EPA Office of Small Business Programs Disadvantaged Business Enterprise (DBE) Program

Computer-Based Learning Series



MINORITY BUSINESS ENTERPRISE/ WOMAN BUSINESS ENTERPRISE (MBE/WBE) REPORTING



Who is Required to Report MBE/WBE Participation?

MBE and WBE participation must be reported by all <u>recipients</u>, including those recipients exempted under § 33.411 from the requirement to apply the <u>fair share</u> <u>objectives</u>, on EPA Form 5700–52A (40 CFR 33.502).



The purpose of MBE/WBE reporting is to monitor the grant recipient's:

- Accomplishments in Utilizing MBEs and WBEs;
- Adherence to the <u>Good Faith Efforts</u> (i.e., outreach to MBEs, WBEs, and other <u>DBE</u>s); and
- Progress in Achieving MBE and WBE Goals.





Complete & submit EPA Form 5700-52A for each reporting period at a frequency specified in the grant agreement, to the appropriate EPA office and personnel as identified in their <u>financial assistance</u> <u>agreement</u>.

Next



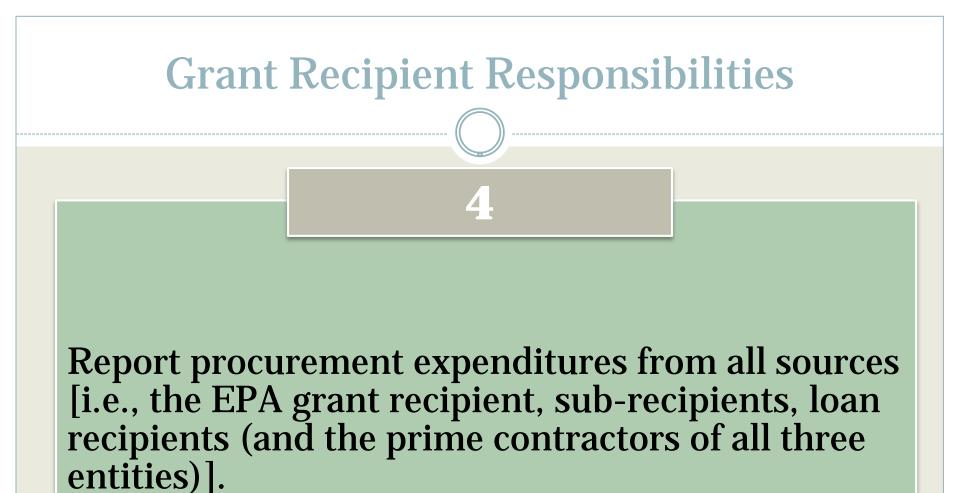
Submit "negative reports" (e.g., reports where there were no MBEs or WBEs utilized, or no procurement expenditures of any kind were made during the reporting period).

Next



Collect and retain/document data on MBE, WBE, and total procurement expenditures made for the grant project up to the "Total Assistance Agreement Amount" (i.e., the grantee's total procurement budget).

Next



Next

Reporting Frequencies & Due Dates

Annual Reporters

Must submit their completed EPA Form 5700-52A to EPA within 30 days of the end of the annual reporting period (October 30th).

- Recipients of Continuing Environmental Program Grants under <u>40 CFR Part 35, Subpart A;</u>
- Recipients under <u>40 CFR Part 35, Subpart B;</u>
- <u>General Assistance Program (GAP)</u> grants for tribal governments and intertribal consortia; and
- Institutions of higher education, hospitals and other nonprofit organizations receiving financial assistance agreements under <u>40 CFR Part 30</u>.

Semi- Annual Reporters

Must submit their completed EPA Form 5700-52A to EPA within 30 days of the end of the semiannual reporting period (April 30th and October 30th).

Back

• Recipients of financial assistance agreements that capitalize revolving loan funds; and

Next

• All other recipients not specified as "annual reporters."

Things to Consider when Counting MBE/WBE Participation

MBEs & WBEs must be officially certified as such in order to claim/report them as MBE/WBE on EPA Form 5700-52A. (<u>See</u> <u>Certification Fact Sheet</u>)

MBEs and WBEs may not act as Brokers or Passive conduits of funds. A MBE or WBE Trucker must serve a "commercially-useful" function.

The amount counted towards a MBE or WBE Prime Contractor may not exceed 100% of the contract value.

Back

To be claimed 100% MBE or WBE, a Prime Contractor who is a MBE or WBE may not sub-award more than 49% of its contract value to a non-MBE/WBE.

Next

MBE/WBE Reporting Under Revolving Loan Programs

EPA financial assistance recipients that capitalize <u>Revolving Loan Programs</u> need only report "Total Procurement Dollars" in the amount of loans equal or up to the capitalization grant amount.

Entities receiving <u>identified loans</u> must submit their MBE/WBE participation reports/data to the EPA grant recipient (rather than to EPA).

EPA financial assistance recipients that capitalize Revolving Loan Programs must ensure that they receive procurement reports from revolving loan recipients in time to meet EPA's MBE/WBE Reporting deadlines.







Now Lets See How Much You've Learned!



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Knowledge Check

Recipients that are exempt from the fair share objective requirements are also exempt from reporting MBE/WBE participation.

A grant recipient should submit "negative reports" (e.g., reports where there were no MREs or WREs utilized

or no procurement expenditures of any kind were made during the reporting period).

GAP grant recipients should report semi-annually.

MBEs & WBEs must be officially certified as such in order to claim/report them.

<u>TRUE</u>

TRUE

TRUE

TRUE

FALSE

FALSE

FALSE

FALSE

EPA financial assistance recipients that capitalize Revolving Loan Programs need only report "Tota Procurement Dollars" in the amount of loans equal or up to the capitalization grant amount.

TRUE

FALSE

Finis



Congratulations!!

You have completed the <u>MBE/WBE Reporting</u> computer-based learning module.

For more information on the EPA DBE Program, please visit the OSBP <u>website</u>.