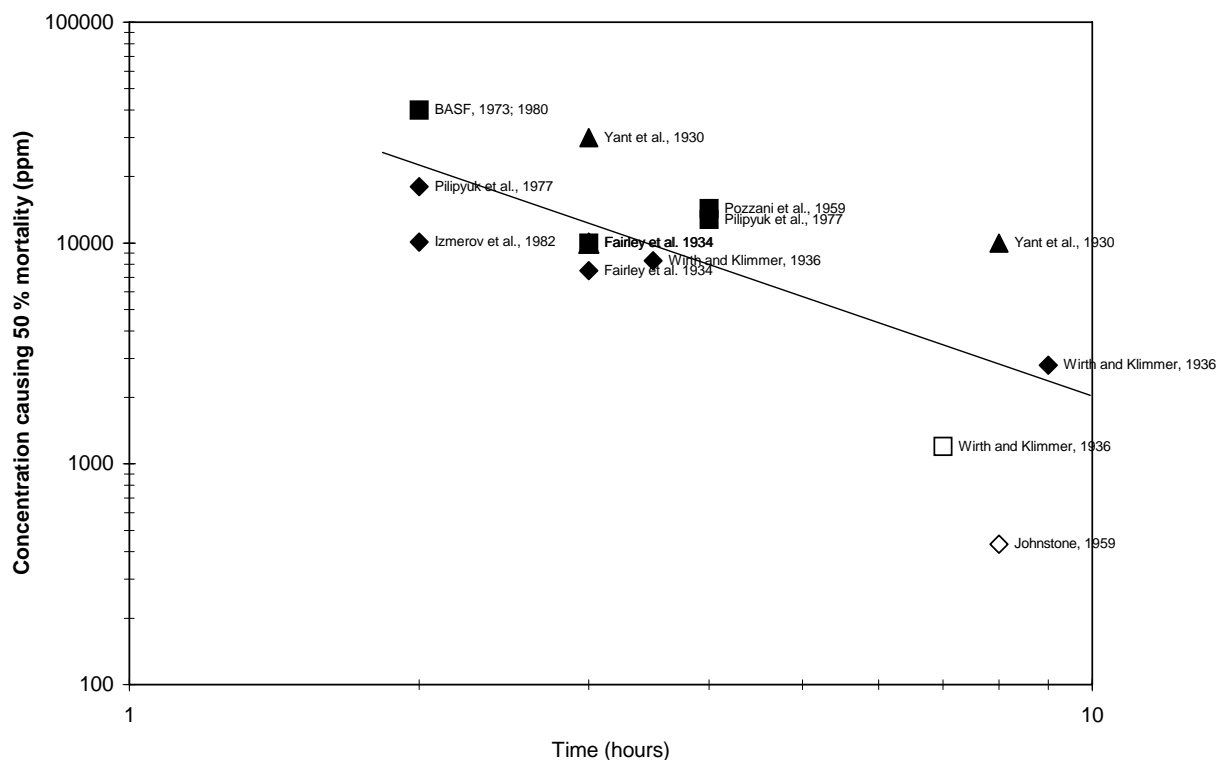
- 1196 – for rats about 10,000 ppm for 2x1.5 hours (Fairley et al. 1934), 14,300 ppm for 4 hours  
1197 (Pozzani et al., 1959), 12,800 ppm for 4 hours (Pilipyuk et al., 1977) and 40,000 ppm for  
1198 1-3 hours (BASF AG, 1973; 1980);
- 1199 – for mice 5000-10,000 ppm for 2x1.5 hours (Fairley et al. 1934), between 2800 ppm for 8-  
1200 10 hours and 8300 for 3.5 hours (Wirth and Klimmer, 1936), 18000 ppm for 2 hours  
1201 (Pilipyuk et al., 1977) and 10,109 ppm for 2 hours (Izmerov et al., 1982);
- 1202 – for Guinea pigs between 10,000 ppm for 8 hours and 30,000 ppm for 3 hours (Yant et al.,  
1203 1930) and about 10,000 ppm for 2x1.5 hours (Fairley et al. 1934);
- 1204 – for rabbits >5000 ppm for 2x1.5 hours (Fairley et al. 1934);
- 1205 – for cats about 1200 ppm for about 7 hours (Wirth and Klimmer, 1936).

1206 The data are displayed in Figure 1. For comparison, the data point for the human case reported by  
1207 Johnstone (1959) is also displayed. Taking into account that in this case dermal exposure occurred in  
1208 addition to inhalation exposure and that the worker was exposed repeatedly before falling ill, this case of  
1209 human exposure is in fairly good agreement with the animal data.

1210 Similar pathological findings, comprising especially liver and kidney necrosis, were reported for  
1211 fatalities after repeated inhalation exposure at the workplace (Barber, 1934; Johnstone, 1959) and after  
1212 repeated inhalation and oral exposure of laboratory animals (Fairley et al. 1934; David, 1964).

1213           The metabolism in humans and rats is very similar, involving the same metabolic steps and  
1214 intermediate metabolites (Young et al., 1977; 1978a; 1978b).

1215           Taken together, the interspecies variability for acute lethal effects is limited and an interspecies  
1216 uncertainty factor of 3 is considered adequate.



1217 **FIGURE 1: SPECIES COMPARISON OF LETHAL INHALATION EXPOSURE**

1218 For data points for which a range was given for the exposure concentration or the exposure time, the  
 1219 arithmetic mean of this range was used. Symbols indicate the following species: rat, filled square; mice,  
 1220 filled diamond; guinea pig, filled triangle; cat, open square, and human, open diamond. The line indicates  
 1221 the regression line calculated from all animal data.

1222 **4.3.3. Intraspecies Variability**

1223 Several studies that evaluated irritative effects of dioxane in humans, did not report marked  
 1224 interindividual differences (Fairley et al. 1934; Yant et al., 1930; Wirth and Klimmer, 1936, Young et al.,  
 1225 1977). However, since occurrence and severity of irritative symptoms were described for the groups of  
 1226 exposed volunteers, but not for each individual, no definitive conclusions can be drawn from these  
 1227 reports.

1228 No studies were located regarding cardiovascular, gastrointestinal, musculoskeletal, hepatic, or  
 1229 renal effects in humans after nonlethal exposure to 1,4-dioxane. Case reports on fatalities reported severe  
 1230 liver and kidney damage. No data on interindividual differences with regard to systemic effects are  
 1231 available. Some interindividual variability in CNS effects was reported by Yant et al. (1930) when 3 or 5  
 1232 subjects reported vertigo at 5500 ppm for 1 minute.



1233 Due to the lack of data there was no basis for reducing the default intraspecies uncertainty factor.

## 1234 **5. RATIONALE AND PROPOSED AEGL-1**

### 1235 **5.1. Human Data Relevant to AEGL-1**

1236 Young et al. (1977) exposed 4 healthy male subjects at 50 ppm for 6 hours in the dynamic  
1237 chamber. Eye irritation was a frequent complaint throughout the exposure. The perception of odor  
1238 diminished with time; two of the subjects could not perceive the odor after 4 and 5 hours in the chamber,  
1239 while the other two subjects could still detect the odor at the end of the exposure period. In the study by  
1240 Silverman et al. (1946), subjects exposed at 300 ppm for 15 minutes reported irritation to eyes, nose and  
1241 throat; they did not find the odor objectionable. Wirth and Klimmer (1936) reported that exposure to 280  
1242 ppm (time period not specified) led to a slight mucous membrane irritation in exposed subjects. At 1400  
1243 ppm the irritation was quite distinct.

1244 Hellman and Small (1974) reported an odor detection threshold of 1.8 ppm and an odor  
1245 recognition threshold of 5.7 ppm. AIHA (1983) published a geometric mean odor detection threshold of  
1246 12 ppm and a geometric mean odor recognition threshold of 22 ppm.

### 1247 **5.2. Animal Data Relevant to AEGL-1**

1248 Yant et al. (1930) reported no eye irritation, squinting and lacrimation in Guinea pigs exposed to  
1249 1000 ppm for up to 6 hours, while at 2000 ppm or higher these symptoms were observed within 8 minutes  
1250 or less.

1251 Frantik et al. (1994) studied the inhibition of propagation and maintenance of the electrically  
1252 evoked seizure discharge in rats and mice. Of six different time characteristics recorded, the duration of  
1253 tonic extension of hindlimbs in rats and the velocity of tonic extension in mice were the most sensitive  
1254 and reproducible response measures. The authors suggested the EC<sub>10</sub> as the effect threshold, which was  
1255 1200 ppm for 4 hours in rats and 580 ppm for 2 hours in mice.

1256 Drew et al. (1978) reported 2-3-fold increased serum activities of liver enzymes (ornithine  
1257 carbamyl transferase, aspartate aminotransferase and alanine aminotransferase) in rats after a single 4-  
1258 hour exposure to 1000 or 2000 ppm dioxane.

### 1259 **5.3. Derivation of AEGL-1**

1260 For the derivation of AEGL-1 values, irritation was considered the most relevant endpoint. As  
1261 key study, the study of Young et al. (1977) was chosen, because this was the only adequately reported  
1262 and analytically controlled study available for this endpoint. Young et al. (1977) reported eye irritation at  
1263 50 ppm throughout the 6-hour exposure period. Since this was a pharmacokinetic study, no emphasis was  
1264 put on reporting of symptoms and the authors did not define the severity level of the eye irritation. The  
1265 irritation was nevertheless considered to be below the notable discomfort level as described in the AEGL-  
1266 1 definition because the authors (Young et al., 1977) considered 50 ppm as an adequate workplace  
1267 standard and a level of 50 ppm has been used as a workplace standard in the past.

1268 Although no definitive study on the mechanism of eye irritation exists, it is likely that it involves  
 1269 water extraction from the eyes caused by dioxane, which is also compatible the lack of skin irritation by  
 1270 dioxane (ECB, 1999).

1271 Volunteers exposed at 300 ppm complained of irritation to eyes, nose and throat (Silverman et al.,  
 1272 1946). At a similar concentration of 280 ppm, Wirth and Klimmer (1936) found slight mucous membrane  
 1273 irritation in humans. More distinct irritation was observed at 1400-1600 ppm and severe irritation  
 1274 occurred at 2800-5500 ppm (Wirth and Klimmer, 1936; Yant et al., 1930). The shallow increase of  
 1275 irritative effects with concentration also supports the interpretation that the effects found at 50 ppm in the  
 1276 study of Young et al. (1977) can be considered as mild.

1277 Since the study by Young et al. (1977) reported eye irritation throughout the whole exposure  
 1278 period of 6 hours and did not report an increase of the effect with time, it was considered adequate to use  
 1279 the same exposure concentration for all relevant time points. Using a constant value for AEGL-1 is also  
 1280 supported by the observation of Yant et al. (1930) who reported no eye irritation, squinting and  
 1281 lacrimation in Guinea pigs exposed at 1000 ppm for up to 6 hours, while at 2000 ppm or higher these  
 1282 symptoms were observed within 8 minutes or less. The calculations of exposure concentrations scaled to  
 1283 AEGL-1 time points are shown in Appendix A.

1284 A total uncertainty factor of 3 was used because for local effects, the toxicokinetic differences do  
 1285 not vary considerably within and between species.

1286 The values are listed in the table below.

1287

TABLE 9: AEGL-1 VALUES FOR 1,4-DIOXANE					
AEGL Level	10 minutes	30 minutes	1 hour	4 hours	8 hours
AEGL-1	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )

1288

1289

1290 A level of distinct odor awareness (LOA) for 1,4-dioxane of 1.7 ppm was derived on the basis of  
 1291 the odor detection threshold from the study of Hellman and Small (1974) (see Appendix B for LOA  
 1292 derivation). The LOA represents the concentration above which it is predicted that more than half of the  
 1293 exposed population will experience at least a distinct odor intensity, about 10 % of the population will  
 1294 experience a strong odor intensity. The LOA should help chemical emergency responders in assessing the  
 1295 public awareness of the exposure due to odor perception.

## 1296 6. RATIONALE AND PROPOSED AEGL-2

### 1297 6.1. Human Data Relevant to AEGL-2

1298 Yant et al. (1930) reported eye irritation, resulting in blinking, squinting and lacrimation, a  
 1299 burning sensation in nose and throat in 5 subjects exposed at 5500 ppm for 1 minute. Three of the  
 1300 subjects noticed a slight vertigo which disappeared quickly after leaving the vapor-air mixture. Exposure  
 1301 at 1600 ppm for 10 minutes resulted in an immediate slight burning of the eyes accompanied by

1302 lacrimation, a slight irritation of the nose and throat and an alcohol-like odor, which decreased in intensity  
1303 with continued exposure. Lacrimation and nasal irritation persisted throughout the test. No vertigo was  
1304 noted at 1600 ppm.

1305 Wirth and Klimmer (1936) reported that 5 subjects exposed for an unspecified period of time at  
1306 2800 ppm complained of very strong initial irritation and slight pressure in the chest; at 1400 ppm,  
1307 irritation was quite distinct with slight stinging in the nose and scratchiness and dryness in the throat; at  
1308 280 ppm, slight mucous membrane irritation was reported. Fairley et al. (1934) reported that subjects  
1309 exposed at 2000 ppm for 3 minutes experienced an initial strong odor, which diminished rapidly, but no  
1310 strong irritation effects, such as lacrimation or cough.

## 1311 **6.2. Animal Data Relevant to AEGL-2**

1312 Drew et al. (1978) reported 2-3fold increased serum activities of liver enzymes (ornithine  
1313 carbamyl transferase, aspartate aminotransferase and alanine aminotransferase) in rats after a single 4-  
1314 hour exposure at 1000 or 2000 ppm dioxane.

1315 Goldberg et al. (1964) studied the effect of dioxane on avoidance-escape behavior (pole climbing  
1316 in response to buzzer to avoid electrical shock) of rats. Rats were exposed 4 hours/day, 5 days/week for 2  
1317 weeks. At 6000 ppm, about 6/8 rats showed a delay of the avoidance response already after the 1<sup>st</sup>  
1318 exposure, and 3-8 of 8 rats were affected in the subsequent exposures. No effects were found on escape  
1319 response; an effect on escape response was only found in 3/8 animals after the 3<sup>rd</sup> exposure at 6000 ppm.

## 1320 **6.3. Derivation of AEGL-2**

1321 For the derivation of AEGL-2 values effects on the central nervous system and effects on liver  
1322 were considered relevant.

1323 Like other solvents, dioxane can induce narcosis at very high concentrations. Yant et al.(1930)  
1324 reported that 30,000 ppm induced narcosis in guinea pigs after 1-2 hours exposure, while at 10,000 ppm  
1325 eye and nose irritation and labored breathing, but no narcosis, were observed. In mice, 8300 ppm for 3.5  
1326 hours caused narcosis (Wirth and Klimmer, 1936). Goldberg et al. (1964) reported that 6000 ppm for 4  
1327 hours affected the performance of rats in an conditioned response test (pole climbing in response to  
1328 buzzer to avoid electrical shock), but did not affect the escape response to an electrical shock. The  
1329 exposure level of 6000 ppm for 4 hours was considered a NOEL for central nervous system depression,  
1330 while higher concentrations could impair the ability to escape.

1331 A total uncertainty factor of 30 was used. The interspecies factor was reduced to 3 because the  
1332 toxicodynamic differences between species were considered limited for CNS depression and because  
1333 application of the default factor would have lowered the AEGL-2 values to a level that humans are known  
1334 to tolerate without adverse effects (Young et al., 1977). An intraspecies factor of 10 was applied.

1335 Time scaling using the equation  $C^n \times t = k$  was carried out to derive exposure duration-specific  
1336 values. Due to lack of a definitive data set, a default value for n of 3 was used in the exponential function  
1337 for extrapolation from the experimental period (4 hours) to shorter exposure periods and a default value  
1338 for n of 1 was used for extrapolation to longer exposure periods. Time extrapolation was continued to the

1339 10-minute period because even at higher concentrations of 1600 ppm for 10 minutes (Yant et al., 1930) or  
 1340 1400 ppm for 5 minutes (Wirth and Klimmer, 1936) exposed subjects did not experience more severe  
 1341 effects than moderate eye, nose and throat irritation. The calculations of exposure concentrations scaled to  
 1342 AEGL-2 time points are shown in Appendix A.

1343 The endpoint of hepatotoxicity was also considered relevant because liver necrosis occurred in  
 1344 cases of fatal dioxane exposure at the workplace and repeated cytotoxic effects on the liver has been  
 1345 suggested as the mechanism of the carcinogenic effect of dioxane. As shown in the following, derivation  
 1346 of AEGL-2 values on the basis of hepatotoxicity results in identical AEGL-2 values as those derived for  
 1347 central nervous system effects. Drew et al. (1978) reported a 2-3-fold increase in serum activities of liver  
 1348 enzymes in rats after exposure to 1000 or 2000 ppm for 4 hours. The release of liver enzymes into the  
 1349 blood is a sign of cytotoxic liver damage. This effect is, however, normally transient in nature. A 2-3-fold  
 1350 increase in liver enzymes was considered a weak response because liver damage by chemicals, viruses or  
 1351 tumors can easily increase aminotransferase levels by 10- to 100-fold in rats and humans (Hayes et al.,  
 1352 1994). At a higher concentration of 5000 ppm for 2x1.5 hours/day, all rats died after several days from  
 1353 severe liver and kidney damage (Fairley et al., 1934; see Section 3.1.1). Therefore, exposure at 2000 ppm  
 1354 for 4 hours is considered a NOEL for AEGL-2 effects in rats and is used as the basis for AEGL-2  
 1355 derivation.

1356 A total uncertainty factor of 10 was used. An interspecies uncertainty factor of 1 was applied  
 1357 because metabolism in humans and rats is very similar, involving the same metabolic steps and  
 1358 intermediate metabolites (see Section 4.3.2) and because application of a total uncertainty factor of 30  
 1359 would reduce the AEGL-2 level to an exposure concentration of 17 ppm for 8 hours and 33 ppm for 4  
 1360 hours, which humans are known to tolerate without adverse effect (pharmacokinetic study exposing  
 1361 subjects to 50 ppm for 6 hours; Young et al., 1977). An intraspecies factor of 10 was applied.

1362 Time scaling using the equation  $C^n \times t = k$  was carried out to derive exposure duration-specific  
 1363 values as explained above. The calculations of exposure concentrations scaled to AEGL-2 time points are  
 1364 shown in Appendix A.

1365 The derived values are considered adequate with respect to the carcinogenicity assessment (see  
 1366 Appendix C). Assuming a body weight of 70 kg, a ventilation rate of 20 m<sup>3</sup>/d (WHO, 1999), and an  
 1367 absorption rate of 43 % (Young et al., 1977), the AEGL-2 values correspond to total body doses between  
 1368 1.8 mg/kg for the 10-minute period and 14 mg/kg for the 8-hour period:

1369 body dose = exposure conc. (mg/m<sup>3</sup>) \* absorption rate \* ventilation rate \* 1/body weight  
 1370 body dose (8 h) = 360 mg/m<sup>3</sup> \* 0.43 \* 20 m<sup>3</sup> \* 8 h/24 h \* 1/70 kg = 14 mg/kg  
 1371 body dose (10 min) = 2100 mg/m<sup>3</sup> \* 0.43 \* 20 m<sup>3</sup> \* 0.167 h/24 h \* 1/70 kg = 1.8 mg/kg

1372 This dose level is below that associated with metabolic saturation or proliferative effects on the liver,  
 1373 which has been implicated in dioxane carcinogenicity (see Section 4.2).

1374 The AEGL-2 values are listed in the table below.

1375

TABLE 10: AEGL-2 VALUES FOR 1,4-DIOXANE					
AEGL Level	10 minutes	30 minutes	1 hour	4 hours	8 hours
1376					

1377	AEGL-2	580 ppm (2100 mg/m <sup>3</sup> )	400 ppm (1400 mg/m <sup>3</sup> )	320 ppm (1200 mg/m <sup>3</sup> )	200 ppm (720 mg/m <sup>3</sup> )	100 ppm (360 mg/m <sup>3</sup> )
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1378 **7. RATIONALE AND PROPOSED AEGL-3**

1379 **7.1. Human Data Relevant to AEGL-3**

1380 Barber (1934) described 5 fatalities after repeated exposure to unknown concentrations of  
 1381 dioxane at the workplace. The workers developed nausea and vomiting, described as "stomach trouble",  
 1382 followed after 2-3 days by oliguria and anuria. About 3-7 days after the first symptoms, coma developed,  
 1383 followed by death. Pathological findings included enlarged pale livers, swollen hemorrhagic kidneys, and  
 1384 edematous lungs and brains. Microscopic examinations revealed centrilobular liver necrosis, almost  
 1385 symmetrical necrosis of the outer renal cortex and hemorrhages around the glomeruli.

1386 Johnstone (1959) reported a similar case of a man who worked near to an open container of  
 1387 dioxane. Later measurements of the atmosphere showed a dioxane concentrations between 208 and 650  
 1388 ppm (plus additional dermal exposure). After 6 days on work, the man became hospitalized with severe  
 1389 epigastric pain. The patient developed oliguria, became comatose on the 6<sup>th</sup> day and died one day later.  
 1390 Upon postmortem examination, the liver showed uniformly severe centrilobular necrosis and the kidneys  
 1391 showed cortex necrosis with extensive interstitial hemorrhage.

1392 **7.2. Animal Data Relevant to AEGL-3**

1393 Pozzani et al. (1959) reported a 4-hour LC<sub>50</sub> for dioxane of 14300 ppm in rats. A similar LC<sub>50</sub>  
 1394 value of 12,800 ppm for 4 hours was reported by Pilipyuk et al. (1977). For exposure to saturated dioxane  
 1395 atmosphere (estimated concentration 40,000 ppm), BASF AG (1973; 1980) reported no deaths of rats for  
 1396 a 1-hour exposure, while in the two experiments 100 % and 50 %, respectively, of the animals died after 3  
 1397 hours of exposure. At necropsy, acute heart dilatation, hemorrhagic erosions of the stomach mucosa and  
 1398 acute lung dilatation were observed. Fairley et al. (1934) reported death of 1/3 rats after a single exposure  
 1399 day comprising two 1.5-hour exposures to 10,000 ppm. At 5000 ppm rats died after 3-5 consecutive  
 1400 exposure days.

1401 For mice, LC<sub>50</sub> values of 18,000 ppm (Pilipyuk et al., 1977) and 10,109 ppm (Izmerov et al.,  
 1402 1982) have been reported.

1403 **7.3. Derivation of AEGL-3**

1404 LC<sub>50</sub> values in rats were considered most relevant for the derivation of the AEGL-3 values. No  
 1405 acute inhalation toxicity study that followed today's standards and guidelines was available for dioxane.  
 1406 The derivation was based on the 4-hour LC<sub>50</sub> of 14,300 ppm in rats reported by Pozzani et al. (1959).  
 1407 Although this study did not use the most sensitive species (cats), it was used as key study because it was  
 1408 the only study that was adequately described and because study details were far better provided in this  
 1409 study than in the study by Pilipyuk et al. (1977). The LC<sub>50</sub> reported in the key study is supported by other  
 1410 studies in rats (Pilipyuk et al., 1977; BASF AG; 1980; 1973).

1411 For extrapolation from the LC<sub>50</sub> value to the threshold for lethality, a factor of 3 was used. This  
 1412 factor was considered adequate because available data indicate a very steep dose-response curve for  
 1413 lethality after inhalation exposure: a) Pilipyuk et al. (1977) reported a factor of 1.3 between the LC<sub>84</sub> and  
 1414 the LC<sub>16</sub> (LC<sub>16</sub> = 11,100 ppm and LC<sub>84</sub> = 14,500 ppm); b) at 40,000 ppm, BASF AG (1973; 1980)  
 1415 reported no deaths after exposure for 1 hour, while in two experiments 50 and 100 %, respectively, of the  
 1416 rats died after a 3-hour exposure; and c) Yant (1930) reported death of all guinea pigs after 3-hour  
 1417 exposure at 30,000 ppm, while no lethality occurred after 10,000 ppm for 8 hours.

1418 Time scaling using the equation  $C^n \times t = k$  was carried out to derive exposure duration-specific  
 1419 values. Due to lack of a definitive data set, a default for n of 3 was used in the exponential function for  
 1420 extrapolation from the experimental period (4 hours) to shorter exposure periods and a default for n of 1  
 1421 was used for extrapolation to longer exposure periods. For the 10-minute AEGL-3 the 30-minute value  
 1422 was applied because the derivation of AEGL values was based on a long experimental exposure period  
 1423 and no supporting studies using short exposure periods were available for characterizing the  
 1424 concentration-time-response relationship. Moreover, considerable uncertainty exists as to the  
 1425 concentration of dioxane in air at which cytotoxic effects occur in the nasal mucosa, which probably  
 1426 contributes to the mechanism leading to carcinogenic effects of dioxane. The calculations of exposure  
 1427 concentrations scaled to AEGL-3 time points are shown in Appendix A.

1428 A total uncertainty factor of 10 was used. An interspecies uncertainty factor of 1 was applied  
 1429 because metabolism in humans and rats is very similar, involving the same metabolic steps and  
 1430 intermediate metabolites (see Section 4.3.2) and because a higher uncertainty factor would have resulted  
 1431 in AEGL-3 values of 480 ppm for 10 and 30 minutes, which contrasts with the observation that exposure  
 1432 of human subjects to 1600 ppm for 10 minutes (Yant et al., 1930) resulted in moderate irritation, but not  
 1433 in more severe effects. An intraspecies factor of 10 was applied.

1434 The values are listed in the table below.

1435

TABLE 11: AEGL-3 VALUES FOR 1,4-DIOXANE					
AEGL Level	10 minutes	30 minutes	1 hour	4 hours	8 hours
AEGL-3	950 ppm (3400 mg/m <sup>3</sup> )	950 ppm (3400 mg/m <sup>3</sup> )	760 ppm (2700 mg/m <sup>3</sup> )	480 ppm (1700 mg/m <sup>3</sup> )	240 ppm (860 mg/m <sup>3</sup> )

1436

1437

1438 Discussion of reported lethal human exposures: while in the study of Barber (1934) no  
 1439 (estimation of) exposure concentrations was reported, Johnstone (1959) found dioxane concentrations  
 1440 between 208 and 650 ppm in measurements performed after the death of a worker.

1441 The equivalent body dose for an inhalation exposure of a man (assuming a body weight of 70 kg  
 1442 and a 8-hour workshift inhaled air volume of 10 m<sup>3</sup>) to 208-650 ppm dioxane for an 8-hour workshift can  
 1443 be calculated as:

1444 resorbed dose (inh.) = (208 to 650) ppm \* 3.6 mg/m<sup>3</sup>/ppm \* 20 m<sup>3</sup>/d \* 8 h/ 24 h \* 0.43 \* 1/70 kg

1445 resorbed dose (inh.) = 31 to 96 mg/kg

1446 using an resorption rate of 43 % (Young et al., 1977) and assuming a body weight of 70 kg and a  
 1447 ventilation rate of 20 m<sup>3</sup>/d (WHO, 1999).

1448 The dermal exposure is more difficult to estimate. It is assumed that a maximum of 6 g dioxane  
 1449 remained on the hands from each use of dioxane to remove glue from hands and working table and that  
 1450 this procedure was done between 4-16 times per workshift. The skin absorption is assumed to be between  
 1451 the value of about 3 % measured for monkeys and humans (Marzulli et al., 1981; Bronaugh, 1982) and a  
 1452 10-fold higher value due to skin defatting and skin damage from repeated solvent contact. Thus, a  
 1453 absorbed dermal dose of  
 1454 absorbed dose (dermal) = 6000 mg \* (0.03 to 0.30) \* (4 to 16) / 70 kg  
 1455 absorbed dose (dermal) = 10 to 410 mg/kg  
 1456 In conclusion, it is likely that the dermal exposure contributed significantly to the total dioxane exposure,  
 1457 which was estimated between 41 and 506 mg/kg.

1458 **8. SUMMARY OF PROPOSED AEGLs**  
 1459 **8.1. AEGL Values and Toxicity Endpoints**

1460 The derived AEGL values for various levels of effects and durations of exposure are summarized  
 1461 in Table 12. AEGL-1 were based on a pharmacokinetic study in humans in which eye irritation occurred  
 1462 at 50 ppm throughout the 6-hour exposure period (Young et al., 1977). AEGL-2 values were based on a  
 1463 study in rats in which exposure to 6000 ppm for 4 hours did not affect the ability to escape (Goldberg et  
 1464 al., 1964) and on a study in which exposure to 2000 ppm for 4 hours caused an increased serum activities  
 1465 of liver enzymes (Drew et al., 1978). A 4-hour LC<sub>50</sub> value of 14,300 ppm (Pozzani et al., 1959), which is  
 1466 supported by another acute lethality study (Pilipyuk et al., 1977), was used for AEGL-3 derivation.

1467

TABLE 12: SUMMARY/RELATIONSHIP OF PROPOSED AEGL VALUES <sup>a</sup>					
Classification	10-Minute	30-Minute	1-Hour	4-Hour	8-Hour
AEGL-1 (Nondisabling)	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )	17 ppm (60 mg/m <sup>3</sup> )
AEGL-2 (Disabling)	580 ppm (2100 mg/m <sup>3</sup> )	400 ppm (1400 mg/m <sup>3</sup> )	320 ppm (1200 mg/m <sup>3</sup> )	200 ppm (720 mg/m <sup>3</sup> )	100 ppm (360 mg/m <sup>3</sup> )
AEGL-3 (Lethal)	950 ppm (3400 mg/m <sup>3</sup> )	950 ppm (3400 mg/m <sup>3</sup> )	760 ppm (2700 mg/m <sup>3</sup> )	480 ppm (1700 mg/m <sup>3</sup> )	240 ppm (860 mg/m <sup>3</sup> )

1473

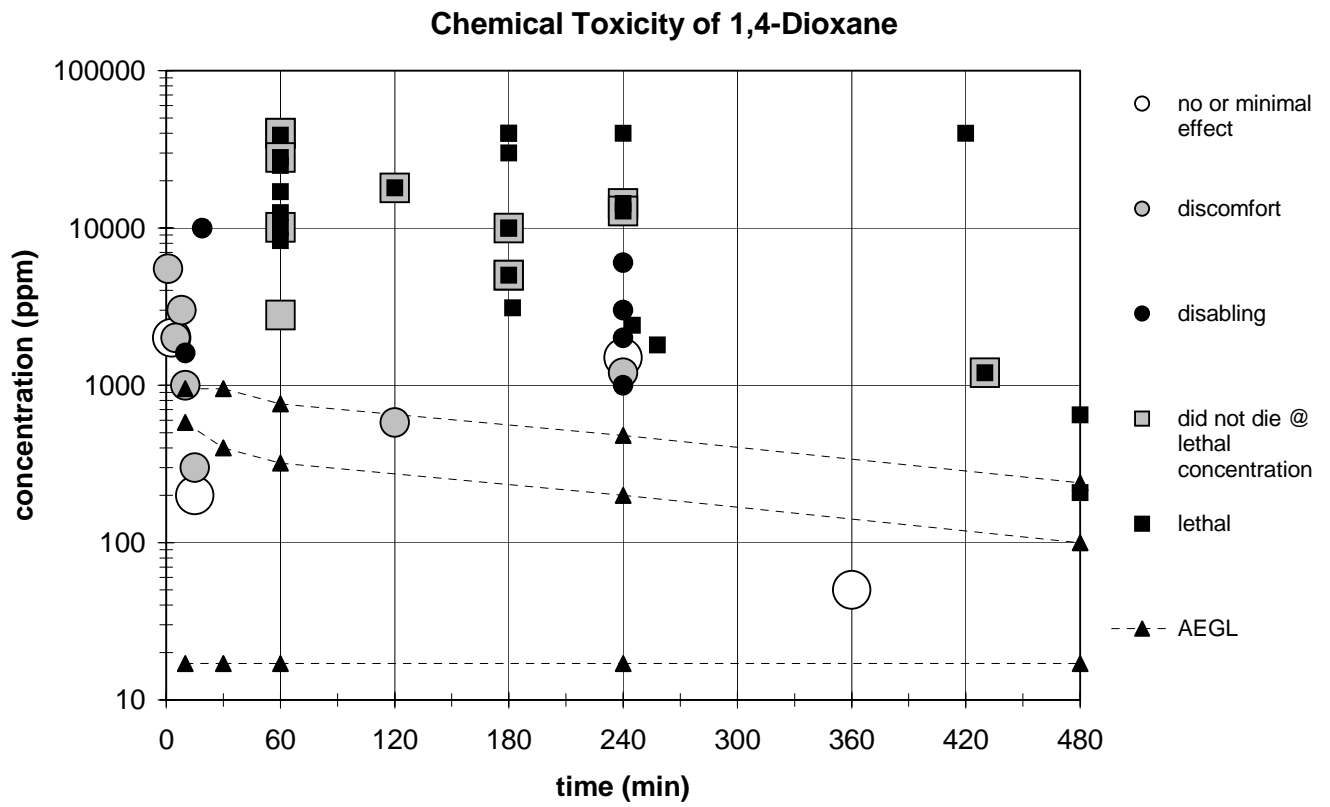
1474

1475 <sup>a</sup> Cutaneous absorption may occur; direct skin contact with the liquid should be avoided.

1476 All inhalation data are summarized in Figure 2 below. The data were classified into severity  
 1477 categories chosen to fit into definitions of the AEGL level health effects. The category severity  
 1478 definitions are "No effect"; "Discomfort"; "Disabling"; "Lethal"; "Did not die at a lethal concentration"  
 1479 (at an experimental concentration in which some of the animals died and some did not, this label refers to  
 1480 the animals which did not die) and "AEGL". Note that the AEGL values are designated as a triangle  
 1481 without an indication to their level. The AEGL-3 is higher than the AEGL-2, which is higher than the  
 1482 AEGL-1.

1483                   Note: Please note that the two ‘lethality points’ at 208 and 650 ppm for 480 minutes, which seem  
1484 to be in conflict with the derived AEGL-2 and -3 values, represent the estimated exposure range for the  
1485 case of lethal outcome of a repeated exposure at the workplace with additional dermal exposure  
1486 (Johnstone, 1959; cf. discussion in Section 7.3).





1487 **FIGURE 2: CATEGORICAL REPRESENTATION OF ALL DIOXANE INHALATION DATA**

1488 **8.2. Comparison with Other Standards and Criteria**

1489 Other standards and guidance levels for workplace and community exposures are listed in Table  
1490 13.

1491 **TABLE 13. EXTANT STANDARDS AND GUIDELINES FOR 1,4-DIOXANE**

Guideline	Exposure Duration				
	10 minutes	30 minutes	1 hour	4 hours	8 hours
AEGL-1	17 ppm	17 ppm	17 ppm	17 ppm	17 ppm
AEGL-2	580 ppm	400 ppm	320 ppm	300 ppm	100 ppm
AEGL-3	950 ppm	950 ppm	760 ppm	480 ppm	240 ppm
PEL-TWA (OSHA) <sup>a</sup>					100 ppm
IDLH (NIOSH) <sup>b</sup>		2000 ppm			
REL-TWA (NIOSH) <sup>c</sup>		1ppm [30-min ceiling]			
TLV-TWA (ACGIH) <sup>d</sup>					25 ppm
MAK (Germany) <sup>e</sup>					20 ppm
MAK Spitzen- begrenzung (Germany) <sup>f</sup>	40 ppm [for 15 min]				
MAC (The Netherlands) <sup>g</sup>	24 ppm [for 15 min]				12 ppm

1509 <sup>a</sup> **OSHA PEL-TWA (Occupational Health and Safety Administration, Permissible Exposure Limits - Time**  
1510 **Weighted Average)** (OSHA, 1993), is defined analogous to the ACGIH-TLV-TWA, but is for  
1511 exposures of no more than 10 hours/day, 40 hours/week.

1512 <sup>b</sup> **IDLH (Immediately Dangerous to Life and Health, National Institute of Occupational Safety and Health)**  
1513 (NIOSH, 1996), is based on acute inhalation toxicity data in animals (Wirth and Klimmer, 1936; Pilipyuk et  
1514 al., 1977; Yant et al., 1930).

1515 <sup>c</sup> **NIOSH REL-TWA (National Institute of Occupational Safety and Health, Recommended Exposure Limits -**  
1516 **Time Weighted Average)** (NIOSH, 1977), is defined analogous to the ACGIH-TLV-TWA. The value was  
1517 based on the belief that dioxane can cause tumors in exposed workers and on the belief that information  
1518 allowing the derivation of a safe exposure limit was not available. Thus, the limit was set at the lowest  
1519 concentration reliably measurable over a short sampling period, which, according to NIOSH, was 1 ppm,  
1520 based on 30-minute sampling at a sampling rate of 1 l/min. In the past, NIOSH has subscribed to a  
1521 carcinogen policy which called for "no detectable exposure levels for proven carcinogenic substances".  
1522 Because of advances in science and in approaches to risk assessment and risk management, NIOSH has

1523 adopted a more inclusive policy (see <http://www.cdc.gov/niosh/npg/nengapdx.html>). NIOSH recommended  
 1524 exposure limits (RELs) will be based on risk evaluations using human or animal health effects data, and on  
 1525 an assessment of what levels can be feasibly achieved by engineering controls and measured by analytical  
 1526 techniques. To the extent feasible, NIOSH will project not only a no-effect exposure, but also exposure  
 1527 levels at which there may be residual risks.

1528 <sup>d</sup> **ACGIH TLV-TWA (American Conference of Governmental Industrial Hygienists, Threshold Limit Value -**  
 1529 **Time Weighted Average)** (ACGIH, 1997). The time-weighted average concentration for a normal 8-hour  
 1530 workday and a 40-hour workweek, to which nearly all workers may be repeatedly exposed, day after day,  
 1531 without adverse effect.

1532 <sup>e</sup> **MAK (Maximale Arbeitsplatzkonzentration [Maximum Workplace Concentration], Deutsche Forschungs-**  
 1533 **gemeinschaft [German Research Association], Germany)** (Henschler, 1976/77; Greim, 1996; 1998;  
 1534 2000), is defined analogous to the ACGIH-TLV-TWA. The MAK values is based on eye irritation at 50  
 1535 ppm (Young et al., 1977)

1536 <sup>f</sup> **MAK Spitzenbegrenzung (Kategorie I) [Peak Limit Category I, 2]** (Henschler, 1976/77; Greim, 1996; 1998;  
 1537 2000), constitutes the maximum average concentration to which workers can be exposed for periods up to  
 1538 15 minutes, with at least 1 hour between exposures and no more than 4 exposures per work shift; total  
 1539 exposure may not exceed 8-hour MAK. The Category I is applied to irritating substances, the excess factor  
 1540 of 2 (over the 8-hour MAK) was chosen by convention and was not derived on substance-specific data.

1541 <sup>g</sup> **MAC ([Maximum Workplace Concentration], Dutch Expert Committee for Occupational Standards, The**  
 1542 **Netherlands)** (ECB, 1999), is defined analogous to the ACGIH-TLV-TWA.

### 1543 **8.3. Data Adequacy and Research Needs**

1544 Older studies have assessed irritative effects of dioxane in humans after a single inhalation  
 1545 exposure. Additionally, experimental studies on the toxicokinetics and the odor perception are available.  
 1546 AEGL-1 values were based on eye irritation in humans reported in a toxicokinetic study. Only few studies  
 1547 are available for the derivation of AEGL-2 values. The AEGL-2 values were based on a study reporting a  
 1548 no effects on the escape response in rats, which was considered a NOEL for depressive effects on the  
 1549 central nervous system that led to narcosis, i.e. the inability to escape, in other studies at higher  
 1550 concentrations. In addition, a study reporting increased liver enzyme activities in serum indicating liver  
 1551 toxicity was used as additional key study. This study was supported by single oral exposure studies  
 1552 demonstrating proliferative and genotoxic effects on rat hepatocytes. For derivation of AEGL-3 values,  
 1553 no LC<sub>50</sub> study performed and documented according to today's standards was available, however, several  
 1554 older studies investigated lethal effects in experimental animals after acute inhalation exposure and  
 1555 reported LC<sub>50</sub> values. The AEGL-3 values were based on a reported LC<sub>50</sub> value in rats, which was  
 1556 supported by other acute lethality studies.

1557 Single inhalation exposure studies in animals focusing on lethal effects and irreversible liver and  
 1558 kidney damage would allow for more precisely defining the thresholds for the AEGL-2 and -3 levels.

## 1559 **9. REFERENCES**

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1793 increased the lethality of rats upon inhalation exposure to dioxane; the study is not considered relevant for the  
1794 derivation of AEGL values).

1795

**APPENDIX A**

1796

**Time Scaling Calculations for AEGLs**

1797		<b>AEGL-1</b>
1798	Key study:	Young et al. (1977)
1799	Toxicity endpoint:	eye irritation occurred at 50 ppm throughout the 6-hour exposure period in this
1800		pharmacokinetic study. Since this was a pharmacokinetic study, no emphasis was
1801		put on reporting of symptoms and the authors did not define the severity level of
1802		the eye irritation. The irritation was nevertheless considered to be below the
1803		notable discomfort level as described in the AEGL-1 definition because the
1804		authors (Young et al., 1977) considered 50 ppm as an adequate workplace
1805		standard and a level of 50 ppm has been used as a workplace standard in the past.
1806	Scaling:	Since the study by Young et al. (1977) reported eye irritation throughout the
1807		whole exposure period of 6 hours and did not report an increase of the effect with
1808		time, it is considered adequate to use the same exposure concentration for all
1809		relevant time points (flat line).
1810		C = 50 ppm
1811	Uncertainty/ modifying factors:	3 for intraspecies variability
1812		
1813		
1814	Calculations:	
1815	<u>10-minute AEGL-1</u>	C = 50 ppm
1816		10-min AEGL-1 = $50 \text{ ppm}/3 = 17 \text{ ppm}$ (60 mg/m <sup>3</sup> )
1817	<u>30-minute AEGL-1</u>	C = 50 ppm
1818		30-min AEGL-1 = $50 \text{ ppm}/3 = 17 \text{ ppm}$ (60 mg/m <sup>3</sup> )
1819	<u>1-hour AEGL-1</u>	C = 50 ppm
1820		1-hour AEGL-1 = $50 \text{ ppm}/3 = 17 \text{ ppm}$ (60 mg/m <sup>3</sup> )
1821	<u>4-hour AEGL-1</u>	C = 50 ppm
1822		4-hour AEGL-1 = $50 \text{ ppm}/3 = 17 \text{ ppm}$ (60 mg/m <sup>3</sup> )
1823	<u>8-hour AEGL-1</u>	C = 50 ppm
1824		8-hour AEGL-1 = $50 \text{ ppm}/3 = 17 \text{ ppm}$ (60 mg/m <sup>3</sup> )

1825		<b>AEGL-2</b>
1826	Key study #1:	Goldberg et al. (1964)
1827	Toxicity endpoint:	In rats, exposure to 6000 ppm for 4 hours resulted in a reduced performance in a
1828		conditioned response test, but did not affect the escape response.
1829	Scaling:	$C^3 * t = k$ for extrapolation to 1 hours, 30 minutes and 10 minutes
1830		$k = 6000^3 \text{ ppm}^3 * 4 \text{ h} = 8.64 * 10^{11} \text{ ppm}^3 \text{ h}$
1831		$C^1 * t = k$ for extrapolation to 8 hours
1832		$k = 6000^1 \text{ ppm} * 4 \text{ h} = 24,000 \text{ ppm h}$
1833	Uncertainty/	Combined uncertainty factor of 30
1834	modifying factors:	3 for interspecies variability
1835		10 for intraspecies variability
1836	Calculations:	
1837	<u>10-minute AEGL-2</u>	$C^3 * 0.167 \text{ h} = 8.64 * 10^{11} \text{ ppm}^3 \text{ h}$
1838		$C = 17,295 \text{ ppm}$
1839		10-min AEGL-2 = $17,295 \text{ ppm}/30 = 580 \text{ ppm}$ (2100 mg/m <sup>3</sup> )
1840	<u>30-minute AEGL-2</u>	$C^3 * 0.5 \text{ h} = 8.64 * 10^{11} \text{ ppm}^3 \text{ h}$
1841		$C = 12,000 \text{ ppm}$
1842		30-min AEGL-2 = $12,000 \text{ ppm}/30 = 400 \text{ ppm}$ (1400 mg/m <sup>3</sup> )
1843	<u>1-hour AEGL-2</u>	$C^3 * 1 \text{ h} = 8.64 * 10^{11} \text{ ppm}^3 \text{ h}$
1844		$C = 9524.0 \text{ ppm}$
1845		1-hour AEGL-2 = $9524 \text{ ppm}/30 = 320 \text{ ppm}$ (1200 mg/m <sup>3</sup> )
1846	<u>4-hour AEGL-2</u>	4-hour AEGL-2 = $6000 \text{ ppm}/30 = 200 \text{ ppm}$ (720 mg/m <sup>3</sup> )
1847	<u>8-hour AEGL-2</u>	$C^1 * 8 \text{ h} = 24,000 \text{ ppm h}$
1848		$C = 3000.0 \text{ ppm}$
1849		8-hour AEGL-2 = $3000 \text{ ppm}/30 = 100 \text{ ppm}$ (360 mg/m <sup>3</sup> )

1850		<b>AEGL-2</b>
1851	Key study #2:	Drew et al. (1978)
1852	Toxicity endpoint:	In rats, a 2-3fold increased serum activities of liver enzymes (ornithine carbamyl transferase, aspartate aminotransferase and alanine aminotransferase) occurred
1853		after a single 4-hour exposure to 1000 or 2000 ppm dioxane. An exposure to
1854		2000 ppm for 4 hours was used as a basis for AEGL derivation.
1855		
1856	Scaling:	$C^3 * t = k$ for extrapolation to 1 hours, 30 minutes and 10 minutes
1857		$k = 2000^3 \text{ ppm}^3 * 4 \text{ h} = 3.2 * 10^{10} \text{ ppm}^3 \text{ h}$
1858		$C^1 * t = k$ for extrapolation to 8 hours
1859		$k = 2000^1 \text{ ppm} * 4 \text{ h} = 8000 \text{ ppm h}$
1860	Uncertainty/	Combined uncertainty factor of 10
1861	modifying factors:	1 for interspecies variability
1862		10 for intraspecies variability
1863	Calculations:	
1864	<u>10-minute AEGL-2</u>	$C^3 * 0.167 \text{ h} = 3.2 * 10^{10} \text{ ppm}^3 \text{ h}$
1865		$C = 5765.2 \text{ ppm}$
1866		10-min AEGL-2 = 5765 ppm/10 = 580 ppm (2100 mg/m <sup>3</sup> )
1867	<u>30-minute AEGL-2</u>	$C^3 * 0.5 \text{ h} = 3.2 * 10^{10} \text{ ppm}^3 \text{ h}$
1868		$C = 4000.0 \text{ ppm}$
1869		30-min AEGL-2 = 4000 ppm/10 = 400 ppm (1400 mg/m <sup>3</sup> )
1870	<u>1-hour AEGL-2</u>	$C^3 * 1 \text{ h} = 3.2 * 10^{10} \text{ ppm}^3 \text{ h}$
1871		$C = 3174.8 \text{ ppm}$
1872		1-hour AEGL-2 = 3175 ppm/10 = 320 ppm (1200 mg/m <sup>3</sup> )
1873	<u>4-hour AEGL-2</u>	4-hour AEGL-2 = 2000 ppm/10 = 200 ppm (720 mg/m <sup>3</sup> )
1874	<u>8-hour AEGL-2</u>	$C^1 * 8 \text{ h} = 8000 \text{ ppm h}$
1875		$C = 1000.0 \text{ ppm}$
1876		8-hour AEGL-2 = 1000 ppm/10 = 100 ppm (360 mg/m <sup>3</sup> )

1877		<b>AEGL-3</b>
1878	Key study:	Pozzani et al. (1959)
1879	Toxicity endpoint:	LC <sub>50</sub> of 14,300 ppm in rats for 4 hours of exposure.
1880	Extrapolation factor:	3 for extrapolation of LC <sub>50</sub> to lethality threshold
1881		14,300 ppm / 3 = 4767 ppm
1882	Scaling:	C <sup>3</sup> * t = k for extrapolation to 4 hours, 1 hours, 30 minutes and 10 minutes
1883		k = 4767 <sup>3</sup> ppm <sup>3</sup> * 4 h = 4.333 * 10 <sup>11</sup> ppm <sup>3</sup> h
1884		C <sup>1</sup> * t = k for extrapolation to 8 hours
1885		k = 4767 <sup>1</sup> ppm * 4 h = 19,068 ppm h
1886	Uncertainty/ modifying factors:	Combined uncertainty factor of 10
1887		1 for interspecies variability
1888		10 for intraspecies variability
1889	Calculations:	
1890	<u>10-minute AEGL-3</u>	10-min AEGL-3 = 30-min AEGL-3 = 950 ppm (3400 mg/m <sup>3</sup> )
1891	<u>30-minute AEGL-3</u>	C <sup>3</sup> * 0.5 h = 4.333 * 10 <sup>11</sup> ppm <sup>3</sup> h
1892		C = 9533.9 ppm
1893		30-min AEGL-3 = 9534 ppm/10 = 950 ppm (3400 mg/m <sup>3</sup> )
1894	<u>1-hour AEGL-3</u>	C <sup>3</sup> * 1 h = 4.333 * 10 <sup>11</sup> ppm <sup>3</sup> h
1895		C = 7567.1 ppm
1896		1-hour AEGL-3 = 7567 ppm/10 = 760 ppm (2700 mg/m <sup>3</sup> )
1897	<u>4-hour AEGL-3</u>	4-hour AEGL-3 = 4767 ppm/10 = 480 ppm (1700 mg/m <sup>3</sup> )
1898	<u>8-hour AEGL-3</u>	C <sup>1</sup> * 8 h = 19,068 ppm h
1899		C = 2383.5 ppm
1900		8-hour AEGL-3 = 2384 ppm/10 = 240 ppm (860 mg/m <sup>3</sup> )

1901

**APPENDIX B**

1902

**Level of Distinct Odor Awareness**



1903 **Derivation of the Level of Distinct Odor Awareness (LOA)**

1904 The level of distinct odor awareness (LOA) represents the concentration above which it is  
 1905 predicted that more than half of the exposed population will experience at least a distinct odor intensity,  
 1906 about 10 % of the population will experience a strong odor intensity. The LOA should help chemical  
 1907 emergency responders in assessing the public awareness of the exposure due to odor perception. The  
 1908 LOA derivation follows the guidance given by van Doorn et al. (2002).

1909 For derivation of the odor detection threshold ( $OT_{50}$ ), two studies are available in which the odor  
 1910 threshold for the reference chemical n-butanol (odor detection threshold 0.04 ppm) have also been  
 1911 determined:

1912 May (1966):  
 1913 odor detection threshold for dioxane: 170 ppm  
 1914 odor detection threshold for n-butanol: 11 ppm  
 1915 corrected odor detection threshold ( $OT_{50}$ ) for dioxane:  $170 \text{ ppm} * 0.04 \text{ ppm} / 11 \text{ ppm} = 0.62 \text{ ppm}$

1916 Hellman and Small (1974):  
 1917 odor detection threshold for dioxane: 0.8 ppm  
 1918 odor detection threshold for n-butanol: 0.3 ppm  
 1919 corrected odor detection threshold ( $OT_{50}$ ) for dioxane:  $0.8 \text{ ppm} * 0.04 \text{ ppm} / 0.3 \text{ ppm} = 0.11 \text{ ppm}$

1920 Since the n-butanol value from the Hellman and Small (1974) study was much closer to the reference  
 1921 value, this study was used to derive the LOA.

1922 The concentration (C) leading to an odor intensity (I) of distinct odor detection (I=3) is derived  
 1923 using the Fechner function:

$$1924 \quad I = k_w * \log (C / OT_{50}) + 0.5$$

1925 For the Fechner coefficient, the default of  $k_w = 2.33$  will be used due to the lack of chemical-specific  
 1926 data:

$$1927 \quad 3 = 2.33 * \log (C / 0.11) + 0.5 \quad \text{which can be rearranged to}$$

$$1928 \quad \log (C / 0.11) = (3 - 0.5) / 2.33 = 1.07 \quad \text{and results in}$$

$$1929 \quad C = (10^{1.07}) * 0.11 = 11.8 * 0.11 = 1.30 \text{ ppm}$$

1930 The resulting concentration is multiplied by an empirical field correction factor. It takes into  
 1931 account that in every day life factors, such as sex, age, sleep, smoking, upper airway infections and  
 1932 allergy as well as distraction, increase the odor detection threshold by a factor of 4. In addition, it takes  
 1933 into account that odor perception is very fast (about 5 seconds) which leads to the perception of  
 1934 concentration peaks. Based on the current knowledge, a factor of 1/3 is applied to adjust for peak  
 1935 exposure. Adjustment for distraction and peak exposure lead to a correction factor of  $4 / 3 = 1.33$

$$1936 \quad \text{LOA} = C * 1.33 = 1.30 \text{ ppm} * 1.33 = 1.7 \text{ ppm}$$

1937 The LOA for 1,4-dioxane is 1.7 ppm.

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**APPENDIX C**

1939

**Preliminary Cancer Assessment of 1,4-Dioxane**

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**Preliminary Cancer Assessment of 1,4-Dioxane**1941  
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No inhalation slope factor is available for dioxane. As discussed in Section 4.2, the relevance to humans of the nasal tumors in rats observed in the drinking water studies is doubtful. Therefore, dose-response data for liver tumors in rats and mice will be used for calculation.

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Stickney et al. analyzed the available tumor dose-response data and calculated a geometric mean oral slope factor of  $2.4 \times 10^{-3}$  (mg/kg/day)<sup>-1</sup>.

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As described in Section 3.4, some studies indicate that dioxane or one of its metabolites may exert clastogenic effects in vivo at high oral doses and in vitro at high concentrations: increased micronuclei formation in rat hepatocytes was found after a single oral dose of 2000 mg/kg (Morita and Hayashi, 1998); an increased rate of DNA strand breaks was found in rats after a single oral dose of 2550 mg/kg, but not at 840 mg/kg (Kitchin and Brown, 1990; 1994); moreover, dioxane induced sister chromatid exchanges in CHO cells (Galloway et al., 1987) and transformation of Balb 3T3 cells (Sheu et al., 1988) in vitro. However, there is also considerable evidence that dioxane causes tumors via a non-genotoxic, cytotoxic mechanism (see Section 4.2): increased hepatocyte cell proliferation has been reported in rats after a single oral dose of 1000 mg/kg or higher (Miyagawa et al., 1999), while in other studies (Stott et al., 1981; Goldsworthy et al., 1991) repeated oral doses of 2000 mg/kg were necessary to induce increases in hepatocyte proliferation. Consistent with this effect level, an inhalation exposure of rats to 1000 ppm for 4 hours, corresponding to a body dose of about 630 mg/kg, resulted in increased serum activities of liver enzymes (Drew et al., 1978). The non-linear toxicokinetics of dioxane in rats leads to saturation of the oxidation of dioxane to 2-hydroxyethoxyacetic acid and 1,4-dioxane-2-one at doses between 10 and 1000 mg/kg (Young et al. 1978a; 1978b); this could result in the accumulation of dioxane and possibly of its metabolites, such as 1,4-dioxane-2-one and 2-hydroxyethoxy-acetaldehyde.

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Overall, it is concluded that there is little evidence of carcinogenicity from a short-term exposure to dioxane.

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Calculation:

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The inhalation slope factor can be estimated by dividing the oral slope factor by a body weight of 70 kg and multiplying by the inhalation rate of 20 m<sup>3</sup>/day:

$$\text{Inhalation slope factor} = 2.4 \times 10^{-3} \text{ (mg/kg/day)}^{-1} * 20 \text{ m}^3/\text{d} * 1/70 \text{ kg} = 6.9 \times 10^{-4} \text{ (mg/m}^3\text{)}^{-1}$$

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To calculate a concentration of dioxane that would cause a theoretical excess cancer risk of 10<sup>-4</sup> (a virtually safe dose), the risk is divided by the slope factor:

$$\text{dose} = \text{risk/slope factor} = 1 \times 10^{-4} / 6.9 \times 10^{-4} \text{ (mg/m}^3\text{)}^{-1} = 0.14 \text{ mg/m}^3$$

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To convert a 70-year exposure to a 24-hour exposure, the virtually safe dose is multiplied by the number of days in 70 years:

$$24\text{-hour exposure concentration} = 0.14 \text{ mg/m}^3 * 25600 \text{ days} = 3584 \text{ mg/m}^3$$

1974  
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To adjust for uncertainties in assessing potential cancer risks under short-term exposures under the multistage model, the 24-hour exposure is divided by an adjustment factor of 6 (see SOP):

$$3584 \text{ mg/m}^3 / 6 = 597 \text{ mg/m}^3$$

- 1977            If the exposure is limited to a fraction (f) of a 24-hour period, the fractional exposure becomes  
1978            1/f \* 24 h:  
1979            24-hour exposure = 597 mg/m<sup>3</sup> (166 ppm)  
1980            8-hour exposure = 1791 mg/m<sup>3</sup> (498 ppm)  
1981            4-hour exposure = 3582 mg/m<sup>3</sup> (996 ppm)  
1982            1-hour exposure = 14328 mg/m<sup>3</sup> (3983 ppm)  
1983            30-minute exposure = 28656 mg/m<sup>3</sup> (7966 ppm)  
1984            10-minute exposure = 85968 mg/m<sup>3</sup> (23899 ppm)
- 1985            For 10<sup>-5</sup> and 10<sup>-6</sup> risk levels, the 10<sup>-4</sup> values are reduced by 10-fold and 100-fold, respectively.
- 1986            These values based on carcinogenicity exceed the AEGL-3 and AEGL-2 values based on non-  
1987            carcinogenic effects and are, therefore, not proposed for AEGL-3 or AEGL-2. The current scientific  
1988            knowledge suggests that dioxane will only induce cancer after multiple exposures.

1989

**APPENDIX D**

1990

**Derivation Summary for 1,4-Dioxane AEGLs**

**ACUTE EXPOSURE GUIDELINES FOR 1,4-DIOXANE  
(CAS NO. 123-91-1)**

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AEGL-1 VALUES				
10 minutes	30 minutes	1 hour	4 hours	8 hours
17 ppm	17 ppm	17 ppm	17 ppm	17 ppm
Reference: Young, J.D., W.H. Braun, L.W. Rampy, M.B. Chenoweth and G.E. Blau, 1977. Pharmacokinetics of 1,4-dioxane in humans. <i>Journal of Toxicology and Environmental Health</i> , 3, 507-520.				
Test Species/Strain/Number: Humans/ n.a. / 4 males				
Exposure Route/Concentrations/Durations: Inhalation / 50 ppm / 6 hours				
Effects: Eye irritation was a frequent complaint throughout the exposure. The perception of odor diminished with time; two of the subjects could not perceive the odor after 4 and 5 hours in the chamber, while the other two subjects could still detect the odor at the end of the exposure period. No other clinical effects were observed in this pharmacokinetic study.				
Endpoint/Concentration/Rationale: For the derivation of AEGL-1 values, irritation was considered the most relevant endpoint. As key study, the pharmacokinetic study of Young et al. (1977) was chosen, because this was the only adequately reported and analytically controlled study available for this endpoint. Young et al. (1977) reported eye irritation at 50 ppm throughout the 6-hour exposure period. Since this was a pharmacokinetic study, no emphasis was put on reporting of symptoms and the authors did not define the severity level of the eye irritation. The irritation was nevertheless considered to be below the notable discomfort level as described in the AEGL-1 definition because the authors (Young et al., 1977) considered 50 ppm as an adequate workplace standard and a level of 50 ppm has been used as a workplace standard in the past. In the study by Silverman et al. (1946) 300 ppm caused irritation to eyes, nose and throat. At a similar concentration, 280 ppm, Wirth and Klimmer (1936) found slight mucous membrane irritation. More distinct irritation was observed at higher concentrations of 1400-1600 ppm and severe irritation occurred at 2800-5500 ppm (Wirth and Klimmer, 1936; Yant et al., 1930). The shallow increase of irritative effects with concentration also supports the interpretation that the effects found at 50 ppm in the study of Young et al. (1977) can be considered as mild and as a basis for AEGL-1 derivation.				
Uncertainty Factors/Rationale: Total uncertainty factor: 3 Interspecies: not applicable Intraspecies: 3 - because for local effects, the toxicokinetic differences do not vary considerably within and between species.				
Modifying Factor: Not applicable				
Animal to Human Dosimetric Adjustment: Not applicable				

2029	Time Scaling:
2030	Since the study by Young et al. (1977) reported eye irritation throughout the whole exposure period of
2031	6 hours and did not report an increase of the effect with time, it is considered adequate to use the same
2032	exposure concentration for all relevant time points. Using a constant value for AEGL-1 is also
2033	supported by the observation of Yant et al. (1930) who reported no eye irritation, squinting and
2034	lacrimation in Guinea pigs exposed to 1000 ppm for up to 6 hours, while at 2000 ppm or higher these
2035	symptoms were observed within 8 minutes or less.
2036	Level of distinct odor awareness (LOA)
2037	The level of distinct odor awareness (LOA) for 1,4-dioxane is 1.7 ppm. This value is based on the
2038	odor detection threshold reported by Hellman and Small (1974). The LOA represents the
2039	concentration above which it is predicted that more than half of the exposed population will
2040	experience at least a distinct odor intensity, about 10 % of the population will experience a strong
2041	odor intensity. The LOA should help chemical emergency responders in assessing the public
2042	awareness of the exposure due to odor perception
2043	Data Adequacy:
2044	Although only a small number of subjects were investigated and the irritative effects were not the
2045	focus of this pharmacokinetic study, the study was considered adequate as AEGL-1 key study. The
2046	AEGL-1 value is between the odor detection and odor recognition thresholds for dioxane of 12 and 22
2047	ppm, respectively (AIHA, 1983). At the derived AEGL-1 concentration, sensitive individuals may
2048	experience slight eye irritation which is considered unlikely to exceed the AEGL-1 effect level. The
2049	derived AEGL-1 values is, thus, considered to have warning properties, although it should be noted
2050	that human exposure studies indicated that individuals get accustomed to the odor after the first
2051	minutes (Young et al., 1977; Failey et al., 1934).

**ACUTE EXPOSURE GUIDELINES FOR 1,4-DIOXANE  
(CAS NO. 123-91-1)**

AEGL-2 VALUES				
10 minutes	30 minutes	1 hour	4 hours	8 hours
580 ppm	400 ppm	320 ppm	200 ppm	100 ppm
<p>Reference:</p> <p>#1: Goldberg, M.E., H.E. Johnson, U.C. Pozzani and H.F. Smyth, 1964. Effect of repeated inhalation of vapors of industrial solvents on animal behavior. I. Evaluation of nine solvent vapors on pole-climb performance in rats. <i>American Industrial Hygienists Association Journal</i>, 25, 369-375.</p> <p>#2: Drew, R.T., J.M. Patel and F.-N. Lin, 1978. Changes in serum enzymes in rats after inhalation of organic solvents singly and in combination. <i>Toxicology and Applied Pharmacology</i>, 45, 809-819.</p>				
<p>Test Species/Strain/Sex/Number: #1: Rats / Carworth Farms Elias female / 8 per group</p> <p style="padding-left: 150px;">#2: Rats / CD1 male / number of rats per group not stated</p>				
<p>Exposure Route/Concentrations/Durations: #1: Inhalation / 1500, 3000 and 6000 ppm / 4 hours/day, 5 days/week for 2 weeks</p> <p style="padding-left: 150px;">#2: Inhalation / 0, 1000 and 2000 ppm / 4 hours</p>				
<p>Effects:</p> <p>#1: A conditioned response (pole climbing in response to buzzer to avoid electrical shock) and escape response (pole climbing to electrical shock without buzzer signal) were determined on days 1, 2, 3, 4, 5 and 10 before, during and 2 hours after removal from exposure. At 1500 ppm, no effects occurred. At 3000 ppm, the conditioned response was delayed in 2/8 rats after the first and in 2-3/8 rats after the subsequent exposures. At 6000 ppm, about 6/8 rats showed a delay of the conditioned response after the 1<sup>st</sup> exposure, and 3-8/8 rats were affected in the subsequent exposures. No effects were found on escape response (unconditioned stimulus) after the first exposure (for any of the exposure conditions); an effect was found in 3/8 animals after the 2<sup>nd</sup> exposure to 6000 ppm, but not in the subsequent exposures.</p> <p>#2: No effect on glucose-6-phosphatase was found. The activities of ornithine carbamyl transferase and aspartate aminotransferase were dose-dependently increased (about 2-3-fold) at 24 and 48 h; the activity of alanine aminotransferase was about 2-fold increased at 2000 ppm at 24 and 48 hours while it was only marginally increased at 1000 ppm.</p>				



2082	Endpoint/Concentration/Rationale:
2083	#1: Like other solvents, dioxane can induce narcosis at very high concentrations. Yant et al.(1930)
2084	reported that 30,000 ppm induced narcosis in guinea pigs after 1-2 hours exposure, while at 10,000
2085	ppm eye and nose irritation and labored breathing, but no narcosis, were observed. In mice, 8300 ppm
2086	for 3.5 hours caused narcosis (Wirth and Klimmer, 1936). Goldberg et al. (1964) reported that 6000
2087	ppm for 4 hours affected the performance of rats in an conditioned response test (pole climbing in
2088	response to buzzer to avoid electrical shock), but did not affect the escape response to an electrical
2089	shock. The exposure level of 6000 ppm for 4 hours was considered a NOEL for central nervous
2090	system depression, while higher concentrations could impair the ability to escape.
2091	#2: Drew et al. (1978) reported a 2-3-fold increase in serum activities of liver enzymes in rats after
2092	exposure to 1000 or 2000 ppm for 4 hours. The release of liver enzymes into the blood are a sign of
2093	cytotoxic liver damage; this effect is, however, normally transient in nature. A 2-3-fold increase in
2094	liver enzymes was considered a weak response because liver damage by chemicals, viruses or tumor
2095	can easily increase aminotransferase levels by 10- to 100-fold in rats and humans (Hayes et al., 1994).
2096	At a concentration of 5000 ppm for 2x1.5 hours/day, all rats died after several days from severe liver
2097	and kidney damage (Fairley et al., 1934). Therefore, an exposure to 2000 ppm for 4 hours is
2098	considered a NOEL for AEGL-2 effects in rats and is used as the basis for AEGL-2 derivation.
2099	Uncertainty Factors/Rationale:
2100	#1: The interspecies factor was reduced to 3 because the toxicodynamic differences between species
2101	were considered limited for CNS depression and because application of the default factor would have
2102	lowered the AEGL-2 values to a level that humans are known to tolerate without adverse effects
2103	(Young et al., 1977). An intraspecies factor of 10 was applied.
2104	#2: An interspecies uncertainty factor of 1 was applied because metabolism in humans and rats is very
2105	similar, involving the same metabolic steps and intermediate metabolites (see Section 4.3.2) and
2106	because application of a total uncertainty factor of 30 would reduce the AEGL-2 level to an exposure
2107	concentration of 17 ppm for 8 hours and 33 ppm for 4 hours, which humans are known to tolerate
2108	without adverse effect (pharmacokinetic study exposing subjects to 50 ppm for 6 hours; Young et al.,
2109	1977). An intraspecies factor of 10 was applied.
2110	Total uncertainty factor: #1: 30 #2: 10
2111	Interspecies: #1: 3 #2: 1
2112	Intraspecies: #1: 10 #2: 10
2113	Modifying Factor: Not applicable
2114	Animal to Human Dosimetric Adjustment: Not applicable
2115	Time Scaling:
2116	Time scaling using the equation $C^n * t = k$ was done to derive the other exposure duration-specific
2117	values. Due to lack of a definitive data set, an n of 3 was used in the exponential function for
2118	extrapolation from the experimental period (4 hours) to shorter exposure periods and an n of 1 was
2119	used for extrapolation to longer exposure periods. Time extrapolation was continued to the 10-minute
2120	period because even at considerably higher concentrations of 1600 ppm for 10 minutes (Yant et al.,
2121	1930) or 1400 ppm for 5 minutes (Wirth and Klimmer, 1936) exposed subjects did not experience
2122	more severe effects than moderate eye, nose and throat irritation.

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Data Adequacy:

Due to the lack of appropriate human studies, the AEGL-2 values were based on central nervous system effects in rats and liver toxicity in rats. The derived values are considered adequate with respect to the carcinogenicity assessment. Assuming a body weight of 70 kg, a ventilation rate of 10 m<sup>3</sup> during an 8-hour shift, and an absorption rate of 43 % (Young et al., 1977), the AEGL-2 values correspond to total body doses between 1.8 mg/kg for the 10-minute period and 14 mg/kg for the 8-hour period. This dose level was far below that associated with metabolic saturation or proliferative effects on the liver, which has been implicated in dioxane carcinogenicity.

**ACUTE EXPOSURE GUIDELINES FOR 1,4-DIOXANE  
(CAS NO. 123-91-1)**

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AEGL-3 VALUES				
10 minutes	30 minutes	1 hour	4 hours	8 hours
950 ppm	950 ppm	760 ppm	480 ppm	240 ppm
Reference: a) Pozzani, U.C., C.S. Weil and C.P. Carpenter, 1959. The toxicological basis of threshold limit values. 5. The experimental inhalation of vapor mixtures by rats with notes upon the relationship between single dose inhalation and single dose oral data. <i>American Industrial Hygiene Association Journal</i> , 20, 364-369; b) Pilipyuk, Z.I., G.M. Gorban, G.I. Solomin and A.I. Gorshunova, 1977. Toxicology of 1,4-dioxane [in Russian]. <i>Kosmicheskaja Biologiya i Aviakosmicheskaya Medicina</i> , 11, 53-57.				
Test Species/Strain/Sex/Number: a) Rat / Carworth Farms-Nelson / females, number not stated b) Rat / not stated / not stated				
Exposure Route/Concentrations/Durations: a) Inhalation / not stated / 4 hours b) Inhalation / not stated / 4 hours				
Effects: a) LC <sub>50</sub> for dioxane was 14300 ppm (51.3 mg/l) b) LC <sub>16</sub> = 11,100 ppm, LC <sub>50</sub> = 12800 ppm and LC <sub>84</sub> = 14,500 ppm				
Endpoint/Concentration/Rationale: LC <sub>50</sub> values in rats were considered most relevant for the derivation of the AEGL-3 values. No acute inhalation toxicity study that followed today's standards and guidelines was available for dioxane. The derivation was based on the 4-hour LC <sub>50</sub> of 14,300 ppm in rats reported by Pozzani et al. (1959). Although this study did not use the most sensitive species (cats), it was used as key study because it was the only study that was adequately described and because study details were far better described in this study than in the study by Pilipyuk et al. (1977). The equivalent body dose for an inhalation exposure of female rats (assuming a body weight of 0.250 kg) to 14,300 ppm dioxane for 4 hours can be calculated as 8786 mg/kg. The estimated total inhaled dose is comparable to oral LD <sub>50</sub> values in rats which were between 5170 and 7339 mg/kg (BASF, 1958; 1973; Laug et al., 1939; Nelson, 1951; Pozzani et al., 1959; Smyth et al., 1939) and thus supports the LC <sub>50</sub> value of Pozzani et al. (1959) used as basis for AEGL-3 derivation. For extrapolation from the LC <sub>50</sub> value to the threshold for lethality, a factor of 3 was used. This factor was considered adequate because available data indicate a very steep dose-response curve for lethality after inhalation exposure: a) Pilipyuk et al. (1977) reported a factor of 1.3 between the LC <sub>84</sub> and the LC <sub>16</sub> (LC <sub>16</sub> = 11,100 ppm and LC <sub>84</sub> = 14,500 ppm); b) at 40,000 ppm, BASF AG (1973; 1980) reported no deaths after exposure for 1 hour, while in two experiments 50 and 100 %, respectively, of the rats died after a 3-hour exposure; and c) Yant (1930) reported death of all guinea pigs after 3-hour exposure at 30,000 ppm, while no lethality occurred after 10,000 ppm for 8 hours.				

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2167	Uncertainty Factors/Rationale:
2168	Total uncertainty factor: 10
2169	Interspecies: 1 because metabolism in humans and rats is very similar, involving the same
2170	metabolic steps and intermediate metabolites (see Section 4.3.2) and because a higher
2171	uncertainty factor would have resulted in AEGL-3 values of 480 ppm for 10 and 30
2172	minutes, which contrasts with the observation that exposure of human subjects to
2173	1600 ppm for 10 minutes (Yant et al., 1930) resulted in moderate irritation, but not in
2174	more severe effects.
2175	Intraspecies: 10
2176	Modifying Factor: Not applicable
2177	Animal to Human Dosimetric Adjustment: Insufficient data
2178	Time Scaling:
2179	Time scaling using the equation $C^n * t = k$ was done to derive the other exposure duration-specific
2180	values. Due to lack of a definitive data set, an n of 3 was used in the exponential function for
2181	extrapolation from the experimental period (4 hours) to shorter exposure periods and an n of 1 was
2182	used for extrapolation to longer exposure periods. For the 10-minute AEGL-3 the 30-minute value
2183	was applied because the derivation of AEGL values was based on a long experimental exposure
2184	period and no supporting studies using short exposure periods were available for characterizing the
2185	concentration-time-response relationship. Moreover, considerable uncertainty exists as to the
2186	concentration of dioxane in air at which cytotoxic effects occur in the nasal mucosa, which probably
2187	contributes to the mechanism leading to carcinogenic effects of dioxane.
2188	Data Adequacy:
2189	No well-documented inhalation $LC_{50}$ study in laboratory animals performed to today's standards was
2190	available for the derivation of AEGL-3 values. Therefore, a study in rats was used, which was
2191	supported by other inhalation as well as acute oral toxicity studies.